

# User's Manual



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# Risk Analysis Screening Tool (RAST) User's Manual

#### **Purpose**

This document provides a reference for using RAST and to users attending classroom training for the Risk Analysis Screening Tool (RAST) workshop.

# Feedback Request:

Please provide feedback or comments on the content of this document to the RAST Committee, via the CCPS webpage (www.aiche.org/ccps)

# Revision History:

A complete document history is located at the end of this document.

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#### 1. INTRODUCTION

#### Intended Audience

The intended audience for Risk Analysis Screening Tool (RAST) software is personnel performing Screening Level Hazard Evaluation or Risk Analysis (such as a Layers of Protection Analysis) for existing and future manufacturing facilities including:

- Manufacturing personnel
- Research and Development Engineers
- Process Engineers
- Other Process Safety roles

#### **User's Manual Objectives**

The overall objective for the RAST manual is to:

- Develop familiarity with the RAST tool such that Evaluation Teams with the help of Facilitators and Process Safety personnel should be able to perform screening level Hazard and Risk Evaluations.
- Provide an example problem so that users understand the limitations of this tool and when to utilize more advanced methods or to engage a Subject Matter Expert.

#### Sections

There are 9 sections included in the Risk Analysis Screening Tool User's Manual including:

- 1. Introduction
- 2. Getting Started with RAST
- 3. Chemical Properties
- 4. Evaluation of Reactivity Hazards
- 5. Additional Inputs and Reports
- 6. Scenario Development
- 7. Layers of Protection Analysis
- 8. Case Study
- 9. Wrap-up

#### **Process Risk Management**

<u>Process Risk</u> is a measure of human injury, environmental damage, or economic loss resulting from an incident in terms of both likelihood and magnitude of the loss or injury. Risk Management is the systematic application of management policies and procedures in analyzing, assessing, and controlling risk. It utilizes both *Risk Analysis* and *Risk Assessment*. Process Risk management is intended to continuously improve safety, health, and environmental performance of manufacturing plants over the long term by addressing risk to people, property, and the environment. RAST supports risk analysis in providing a consistent evaluation based on a company's specific criteria.

#### What is RAST?

RAST is a collection of process safety and risk analysis screening tools used to assist when performing a Hazard Identification and Risk Analysis (HIRA) study that draws upon common input information. Included are:

- Dow Fire and Explosion Index (FEI)
- Dow Chemical Exposure Index (CEI)
- Reaction Hazards Evaluation
- Identification of Common Scenarios
- Hazards and Consequence Evaluation Summary
- Relief Device Effluent Screening
- Risk Analysis (modified Layers of Protection Analysis or LOPA)

RAST is intended as a productivity tool to aid evaluation teams in performing Hazard Identification and Risk Analysis (HIRA) studies providing consistency among analysis teams while reinforcing company protocol and criteria. It utilizes simplified and often empirical methods in quantifying hazards, consequences and risk. These methods have been quality checked and reasonably correlate to complex algorithms of other commercially developed software

RAST bridges the gap between qualitative and detailed quantitative risk evaluation and allows application of greater rigor and detail for high risk scenarios (Figure 1.1). In some cases, other software or rigorous evaluation methods may be needed beyond the capability of RAST to meet a company's risk analysis requirements. For these cases, RAST accommodates the entry of results from other software or methods (including qualitative estimates) in the overall study.

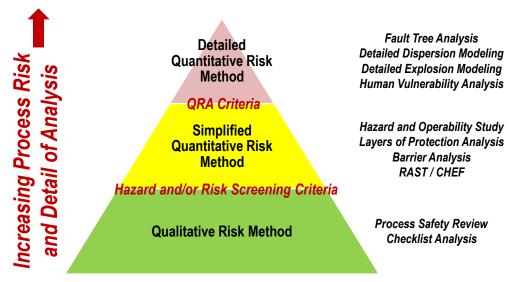


Figure 1.1 Hierarchy of Risk Analysis Methods

Hazard Identification and Risk Analysis is a collective term that encompasses all activities involved in identifying hazards and evaluating risk at facilities, throughout their life cycle, to make certain that risks to employees, the public, or the environment are consistently controlled within the organization's risk tolerance. RAST is based on a suggested HIRA work process (Figure 1.2) to answer basic questions involving:

- What are the Hazards?
- What can go Wrong?
- How Bad can it be?
- How Often might it happen?
- Is the Risk Tolerable?

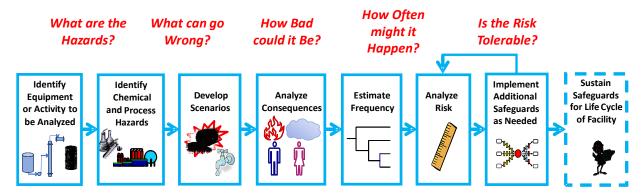


Figure 1.2 Hazard Identification and Risk Analysis Work Flow

RAST and the accompanying Chemical Hazards Engineering Fundamentals (CHEF) materials are based on performing HIRA tasks in a specific order. The order of task execution is based on an overall work flow such that results of a specific estimate (such as a source model) being available as input for the subsequent task (such as vapor dispersion). RAST is set up to use minimal information to get started with the addition of more information over time to improve the analysis and generate additional reports.

The overall Work Process for HIRA within RAST includes:

**Identify the Equipment or Activity** for which we intend to perform an analysis. RAST uses the operation of a specific equipment item containing a specific chemical or chemical mixture to define the activity. For example, the operation of a storage tank, a reactor, a piping network, etc. Inputs are chemical data, equipment design information, operating conditions, and plant layout.

**Identify Chemical and Process Hazards** or "inherent chemical or physical characteristics that have the potential for causing damage to people, property, or the environment". RAST considers both Chemical and Operational related hazards. Chemical Hazards include flammability, toxicity, corrosivity, and reactivity (stored chemical energy). Operational Hazards include stored pressure-volume energy, high or low temperature (potential for thermal burns) and, to some extent, electrical conductivity (potential for static discharge). RAST contains administrative screening parameters (such as flash point for consideration of flammability hazard, ERPG-3 concentration for consideration of toxicity hazard, etc.) to aid in determining what hazards to consider.

RAST contains a data table of chemical properties (for 250 chemicals as of the date of this manual) that are used for quantifying hazards and in source models to determine leak rate. Users may enter properties for additional chemicals as needed in the HIRA study. There are several limitations relative to chemical properties, the most significant being that vapors are addressed as ideal gases and thermodynamic properties are correlated as simple linear relationship with temperature. Some source models for chemical processes operating near the critical point (critical temperature and critical pressure) will be less accurate than processes operating at or below the normal boiling point.

Each company has the ability to update the default screening parameters provided on hidden worksheets within RAST to utilize their specific criteria. CCPS does not endorse any specific criteria but provides initial values needed for the program to run and for the company to consider.

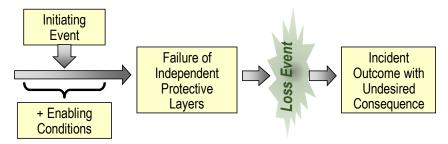
If hazard severity is considered reasonably low, then a HIRA study may not be required (in other words "screened out"), provided there is no regulatory or other requirement. In that case the RAST Hazard Summary Report may be used to document why the study team considers the hazard to be low.

**Development of Scenarios** involves "a detailed description of an unplanned event or incident sequence that results in a loss event and its associated impacts, including the success or failure of safeguards involved in the incident sequence". In addition to Cause (or Initiating Event) and Consequence (or Incident Outcome), a RAST scenario contains one unique Loss Event. Details of the Loss Event help clarify the event sequence for the analysis team. In addition, the Loss Event is linked to a specific Source Term that allows RAST to perform a simple Consequence Analysis.

It should be noted that a RAST scenario contains only one Loss Event (Figure 1.3). If the overall event sequence contains more than one loss event, it is addressed as multiple RAST scenarios. For example: a spill of flammable liquid (first loss event) that ignites causing a pool fire that heats an adjacent vessel to the point of either ruptures or activates a relief device (second loss event) would be addressed as multiple RAST scenarios (the second loss event being a domino effect of the first). Each spill of flammable material which could ignite and create a pool fire impacting another vessel in the area would be addressed as separate scenarios. The heating from pool fire resulting in relief venting, rupture or damage would be addressed as an additional scenario. This is consistent with the Layer of Protection Analysis methodology.

Standardized lists of Initiating Events and Incident Outcome are also used to develop the scenario in RAST. Common parameter deviations for the type of equipment being analyzed is used to link some Loss Events with Initiating Events consistent with a Hazard and Operability Study (HAZOP) approach. RAST generates a list of suggested scenarios for consideration by the study team.

The suggested list of scenarios provided by RAST is not intended to represent all scenarios needed for an effective HIRA study, but a starting point that the evaluation team may build upon.



# Scenario = Initiating Event + Loss Event + Incident Outcome

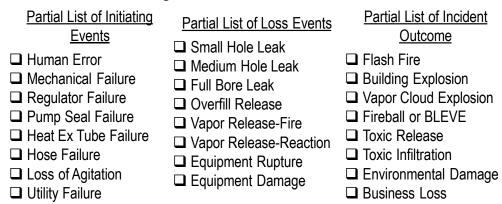


Figure 1.3 Scenario Development in RAST

RAST also considers operational limits to evaluate the feasibility of a scenario. For example, does the maximum system pressure exceed the design limits of the equipment or the relief device set

pressure? Finally, RAST is "live" so that updates of Input information will automatically update the list of scenarios for consideration.

**Consequence Analysis** in RAST uses various source and effect models from CCPS and other literature sources. Loss events are categorized as related to hole size (vapor, liquid, or two-phase), material balance (such as overfill), heat balance (such as vaporization resulting from fire exposure), rupture (instantaneous release) or equipment damage. If the release is liquid or two-phase, vapor rate is estimated from simple flashing, aerosol evaporation and pool evaporation models.

A generic Event Tree (Figure 1.4) is used with RAST to determine Incident Outcome resulting from the Loss Event using criteria based on release location, release quantity and physical state, concentration at distance to the public, occupied buildings or on-site personnel location, in addition to process area congestion and other criteria. Administrative Incident Outcome criteria in RAST may be updated to reflect a company's standards on which a judgment or decision may be based. Parameter values provided in the RAST software are example criteria for the company to consider.

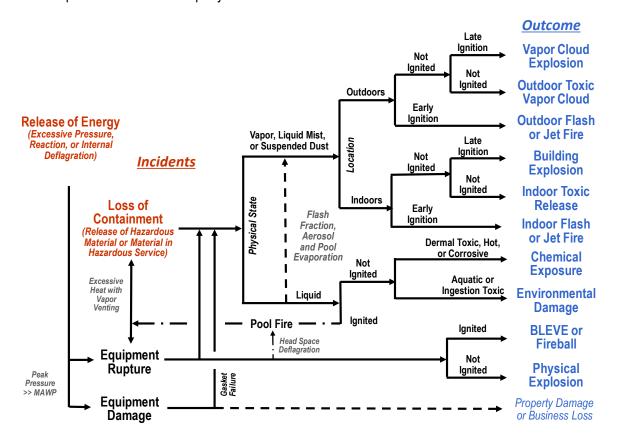


Figure 1.4 Generic Incident Outcome Event Tree used in RAST

RAST estimates a single "worst" Consequence Severity for each Incident Outcome.

There are three approaches that may be used to categorize consequence severity for *human harm* in RAST.

Simplified Quantitative Estimate of Human Harm: This method involves the use of mathematical
models to estimate the release rate, the subsequent dispersion, and toxic or blast effects. The
models used in RAST are described in the Chemical Hazards Engineering Fundamentals (CHEF)

manual available for free download from CCPS. In addition to direct comparison with a company's risk tolerance criteria, quantitative methods provide better consistency between different analysts.

It must be recognized that the results of real-world events have been both significantly less and significantly greater than those calculated. A set of assumptions such as weather conditions, wind direction, and release orientation are used to determine a "worst" consequence that may not represent real-world events.

Severity without Direct Reference to Human Harm: This method is based on results of simple dispersion or explosion models such as a release where the distance to ERPG-2 concentration exceeds 1000 m or where the distance to 1 psi blast overpressure exceeds 500 m. Each Incident Outcome utilizes a different correlation with either a Hazard Distance or Concentration divided by a Level of Concern. Administrative parameters for relating consequence severity to dispersion and explosion model estimates may be updated to reflect a company's specific criteria.

This approach avoids directly estimating the number of potential injuries or fatalities which may appear to imply that injuries or fatalities are tolerable. This approach also recognizes the difficulty in estimating the number of people who may be harmed and how severe the harm might be. For example, a toxic release may result in one or more fatalities or no harm at all, depending on the proximity of people to the release location and capability they have to escape.

• Estimates of Consequence Severity other than provided by RAST: RAST allows the User to enter a severity level as agreed upon by the study team rather than utilize the estimates provided.

Consequence severity for **Environmental Damage** is based on liquid release quantity to the ground or to waterways with a specific NFPA Health Hazard Rating (or GHS Hazard Classification) for Environmental Damage severity. (For example, 1000 kg material with GHS Hazard Classification of "toxic to aquatic life" or "toxic if swallowed")

Consequence severity for **Business Loss** is based on User entered cost to repair damaged equipment plus cost of business interruption for Business Loss severity

Scenario Frequency in RAST is order-of-magnitude and based on independence of initiating events, enabling conditions/conditional modifiers and protective layers. Tables of initiating event frequencies, enabling condition or conditional modifier probabilities (such as probability of ignition), and probability of failure upon demand (PFD) for independent protective layers (IPL) are stored as administrative parameters. Residual failures (those leaks represented by chronic issues such as wear or fatigue rather than a process upset) are labeled Mechanical Integrity scenarios in RAST with frequency based on correlation on published leak frequency data. These tables and correlation coefficients may be updated to reflect a company's specific frequency values for use in risk analysis. The scenario frequency is simply the product of the initiating event frequency times the enabling condition or conditional modifier probability times the failure probability for each IPL appropriate for the scenario.

**Risk Analysis** within RAST involves converting the Consequence Severity and Scenario Frequency to graduated scales representing order-of-magnitude levels. A tabular Risk Matrix (Figure 1.5) is used to

	Conse	quence Severity Description	everity Description			Frequency						
Description	Human Harm	Environment	Business Loss		10^-2/year	10^-3/year	10^-4/year	10^-5/year	10^-6/year	10^-7/yea		
Severity Level-1	Minor Injury On-site (or < 0.01 Person Severely Impacted On-site) Potential for Adverse Local Publicity	Reportable Incident to Environmental Agency OR < 10 kg Very Toxic to Waterway OR < 100 kg NFPA-H4 to Soil < 100 kg Toxic to Waterway OR < 1000 kg NFPA-H3 to Soil < 1000 kg Hamful to Waterway OR < 10000 kg NFPA-H2 to Soil	Property Damage and Business Loss < \$50M									
Severity Level-2	Major Injury On-site (or 0.01 to 0.1 Person Severely Impacted On-site) Public Required to Shelter Indoors (or Minor Injury Off-site)	Environmental Contamination Confined to Site OR < 100 kg Very Toxic to Waterway OR < 1000 kg NFPA-H4 to Soil < 1000 kg Toxic to Waterway OR < 10000 kg NFPA-H3 to Soil < 10000 kg Harmful to Waterway OR < 100000 kg NFPA-H2 to Soil	Property Damage and Business Loss \$50 M to \$500 M									
Severity Level-3	Potential Fatality On-site (or 0.1 to 1 Person Severely Impacted On-site) or Potential Major Injury Off-site	Environmental Contamination of Local Groundwater OR < 1000 kg Vary Toxic to Waterway OR < 10000 kg NFPA-H4 to Soil < 10000 kg Toxic to Waterway OR < 100000 kg NFPA-H3 to Soil < 100000 kg Harmful to Waterway OR < 1000000 kg NFPA-H2 to Soil	Property Damage and Business Loss \$5 MM to \$50 MM									
Severity Level-4	1 to 10 People Severely Impacted On-site 0.1 to 1 People Severely Impacted Off-site	Incident Requiring Significant Off-Site Remediation OR  < 10000 kg Very Toxic to Waterway OR < 100000 kg NFPA-H4 to Soil < 100000 kg Toxic Naterway OR < 1000000 kg NFPA-H3 to Soil > 100000 kg Harmful to Waterway OR > 100000 kg NFPA-H2 to Soil	Property Damage and Business Loss \$5 MM to \$50 MM									
Severity Level-5	> 10 People Severely Impacted On-site > 1 Person Severely Impacted Off-site	Incident with Significant National Media Attention OR < 100000 kg Very Toxic to Waterway OR < 1000000 kg NFPA-H4 to So > 100000 kg Toxic to Waterway OR > 1000000 kg NFPA-H3 to Soil	Property Damage and Business Loss > \$50 MM									
			<u>Legend</u> Acceptable		High Frequency	<b>←</b>			_	Low Frequenc		
			Tolerable - Offsite Tolerable - Onsite									
			I olerable - Unsite Unacceptable									

Figure 1.5 Example RAST Risk Matrix for Consequence Severity times Frequency

summarize results with each cell in the matrix (at intersecting values of Consequence Severity and Scenario Frequency) representing a specific value of scenario risk. Tolerable Risk may also be summarized in the same tabular Risk Matrix and compared to scenario risk in determining if further risk reduction is needed. The values of tolerable frequency for the various Consequence Severity levels are administrative parameters that should be updated to reflect a specific company's risk tolerance criteria. The criteria for Human Harm in the above matrix may also be related to Hazard Distance if a company desires to not use number of severe impacts or fatalities as the reference. The default parameters provided in RAST should be considered "examples" as CCPS does not endorse any specific risk criteria.

RAST also provides a graph of cumulative frequency versus consequence severity level as an indicator of societal risk. For human harm consequences, this graph is similar to an F-N Curve for making risk decisions.

A Layers of Protection Analysis (LOPA) workbook within RAST is used to summarize the risk associated with each scenario to be analyzed. Scenarios are selected from a list of potential scenarios for risk analysis by the analysis team. Scenarios of relatively low risk may be screened out from LOPA consideration based on a company's risk screening criteria which may be entered as administrative parameters. Those scenarios representing "worst cases" are noted (those requiring the greatest number of protective layers to meet a company's risk tolerance criteria) to aid the analysis team in selecting which scenarios to include in the analysis.

During LOPA, the study team adds **additional cost effective IPLs** until each scenario is at or below the tolerable risk criteria. Once approved by company leadership, these additional IPLs would be implemented and entered into the company's inspection, testing and maintenance programs to ensure that all safeguards are sustained for the life of the facility. RAST includes several reports to aid the study team in development of a design basis for effective IPLs (such as estimation of the maximum allowable response time for a protective layer to function).

#### **RAST Documentation**

RAST maintains datasets of new chemicals, suggested scenarios, consequence analysis results, and layers of protection analysis results for each equipment item evaluated. These datasets are compatible with and may be imported into newer versions of the RAST software to effectively manage the data and documentation associated with the study. Future HIRA studies for the facility are easily updated by importing previous studies into the latest version of RAST, review and update of inputs, and generation of updated reports.

All chemical, equipment, process conditions and location inputs are stored within RAST by the equipment item or unit operation name. A User may select any equipment item within the HIRA study to review inputs or results, make appropriate changes or additions, and save the updated information. All information related to risk analysis for a specific scenario is stored within RAST by the scenario number. A User may select any scenario number to review scenario details and identified protection layers, make changes, and save the updated information. All reports and analysis results may be viewed by selecting either the equipment item or scenario number depending on the specific report desired.

# **RAST Training Materials**

There are three related training manuals (and workshops available) for RAST.

Chemical Hazard Engineering Fundamentals (CHEF) is intended for newer engineers or as a refresher for experienced personnel. It describes methodology for performing a Hazard Identification and Risk Analysis (HIRA) study. There are many simplifying assumptions used that may not be suitable for every situation. A RAST User should be familiar with CHEF materials to recognize when a simplifying assumption may not be appropriate within a specific HIRA study.

**Risk Analysis Screening Tool (RAST User)** focuses on how to utilize the software in helping HIRA study teams to improve productivity, consistency, and quality of the studies. Various inputs and reports are described in detail with examples.

**RAST Technical Administrator** is intended to show experienced Process Safety personnel how to incorporate a company's specific risk matrix and other screening criteria into the RAST software. It is intended for those filling a RAST Technical Administrator role rather than a RAST User.

#### 2. GETTING STARTED

RAST is a collection of process safety and risk analysis screening tools used to perform Hazard Identification and Risk Analysis (HIRA). A simple study example will be used to illustrate some of the features of the RAST tool. The example presented in this manual covers simple identification of hazards and evaluation of risk associated with a single equipment item handling a single Chemical. Information input and Analysis details for more complex situations will be covered in the *Additional RAST Inputs and Reports* section. The tool is based on a Microsoft Excel platform.

# Opening the RAST Tool

Open the RAST spreadsheet. The first tab is an "Introduction" worksheet that contains notes pertaining to recent changes and other communication is the first tab you will see. Save this "blank" copy of the tool to your desktop then select "Go to Main Menu" in the top right corner or use the worksheet tabs at the bottom of the page to go to "Main Menu". You may also view the Instructions worksheet by selecting "Go to Instructions" in the top right corner or using the worksheet tabs at the bottom of the page.

#### Main Menu

Equipment Identification, Equipment Type, and Location (Outdoors or Indoors) are entered on the Main Menu worksheet (Figure 2.1) On the Main Menu, one may also:

- Select the Source File for Input Information (prior LOPA or RAST workbook).
- Enter the Equipment Identification, Equipment Type and Location for analysis. (If updating a Previous Study, Equipment Identification, Equipment Type and Location is retrieved from the Equipment Table.)
- Access Workbook Notes for entering and viewing comments regarding the entire workbook and viewing selected parameters used in calculations (such as value of ambient temperature)
- Access Forms for Input Information such as Chemical Properties, Equipment Data, Operating Conditions, and Site or Facility Layout Information.
- Save all Input Information for the Equipment Identification selected
- Select the Evaluation or Report desired
- Update and Save Analysis Results for new or modified Equipment Items

#### **Color Coding Guidance**

Throughout the RAST workbook: "orange" colored cells represent the minimum required information while "yellow" colored cells represent other key information. In addition, "green" macro buttons at the top of each page are used for navigation to other worksheets, "black" for executing calculations, "red" to clear information, and "blue" for saving information.

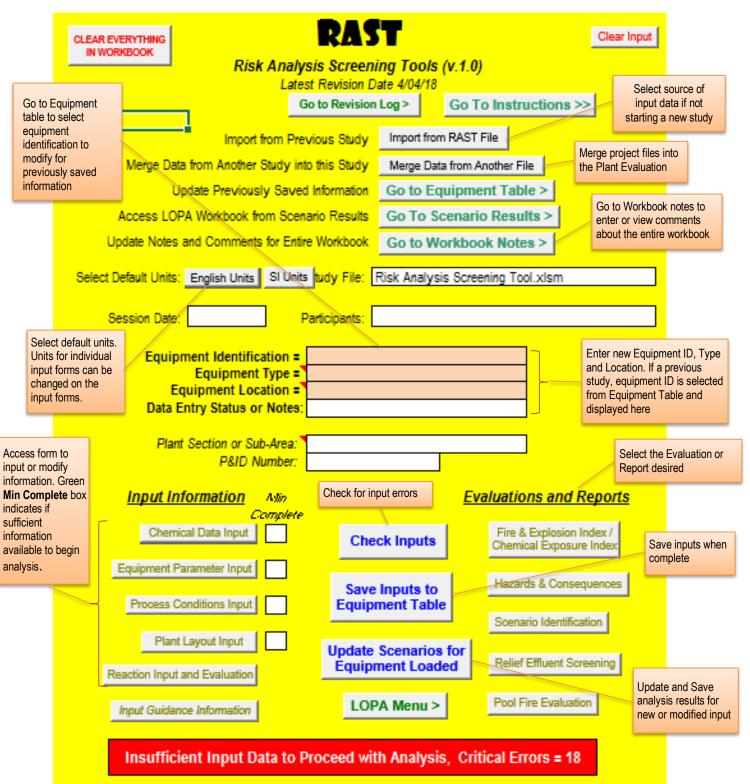


Figure 2.1: Main Menu

## An Example Study

As an example, to illustrate the RAST tool, consider a simple Hazard Evaluation and Risk Analysis for the storage tank containing acrylonitrile at 25 C (77 F) and 0.01 barg (0.2 psig) depicted in Figure 2.2:

#### **Acrylonitrile Reaction Data Equipment Parameters** Heat of Reaction: - 326 cal/gm Tank Volume = $100 \text{ m}^3 (26000 \text{ gal})$ Activation Energy: 32 Kcal/gm mole Chemical = Acrylonitrile Maximum Allowable Working Pressure = 0.2 bar (2.9 psig) Detected Onset Temperature: 190 C Flat Bottom Non-Anchored Tank Detected Onset Rate: 0.08 C/min Bottom Outlet Nozzle = 100 mm (4 inch) Test Method: ARC with Phi Factor of 2.1 Circulating Pump = 7.5 kW (10 HP) **Operating Conditions** Occupied Operating Temperature = 25 C **Plant Layout** Building with Pressure = 0.01 bar (0.2 psig)3 Occupants Property Limit Liquid Head = 6 m (20 ft) V-101 Feed Rate = 400 Kg/min (880 lb/min) Flammable Pump Storage Tank 180 m to Property Limit Acrylonitrile 200 m2 Diked Area Storage Tank

Figure 2.2: PFD for example (acrylonitrile storage tank)

#### Study Input Information

Let's begin by entering the *minimum necessary* Input Information to begin a new study.

#### **STEP 1:** In the **Main Menu** worksheet:

 Enter the Equipment Identification, <u>V-101</u>, select the Equipment Type, <u>Vessel/Tank</u> and Location, <u>Outdoors</u> from the drop-down lists.

Location is assumed <u>Outdoors</u> if input is blank. If updating a previous study, the Equipment Identification would be selected from the Equipment Table and displaced on the Study Menu form.

#### Select Default Units as SI Units

If updating a previous study, DO NOT select Default Units as information has already been entered in previously defined units.

From the **Main Menu**, **Select** *Chemical Data Input* to enter Chemical information.

Chemical Information is entered on the Chemical Data worksheet (Figure 4). On this worksheet, one may:

- Select the Chemical (or Chemical Mixture) contained within the Equipment being analyzed.
- Access key Chemical Information from a Chemical Data Table.
- Estimate specific Chemical and Physical Properties at the Operating Temperature including the Physical State (vapor, liquid, or solid), Vapor Pressure, Vapor Composition, Liquid Density, Liquid Heat Capacity, and Heat of Vaporization. Other Chemical Information such as estimated mixture Boiling Point and Saturation Temperature (boiling point at the operating pressure) are also available.
- Enter additional Chemical Information not available or missing from the Chemical Data Table.

#### **STEP 2**: In the **Chemical Data Input** worksheet:

- Select the Chemical Name, <u>Acrylonitrile</u>, from the available list and Enter Weight Fraction Feed of 1.0.
- Enter the Operation Temperature of <u>25 C</u> and Operating Pressure of <u>0.01 bar</u> gauge (near atmospheric pressure).
- Select Go to Main Menu to continue with additional information input.

\*Note that there are "orange" cells on the Chemical Data Input worksheet denoting minimum inputs. Once inputs are made in these cells, they are no longer "orange". Also, on the Main Menu the "Minimum Complete" box has turned green for Chemical Data Input once all the minimum required inputs have been entered.

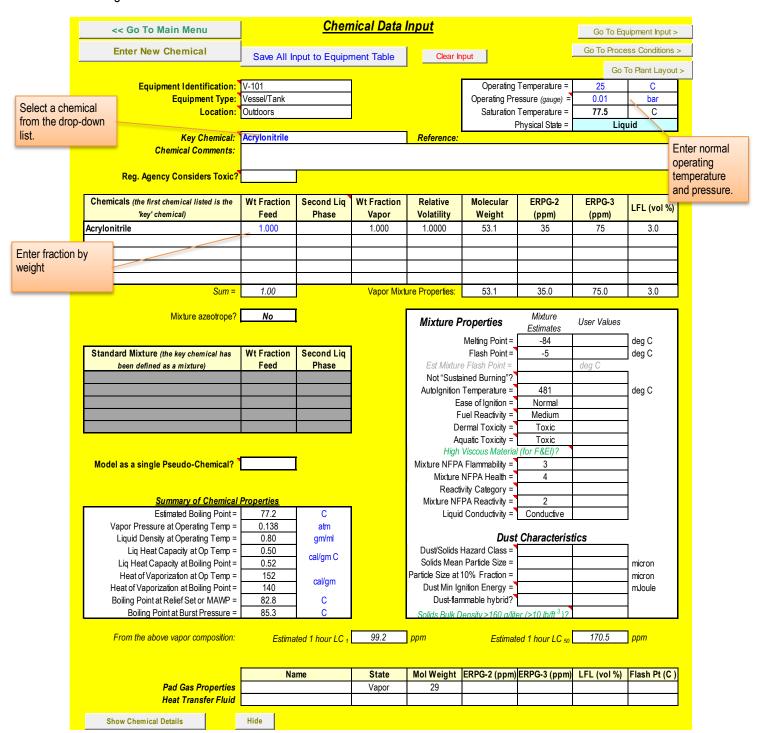


Figure 2.3: Chemical Data Input

From the **Main Menu**, **Select Equipment Parameter Input** to enter Equipment Information.

Equipment Parameters are input on the Equipment Input worksheet (Figure 2.4). On this worksheet one may:

- Enter key Equipment Information such as Volume, Maximum Allowable Working Pressure, Pipe or Nozzle Diameter, Material of Construction, Surface Area and Elevation.
- Enter Design Information for specialized equipment such as Heat Transfer Area, Heating Media Temperature, Coolant Temperature, Pipe Length, Pump Seal Type, etc.
- Enter information regarding Design Issues such as Corrosion or Stress Cracking Potential, Susceptible
  to Vibration Fatigue, Piping Vulnerable to Physical Damage, Use of Conductive Dip Pipe or Bottom Fill,
  etc.
- Enter Relief Device design information such as Relief Set Pressure, Relief Size (diameter), Relief Type,
   Tail Pipe Diameter, and Discharge Elevation.

#### **STEP 3**: In the **Equipment Input** worksheet:

- Enter the Equipment Volume of 100 m³, Maximum Allowable Working Pressure (MAWP) of 0.2 bar gauge and Nozzle or Pipe Size of 100 mm. This represents the minimum input information
- Additional Equipment Parameters available that should be entered are Motor Power of 7.5 Kw for the circulating pump (which is a mechanical energy input to the tank). You may also enter an Equipment Description if desired.
- Select Go to Main Menu to continue with additional information input.

\*Note that there are no longer "orange" cells on the Equipment Input worksheet denoting that minimum input requirements have been met. Also note that on the Main Menu the "Minimum Complete" box has turned green for Equipment Parameter Input.

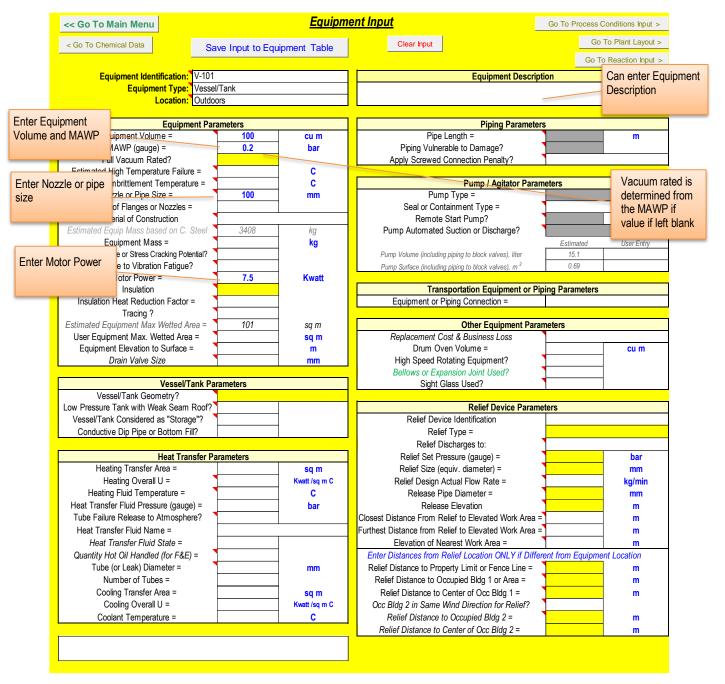


Figure 2.4: Equipment Input Worksheet

From the **Main Menu**, **Select** <u>Process Conditions Input</u> to enter Process and Operating Conditions Information

Process and Operating Information is entered on the Process Conditions worksheet (Figure 2.5). On this worksheet, one may:

- Enter ambient temperature to be used in the analysis.
- Enter key process conditions such as the maximum fill or feed rate and the liquid head for equipment with low operating pressure.
- Enter additional feed information such as the total inventory, maximum feed pressure, and type of feed (continuous or batch).

 Enter information on use of Pad Gas such as Pad Gas Pressure, Maximum Pad Gas Flow Rate and if a Non-ignitable Atmosphere is Being Maintained in the equipment.

#### **STEP 4:** In the **Process Conditions** worksheet:

- Enter the Liquid Head within Equipment of 6 m and Maximum Feed or Flow Rate of 400 Kg/min.
   Ensure input units are correct. Note that Liquid Head is entered since it has a significant impact on the pressure drop available for leaks in this case. (The tank is operating at << 1 atmosphere gauge). Also note that leaving the Total Inventory blank implies an unlimited inventory available for overflow or leak scenarios.</p>
- Select Go to Main Menu to continue with additional information input.

\*Note that there are no longer "orange" cells on the Process Conditions worksheet denoting that minimum input requirements have been met. Also note that on the Main Menu the "Minimum Complete" box has turned green for Process Conditions Input.

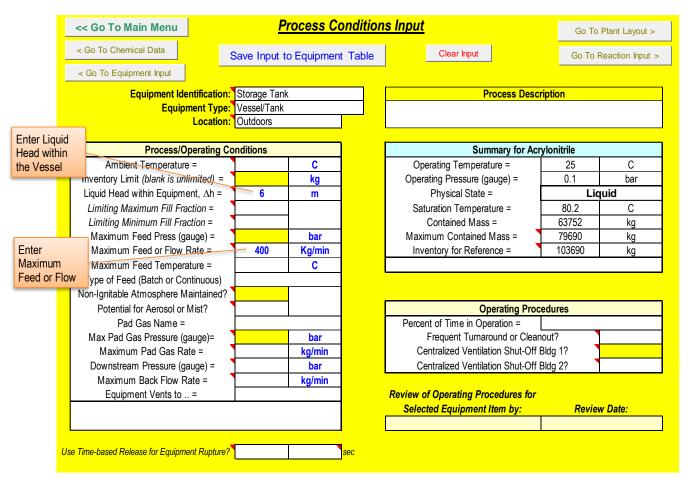


Figure 2.5: Process Conditions Worksheet

From the **Main Menu**, **Select** <u>Plant Layout Input</u> to enter Process and Operating Conditions Information Site and Plant Layout Information is entered on the Plant Layout worksheet (Figure 2.6). On this worksheet, one may:

 Enter key location information such as minimum Distance to Property Limit or Fence Line, Furthest Distance to Property Limit, Distance to Occupied Building and Number of Building Occupants. One may

- also enter up to two offsite populated regions. If Equipment Location is "Indoors", key information includes the Enclosed Process Volume.
- Enter other location information such as: if Personnel are Routinely in the Immediate Area, Effective Egress from the Immediate Work Area, Degree of Equipment Congestion, Area of Containment Dike, Drainage to a Remote Location, and Distance from Fired Equipment.
- Enter the Number of Enclosed Area Personnel if the Equipment Location is Indoors.
- Enter Occupied Building Information including Name, Elevation of Ventilation Inlet, Ventilation Rate, and
  if there is Centralized Ventilation Shut-Off.

## **STEP 5:** In the **Plant Layout Input** worksheet:

• Enter the Distance to Property Limit or Fence Line of 180 m, the Distance to Occupied Building or Enclosed Work Area of 70 m and Maximum Number of Building Occupants of 3. Note that if equipment Location is "Indoors", Enclosed Process Volume becomes a required input.

**Select <u>Go to Main Menu</u>** to Check Inputs, Save Inputs to the Equipment Table, or view Evaluations or Reports.

\*Note that there are no longer "orange" cells on the Plant Layout worksheet denoting that minimum input requirements have been met. Also note that on the Main Menu the "Min Complete" box has turned green for Plant Layout Input.

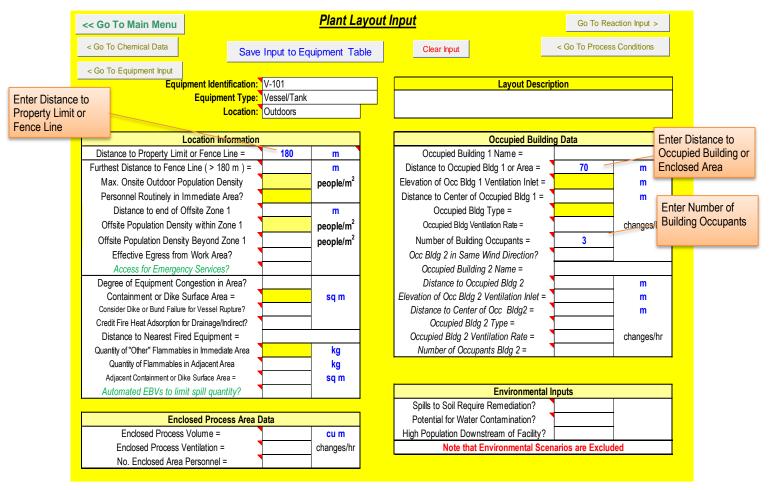


Figure 2.6: Plant Layout Worksheet

#### From the Main Menu, Select Check Inputs (blue macro button).

Inputs are checked for missing information, missing units, or values outside of a normal range. Errors are categorized as Comment, Warning, or Critical. Critical errors must be addressed before proceeding with preliminary evaluations. Any default values used for missing input information are described as comments.

See Figure 2.7 for error message examples.

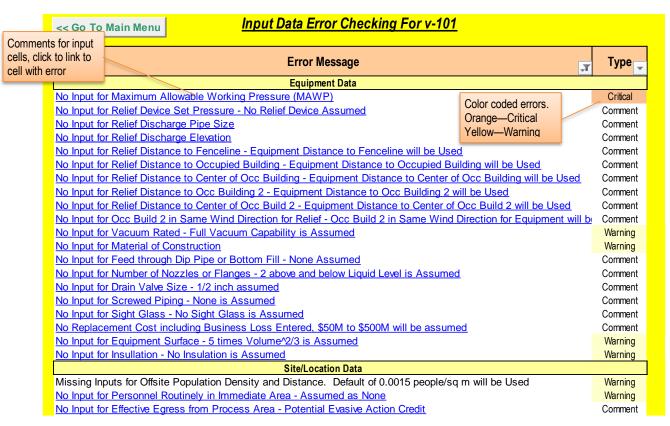


Figure 2.7: Error Messages from Check Inputs

## Saving Input Information

From the Main Menu, or any of the Input worksheets (Equipment Input, Chemical Data, Process Conditions, Plant Layout, or Reaction Input), Select <u>Save Inputs to Equipment Table</u> (blue macro button). All Input Information will be stored in the Equipment Table in a single row identified by a unique Equipment Identification or Tag (Figure 2.8).

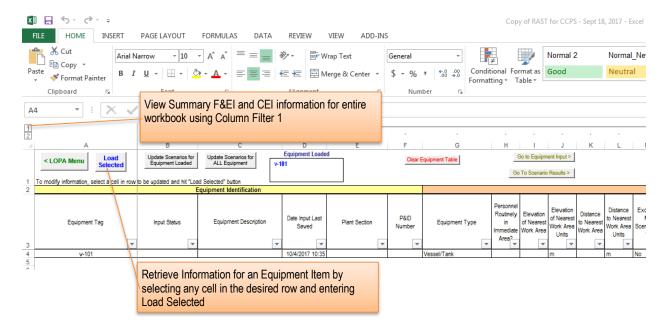


Figure 2.8: Example Equipment Table

Inputs for additional equipment items are stored in subsequent rows on the Equipment Table. To save time for creating inputs, information for a previously stored Equipment Identification or Tag may be retrieved, modified to reflect the desired new input and saved under another unique Equipment Identification or Tag. If Input information for a specific Equipment Tag already exists in the Equipment Table, a message will appear asking if the information is to be updated or overwritten (Figure 2.9).



Figure 2.9: Warning notice

## **Evaluations and Reports**

Once the minimum required inputs have been entered, the user or analysis team may begin evaluations and identification of hazard scenarios. As more information is input, more thorough evaluation may be performed. In this way, a project team may begin with little initial information. Additional hazard scenarios are added for consideration as greater information is input. Selected evaluations and summaries associated with Screening Level Hazard Evaluation will be discussed in the next sections of Getting Started.

#### Preliminary Fire and Explosion Index

Even with limited information, the Dow Fire and Explosion Index (F&EI) or Chemical Exposure Index (CEI) may be estimated. These represent "Relative Ranking" indices which may be used by a company to screen when qualitative versus quantitative HIRA methods should be used. An example criterion for requiring a quantitative versus qualitative HIRA study might be an F&EI Index of 128 or higher.

The Fire and Explosion Index categorizes process hazard as shown in Table 1.1:

F&EI Range	Degree of Hazard
1 – 60	Light
61 – 96	Moderate
97 – 127	Intermediate
128 – 158	Heavy
159 and higher	Severe

Table 1.1: Fire & Explosion Index Degree of Hazard

Note that Preliminary F&EI from the RAST tool is based on a **single Equipment Item**. The Dow Fire & Explosion Index Hazard Classification Guide allows evaluation of larger "Process Units" (consisting of Page **28** of **143** 

multiple equipment items) within a single analysis. The Dow *F&EI Hazard Classification Guide* notes that risk will be overstated for Process Units handling less than 5000 lb. (2269 kg.)). Careful consideration should be given to Preliminary Results for equipment items handling small quantities. Also note that answers to several F&EI questions are evaluated based on available Input information which may not exactly match the question criteria. As a result, the Index and Radius of Exposure may be slightly different that attained with the DOW F&EI but is typically within 5 to 10% of the numerical value.

To view the preliminary Fire and Explosion Index, *Select* Fire & Explosion Index / Chemical Exposure Index from the *Study Menu* worksheet (Figure 2.10).

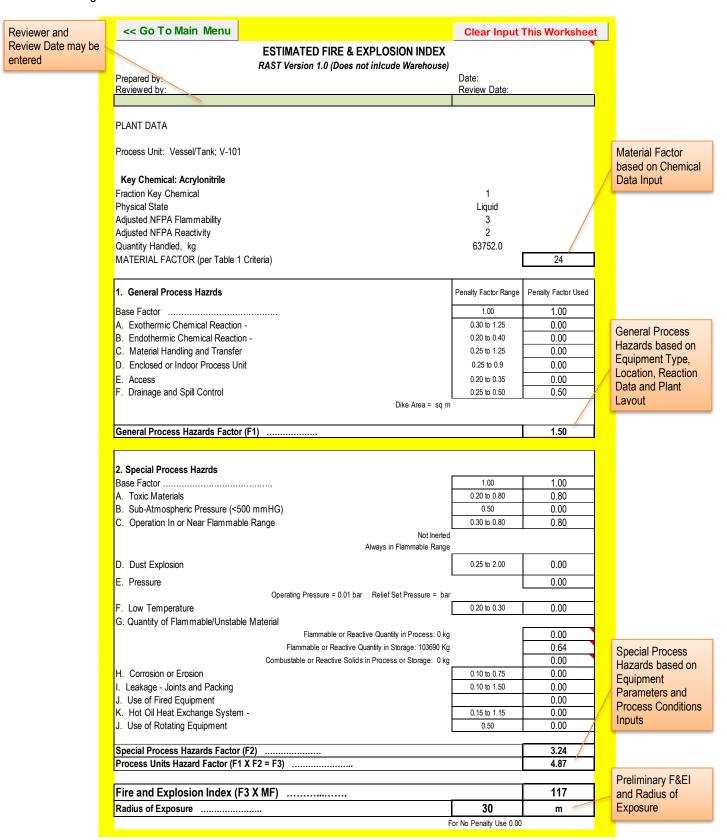


Figure 2.10: Fire & Explosion Index Preliminary Results

#### Preliminary Chemical Exposure Index

Chemical Exposure Index (CEI) may be estimated based on the ERPG-2 and ERPG-3 (Emergency Response Planning Guideline) concentrations from the Chemical Data worksheet. Calculation Units are selected as either SI or US/English based on the Input Units for Distance (meter or feet). Up to four standard cases are estimated:

Pipe or Nozzle Failure is based on the leak rate from hole size per the CEI guidance of:

- Diameter less than 2 inch (50 mm) full bore failure
- Diameter between 2 and 4 inch (100 mm) estimated as a 2 inch hole
- Diameter greater than 4 inch estimated as a hole equivalent to 20% of cross-sectional area

Equipment Overfill or Overflow is based on a leak rate equivalent to the entered feed rate.

Release from Pressure Relief Device is based on an entered Design Capacity or estimated from the Relief Diameter and Set Pressure. (Results for this case are blank if Relief Device information has not been entered.)

*Fire Exposure Vapor Venting* is based on NFPA-30 estimates of fire heat input divided by the heat of vaporization. (Results for this case are blank if a fire potential is not feasible based on Chemical Data input and "Quantity of Other Flammables in Area" is zero or blank.)

The Chemical Exposure Index and related Hazard Distance to ERPG-2 concentration (HD-2) or Hazard Distance to ERPG-3 concentration (HD-3) are based on "ground" elevation releases lasting at least 5 minutes in duration. An example criterion for requiring a quantitative versus qualitative HIRA study might be a CEI Index of 200 or greater.

To view the preliminary Chemical Exposure Index, *Select* Fire & Explosion Index / Chemical Exposure Index from the *Main Menu* worksheet (Figure 2.11).

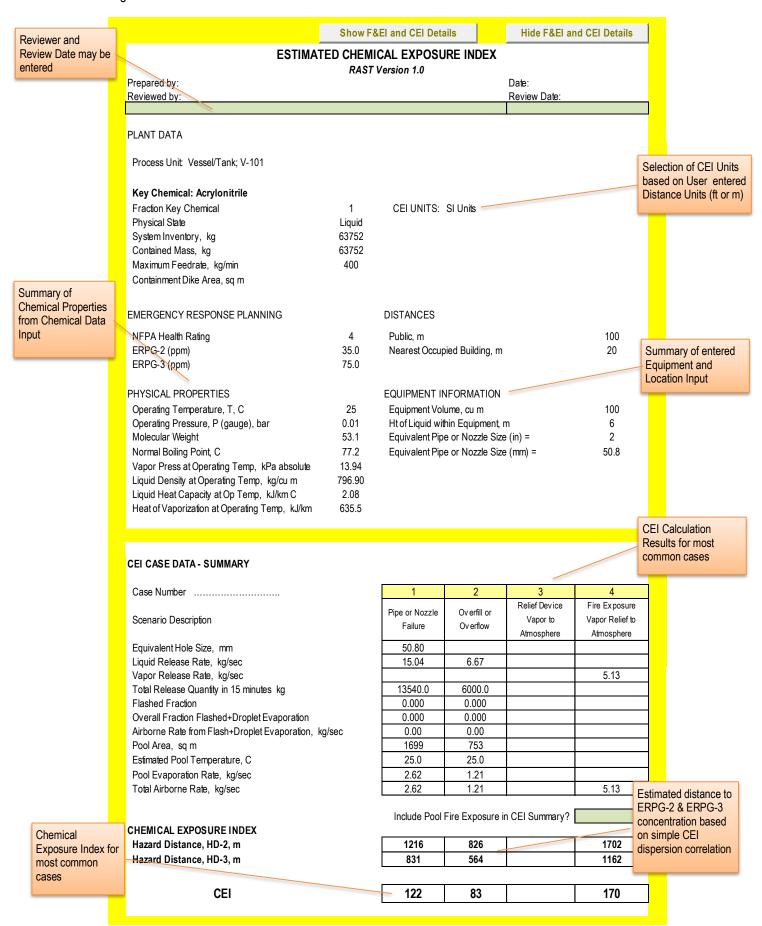


Figure 2.11: Chemical Exposure Index Preliminary Results

## **Hazard Summary**

A summary of Process Hazards is developed based on the input information is provided for "normal" and selected "upset" process conditions. Hazards associated with excessive pressure (potential for equipment rupture and/or relief device activation), chemical exposure (thermal and/or chemical burns, dermal toxicity), flammability (including pool fire potential), inhalation toxicity, and reactivity are included in this summary. If information beyond the minimum required is input, additional hazards are considered. <u>The evaluation team should also consider any other hazards not identified in this summary.</u>

Example Initial Hazard Screening Results are summarized as:

#### Flammable Hazard Sufficient for Further Consideration if:

- Flash Point less than a specified limit (such as 60 deg C) or
- The maximum process temperature (under normal or upset conditions) is greater than the flash point less a specified limit (such as 5 deg C) **or**
- The chemical is considered a combustible dust or dust-flammable liquid hybrid.

#### **Toxicity Hazard** Sufficient for Further Consideration if:

- ERPG-3 less than a specified limit (such as 1000 ppm by volume) or
- Chemical is labeled as toxic in contact with skin, or toxic to the environment or considered by a regulatory agency to be toxic.

## Reactivity Hazard Sufficient for Further Consideration if:1

- Heat of Reaction / Mass is more exothermic than specified limit (such as -50 J/gm) or
- There is evidence of highly volatile or gaseous products generated or
- There is evidence of a reaction with water or any other chemical which may be inadvertently added
   or
- The chemical is considered a potential Condensed-Phase Detonable (explosive)

#### Hazardous Service Sufficient for Further Consideration if:

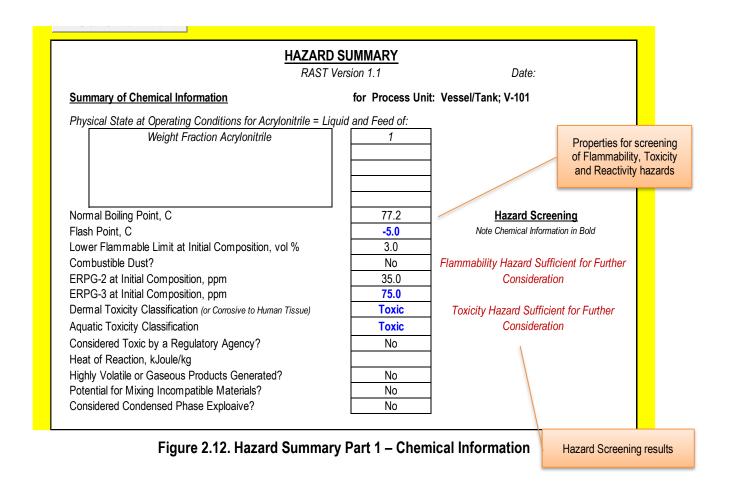
- The maximum process temperature (under normal, upset, or reaction conditions) is greater than a specified limit for thermal burns (such 60 deg C for liquids, or 100 deg C for vapors) or temperature is less than a low temperature limit (such as -20 deg C) **or**
- The chemical handled is considered corrosive to human tissue or
- The estimated maximum process pressure or vapor pressure (under normal, upset, or reaction conditions) exceeds the equipment Maximum Allowable Working Pressure or relief device set pressure.

Note that the Hazard Screening Criteria found on hidden worksheets may be changed to reflect a company's specific criteria. It is suggested that a company representative proficient in chemical process risk analysis (filling a RAST Technical Administrator role) be responsible for updating study parameters rather than RAST

<sup>1</sup> The Chemical Reactivity Worksheet (Version 4.0) can be used to assist in identification of reactivity hazards. It is available free of charge at: https://www.aiche.org/ccps/resources/crw-overview

users or members of a specific study team. The default parameters provided in RAST should be considered "examples" as CCPS does not endorse any specific risk criteria.

Figures 2.12, 2.13 and 2.14 show the Hazard Summary for the Acrylonitrile example.



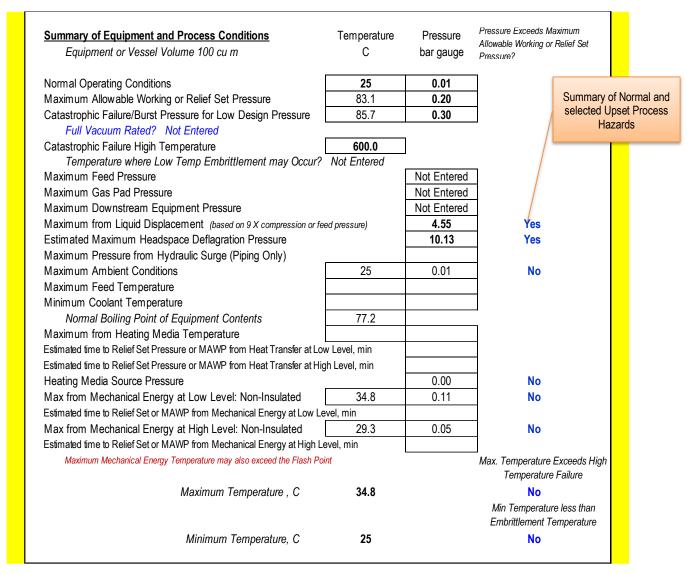


Figure 2.13: Hazard Summary Part 2 – Equipment and Process Conditions

RAST User's Manual 2. Getting Started Potential for Uncontrolled Reaction Relief Device may not be Reaction Temperature of No Return is Greater than the Boiling Point at Relief Set Pressure or MAWP or adequately sized for **Uncontrolled Reaction** non-Reactive Reaction Hazard Exothermic Reaction Temperature of No Return Summary Pressure Exceeds Maximum Maximum Reaction based on Adiabatic and Initial Allowable Working or Relief Set Temperature, C Pressure, barg Temperature as Operating Temperature 25.0 1.01 Max Reaction Temp Exceeds High Temperature Failure? **Potential for Pool Fire** Yes Evaluation of Pool Fire The Flash Point is Less Than: 60 C, Ambient Temperature + 5 C, Operating Temperature + 5 C, Heating Media Potential Temperature + 5 C, Max Mechanical Energy Temperature + 5 C Quantity Flammable Available based on System Inventory 63752.0 Relief Device may not be Maximum Pool Fire Duration based on Direct Fire 513.2 adequately sized for Pool minutes Fire Exposure Fire Heat Input per API 2000 or NFPA 30 for Storage or 10222235.8 Kwatt Low Pressure Tank

Figure 2.14: Hazard Summary Part 3 – Reactivity and Fire Information

Contents Reach Relief Conditions at Pool Fire Duration
Contents Reach Failure or Rupture Conditions at Pool Fire Duration

#### Consequence Summary

A summary of potential Consequences for a variety of Loss Events based on a single Equipment Item is provided in the Consequence Summary. The **Loss Event** is selected and estimation results for Airborne Quantity, Vapor Dispersion, Explosion, and Impact Assessment including **LOPA Tolerable Frequency Factor** and **Occupied Building Impacts** are displayed.

IMPORTANT: The Consequence Analysis in RAST is based on "steady state" rather than dynamic conditions at the chemical composition and flow rate entered on the Chemical Data and Process Conditions input worksheets. Several unit operations may require dynamic simulation to perform detailed hazard and risk evaluation rather than use of "average" composition or process conditions used by RAST. Units where composition changes over time or location within the equipment would be reactors or distillation columns. The liquid or vapor velocity within piping systems may also be time or location dependent. In these cases, the User needs to determine if RAST is capable of providing the accuracy and level of detail needed.

#### **Loss Event Categories** are aligned to specific discharge models including:

- Hole Size where release rate is determined by modeling the discharge from a hole of specified diameter, process pressure, and fluid density. A small hole (5 to 15 mm) may represent gasket failure or leaks from mechanical pump seals. A medium hole (25 mm) may represent significant equipment or piping leaks, while a large hole (100 mm to full bore) represents hose, pipe, or equipment nozzle failure.
- Overflow or Specified Rate where release rate is determined from the feed or other specified release rate.

 <u>Excessive Heat</u> where release rate is determined from the rate of heat input divided by the heat of vaporization.

 <u>Equipment Rupture</u> represents a sudden release of the entire contents and may apply to both energy and hazardous chemical releases.

**Airborne Quantity** for liquid releases involves estimation of liquid release rate, flash fraction, aerosol evaporation fraction, and evaporation from liquid pools.

**Vapor Dispersion** involves estimation of concentration and distance by jet mixing or atmospheric dispersion for continuous or instantaneous releases. The effects of buoyancy, momentum, elevation, and ventilation for indoor releases are also considered.

**Explosions** include Physical Explosion (equipment rupture), outdoor Vapor Cloud Explosion, and indoor Building (or confined space) Explosion. Hazard and damage level are related to blast overpressure with distance from the explosion epicenter.

*Impact Assessment* involves estimation of the number of people potentially impacted by various Incident Outcomes including Flash Fire, Vapor Cloud Explosion, Building Explosion, Physical Explosion, Toxic Release and Chemical Exposure. Impacts to personnel outdoors, within enclosed process areas, and within occupied buildings are considered. If the Study Parameter option to represent consequence severity is set as "Hazard Distance", the Impact Assessment, severity is estimated from Vapor Dispersion and Explosion estimates rather than number of people.

Figure 2.15, 2.16 & 2.17 show the Consequence summary for the Acrylonitrile example.

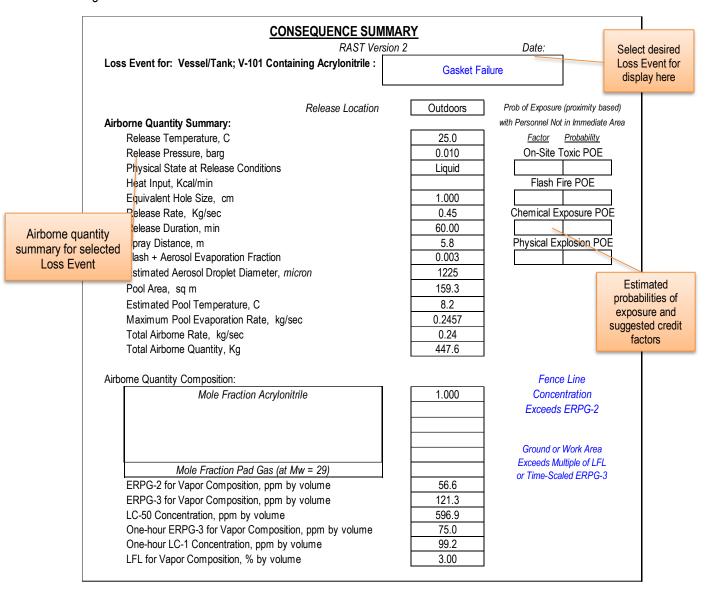


Figure 2.15: Consequence Summary for Acrylonitrile example part 1

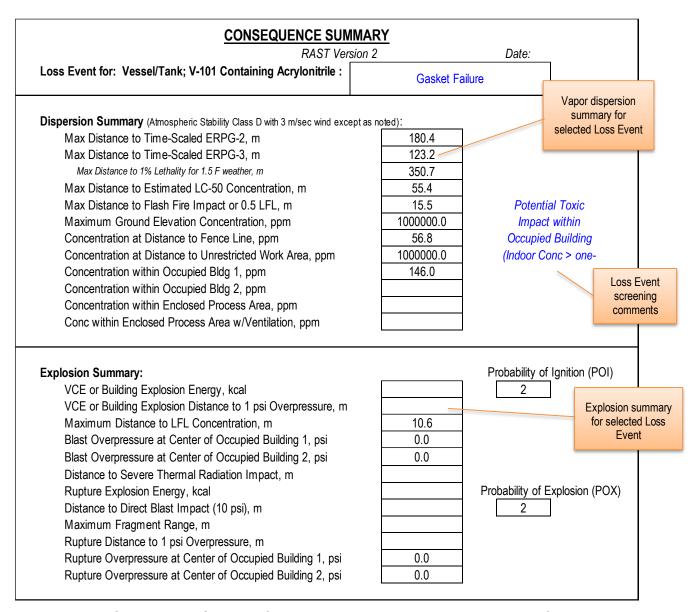


Figure 2.16: Consequence Summary for Acrylonitrile example part 2 – Dispersion & Explosion Summary for Selected Loss Event

	2	Date:
oss Event for: Vessel/Tank; V-101 Containing Acrylonitrile :	Gasket Fai	lure
ncident Outcome and Consequence Summary:		LOPA Tolerable Frequency
Impact Assessment with Personnel routinely in the immediate	Exceeds Threshold	Factors Based On
area	Criteria	Estimated Number of
Offsite Toxic Impact based on Toxic Integration Method and 180 m to Fence Lin	e Yes	People Impacted
·		5
Onsite Toxic Impact based on Distance to LC-50 Concentration of 55 m	Yes	4
Outdoor Toxic Exposure Duration 600 sec		
Onsite Flash Fire Impact based on Distance to 0.5 LFL Concentration of 15 m	Yes	4
Chemical Exposure based on Dermal or Thermal Hazards and Spray Distance of	f 6 m	3
Onsite Direct Blast Impact based on Distance to 10 psi of 0 m		
Onsite Thermal Radation Impact based on Distance from Fireball	of 0 m	
Onsite Thermal Radation Impact based on Distance from Fireball	of 0 m	4
Onsite Thermal Radation Impact based on Distance from Fireball Occupied Building Toxic Impact		4
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people		4
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people  Number of Potential Serious Impacts for Building 2: 0 people		4 NA
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people  Number of Potential Serious Impacts for Building 2: 0 people  Occupied Building Impact from Vapor Cloud Explosion	Yes	
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people  Number of Potential Serious Impacts for Building 2: 0 people	Yes	
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people  Number of Potential Serious Impacts for Building 2: 0 people  Occupied Building Impact from Vapor Cloud Explosion  Number of Potential Serious Impacts for Building 1: 0 people	Yes	
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people  Number of Potential Serious Impacts for Building 2: 0 people  Occupied Building Impact from Vapor Cloud Explosion  Number of Potential Serious Impacts for Building 1: 0 people  Number of Potential Serious Impacts for Building 2: 0 people	Yes No	
Onsite Thermal Radation Impact based on Distance from Fireball  Occupied Building Toxic Impact  Number of Potential Serious Impacts for Building 1: 0.6 people  Number of Potential Serious Impacts for Building 2: 0 people  Occupied Building Impact from Vapor Cloud Explosion  Number of Potential Serious Impacts for Building 1: 0 people  Number of Potential Serious Impacts for Building 2: 0 people  Occupied Building Physical Explosion Impact	Yes No	

Figure 2.17: Consequence Summary for Acrylonitrile example part 3 – Impact Assessment Summary for Selected Loss Event including LOPA Tolerable Frequency Factor for Selected Loss Event

#### Scenario Identification

A library of scenarios has been developed from operational experience, incident history, and historical risk analysis studies. The scenario library in RAST is based on considering the entered Equipment Item as a study "node" for which common parameters and deviations are identified – a technique used in Hazard and Operability Studies (HAZOP). For simplified Process Risk Analysis, parameter deviations are primarily focused on those which could lead to an unintended release of hazardous material or energy (a Loss Event) impacting people or the environment. Few cases involving only Equipment Damage or Business Loss have been included.

Scenarios considered in the library not meeting a "feasibility" criterion are shown in gray and not included on the Scenario Results worksheet. The Scenario Identification list is intended to assist the Hazard Evaluation or Risk Analysis study team identify *what could go wrong* in the operation of the equipment item. This list is interactive: adding or modifying input information will update the list. If this worksheet is accessed directly by the worksheet tabs then the "<u>Update"</u> command should be used to ensure the information is current. Figure 2.18 shows the Suggested Scenario screen for some selected scenarios.

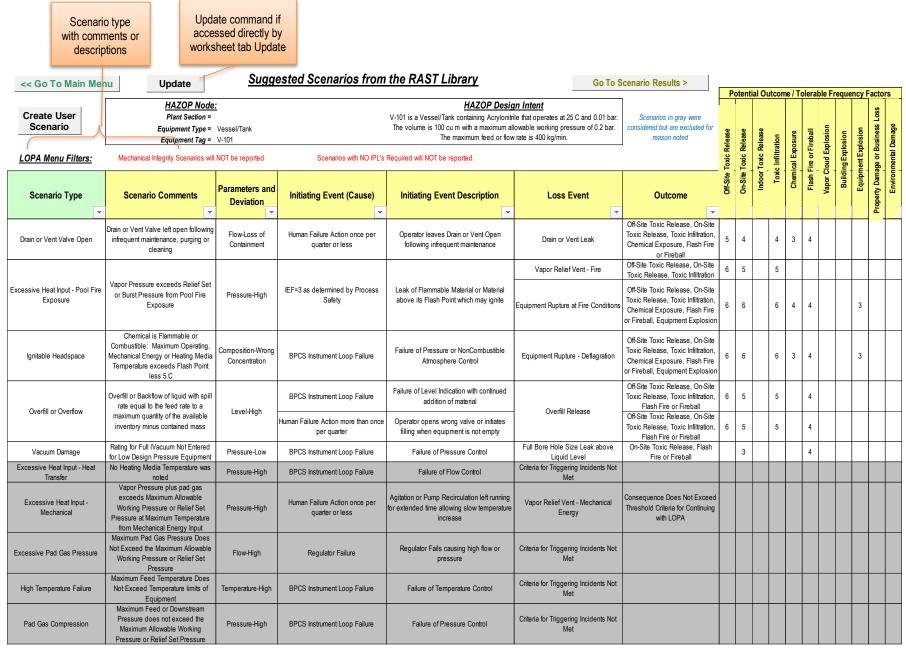


Figure 2.18: Portion of suggested Scenarios of Acrylonitrile example

# Example Impact of Changes in Parameter Inputs

To demonstrate the impact of a Parameter Input change:

Select Scenario Identification from the Main Menu and view the Scenario List.

Return to the Main Menu and Select Equipment Parameter Input. Enter the input for Insulation? as "Yes"

Again, Select **Scenario Identification** from Main Menu.

Notice that a new scenario has been added (Figure 2.19):

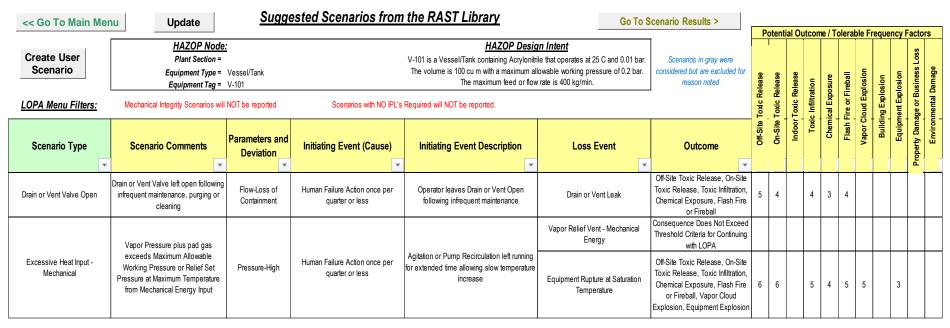


Figure 2.19: New Scenario Added due to Adding Insulation

If the vessel is well insulated, then the small quantity of heat from the circulation may be able to slowly raise the maximum temperature to the point where vapor pressure of acrylonitrile exceeds the design limits of the equipment.

As another example:

Select **Process Conditions Input** from the Main Menu.

Enter for **Downstream Pressure** (gauge) = a value of **0.5 bar** to reflect the head of fluid downstream.

Return to the Main Menu and again select **Scenario Identification**.

Notice that the Overfill Scenario has been modified (Figure 2.20):

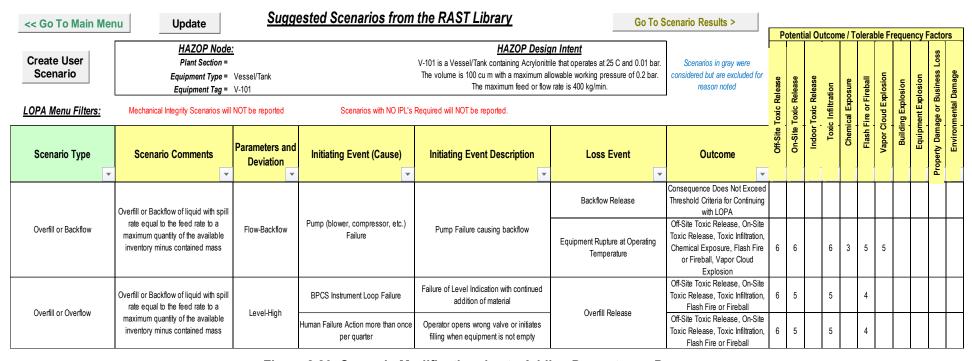


Figure 2.20: Scenario Modification due to Adding Downstream Pressure

A second Loss Event has been added to reflect <u>backflow</u> as a means by which Overfill may occur. Also notice that additional Initiating Events may be added. Note that the backflow rate may also need to be entered for evaluation of Consequence. (The Outcome comments note that the Consequence Does Not Exceed Threshold Criteria for Continuing in LOPA".)

# Saving Preliminary Analysis Results

From the Main Menu, Select <u>Go to Equipment Table</u>, select the cell containing V-101, and Select <u>Load Selected</u>. This will remove the changes in Insulation and Downstream Pressure inputs and return to the Main Menu. Select <u>Update Scenarios for Equipment Loaded</u> (black macro button). Results of the Preliminary Analysis will be stored on the Scenario Results worksheet in a single row identified by a unique Scenario Number. Results contain information regarding the type of equipment, scenario category, initiating event, loss event, incident outcome, consequence, a summary of release quantities and summary of hazard distance estimates.

## The Scenario Results worksheet may be accessed from the LOPA Menu.

There is no "calculation" per se, but a capture of scenario information at a point in time based on the Input information available. If Inputs are changed and <u>Update Scenarios for Equipment Loaded</u> is initiated at a later time, estimates are compared to the previous values for each existing Scenario. When estimates do not match the previous estimate, the cell containing the changed results turns "green" and the prior values are stored in the cell comments. This allows the user to determine the impact of changes in the Input information.

An example of the Scenario Results worksheet is located in Figure 2.21

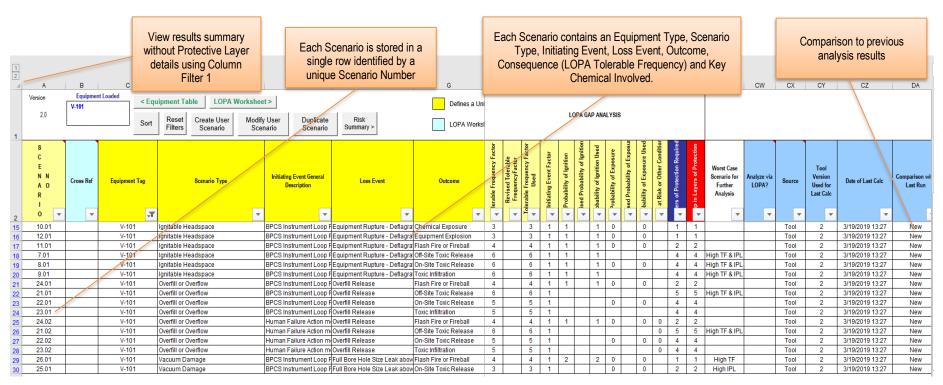


Figure 2.21: Preliminary Analysis Results

### 3. CHEMICAL DATA

The chemical and physical properties of materials handled are fundamental in Hazard Evaluation and Risk Analysis. RAST contains a small chemical database and allows modification or creation of key chemical parameters for single components and mixtures.

This section contains:

- The simple correlations used for common chemical properties as a function of temperature.
- How to enter chemical properties for a new chemical.
- How to create a mixture from listed chemicals.
- How vapor composition is estimated in RAST from liquid composition assuming an "ideal" mixture.

# **Chemical Property Correlations in RAST**

Chemical Properties are needed at several temperatures to perform screening calculations. The following are simple correlations of key chemical properties used in RAST that require only two data points at different temperatures in the region of interest.

```
Vapor Pressure: In (Psat) = a – b / (T - c). The "c" constant is 0 if only two data points are used with T in deg K

Liquid Density: ρ = a - b T

Liquid Heat Capacity: Cs = a + b T

Heat of Vaporization: λ = a – b T – c T². The "c" constant is zero if only two data points are used.

Vapor density may be estimated as an ideal gas by:
ρ<sub>V</sub> = 0.12 P Mw / T where P is pressure in kPa and T in deg K
```

Note that values of the coefficients in the above correlations are typically positive numbers as the "sign" is part of the correlating equation.

The simple property correlations in RAST due not include an equation of state (vapor is estimated as an ideal gas). This **limitation will result in less accuracy for equipment operating with liquid at elevated temperature and pressure** (such as a liquefied gas operating near the critical temperature of the material). In some cases, it may be necessary to utilize more advanced software in estimation of thermodynamic properties for determination of material or energy release rate near the critical point.

# **Entering New Chemical Properties**

A fairly comprehensive list of chemical properties is available within the RAST Chemical Data Table. However, if a chemical is not listed or the User wants to modify the available data, the **Enter New Chemical** option must be used. Note that to create a chemical mixture, the properties for *each* component must be available from the Chemical Table listing. Refer to Figure 3.1.

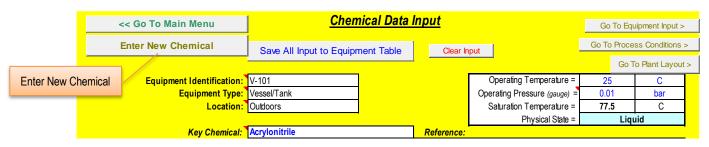


Figure 3.1: Entering a New Chemical

3. Chemical Data RAST User's Manual

From the Chemical Data worksheet, select <u>Enter New Chemical</u>. One may begin with properties from an existing chemical by selecting a <u>Chemical Name</u> under the column "Starting chemical that is similar" or merely enter values under the column "User Supplied Values". Note that if any chemical property is updated by the user, then the chemical needs to be saved using a different Chemical Name to be available on the chemical listing.

Select <u>Save Data to Chemical Table</u> to save information as a "user" chemical for use within the current RAST file. All "user" chemicals will be included in new RAST files that are "imported" from this file. Contact a RAST administrator for addition, update, or deletion of chemical information in the globally available list within the RAST tool.

### **Example Entry of New Chemical Properties**

As an example, we will enter data for t-butyl amine (CAS 75-64-9) as a new chemical. The input information may come from a variety of sources, including various Physical Property Databases, Vendor NFPA Ratings, American Industrial Association ERPG values (or US Department of Transportation Protective Action Criteria), Material Safety Datasheets, or other literature references.

#### STEP 1: Enter initial data.

Molecular Weight: 73.14

Melting Point: -86.7 deg C
Boiling Point: 44.4 deg C

Select two temperatures to correlate vapor pressure, liquid density, liquid heat capacity and heat of vaporization. These temperatures should be selected to represent the region of interest (including operating temperature, ambient temperature, boiling point, etc.) and fall between the melting point to less than 0.8 of the critical temperature (if known) or roughly 1.2 times the boiling point in deg K. (In this example, the critical temperature is listed as 211 deg C or 484 deg K such that 0.8 of the critical temperature is 387 deg K or 114 deg C.) Temperatures of 0 deg C and 100 deg C are selected. Liquid properties values at "saturation" (pressure equals vapor pressure) are typically used.

**STEP 2: Enter chemical property data at the two selected temperatures.** Using data from external sources in Figure 3.2:

Property	Units	Point 1	Point 2
Temperature, 1 and 2	С	0	100
Vapor Pressure (absolute)	kPa	16.3	517.1
Liquid Density	Kg/cu m	711	607
Liquid Heat Capacity	J/gm C	2.58	2.73
Heat of Vaporization	J/gm	413	323

Figure 3.2: Chemical Properties from External Sources

**STEP 3: Enter remaining available chemical data** from the supplier Material Safety Data Sheet (MSDS), ERPG Database, and other sources into the center (white) column.

Flash Point: -8 deg C

Lower Flammable Limit: 1.7 volume % Upper Flammable Limit: 8.9 volume % Autoignition Temperature: 380 deg C

ERPG-2: 0.38 ppm

3. Chemical Data RAST User's Manual

ERPG-3: 56 ppm NFPA-Health Rating: 3 NFPA-Flammability Rating: 3 NFPA-Stability Rating: 0

**STEP 4:** Enter Ease of Ignition category if there is sufficient information to indicate this hazard characteristic is outside of the default category of "Normal". Categories are Low, Normal, Elevated, and High Ignition based on heat of oxidation, Minimum Ignition Energy, Auto-Ignition Temperature, Fundamental Burning Velocity, and other rating systems such as Maximum Experimental Safe Gap. These categories are described in a report titled "Assessing Probability of Ignition (POI) of Gases and Vapors with Deflagration Potential", Larry G. Britton, Neolytica Inc, March 10th, 2005. Examples of materials in the different Ignition Probability categories:

- Low: ammonia, methylene chloride, and trichloroethylene
- **Normal:** n-butane, propylene, acetone, methane, and methanol
- *Elevated:* hydrogen, acetylene, ethylene oxide, propylene oxide, carbon disulfide, and ethylene
- *High:* silane and various alkyl aluminum compounds (normally described as pyrophoric)

**STEP 5:** Enter Fuel Reactivity category if there is sufficient information to indicate this hazard characteristic is outside of the default category of "Medium". Categories are Low, Medium, and High based on Fundamental Burning Velocity of less than 45 cm/sec, between 45 and 75 cm/sec and greater than 75 cm/sec respectively.

**STEP 6:** Enter Conductivity category if there is sufficient information to indicate this hazard characteristic is outside of the default category of "Semi-Conductive". Categories are Non-Conductive, Semi-Conductive, and Conductive based on liquid electrical conductivity of less than 100 pico-siemen/meter (pS/m), between 100 and 10000 pS/m and greater than 10000 pS/m respectively.

**STEP 7: Enter Dermal and Aquatic Toxicity category** if there is sufficient information to indicate a toxicity hazard based on (United Nations) Globally Harmonized System or European Dangerous Substances Directive categories.

**STEP 8: Enter Dust Hazard Classification** if the material is a solid and there is sufficient information to indicate dust flammability hazard. Often this information is specific to the equipment in which the dust is handled and, therefore, not saved to the Chemical Table. In those cases, the dust classification information is entered and saved to the Equipment Table from the Chemical Data or Main Menu.

Refer to Figure 3.3 for the User Chemical Data Input screen.

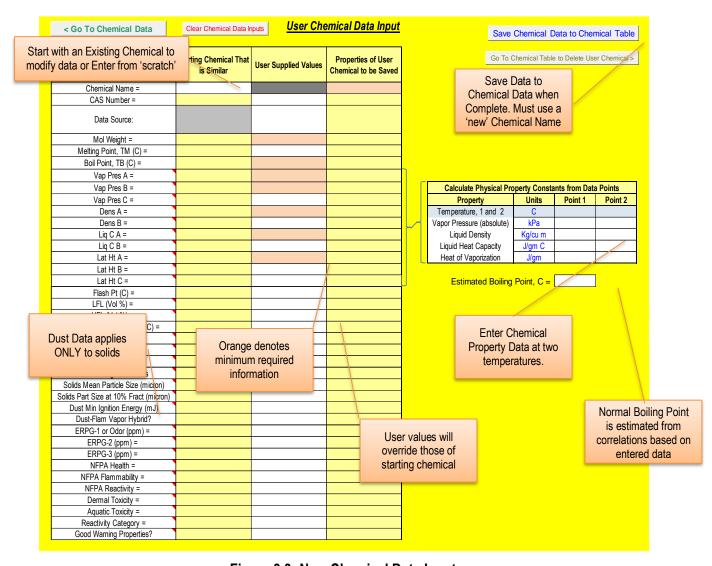


Figure 3.3: New Chemical Data Input

**STEP 9: Enter the Chemical Name** under "Properties of New Chemical to be saved" and select <u>Save Chemical Data to Chemical Table.</u>

If a Chemical Name is selected that has already been used in the Chemical Table, a dialog box appears as a reminder that the data will not be saved under the selected name. Merely enter "OK" and select another Chemical Name. See Figure 3.4.

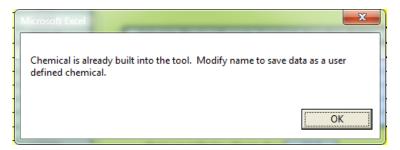


Figure 3.4: Error if choose already existing name

If a User specified Chemical Name is selected that already exists in the Chemical Table, a dialog box appears. If merely updating data for a User specified Chemical, enter "OK". See Figure 3.5.

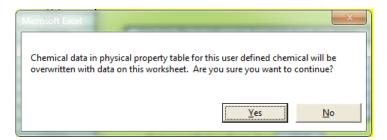


Figure 3.5: Dialog box to ensure you want to overwrite chemical data

Since Reaction Data may be saved to the Chemical Table for User specified Chemicals, a reminder that reaction information must be entered and saved from the Reaction Input worksheet appears, enter "OK". See Figure 3.6.

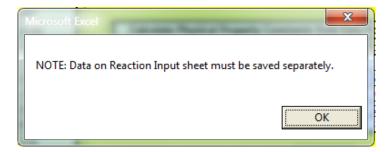


Figure 3.6: Reminder to enter Reaction Data

### **Chemical Mixtures**

Where it is necessary to consider mixtures, simple methods based on selection of one component as a Key Chemical are presented below. These methods are generally sufficient for hazard evaluation over a narrow temperature range which should include the operating temperature, ambient temperature and the normal

boiling point. The following "Mixture Rules" provide a reasonable estimate for selected chemical properties. Either mass fraction with property per unit mass or mole fraction with property per mole may be used.

Mixture Liquid Density may be estimated by additive volumes:

 $1/\rho$  mixture = sum of liquid fraction divided by density of each component.

Example: Estimate liquid density for a mixture of 50 wt% A at 1.0 gm/cc and 50 wt% B at .0.6 gm/cc. Mixture volume = 0.5 / 1 + 0.5 / 0.6 = 1.333 cc/gm, and mixture density = 1 / 1.333 = 0.75 gm/cc

Mixture Vapor Density may be estimated as an ideal gas as:

$$\rho_V = 0.12 P Mw_{avg} / T$$

where  $\rho_V$  is vapor density (kg/m3), P is pressure (kPa), T is temperature (deg K), Mw is average molecular weight of the vapor mixture.

Example: Estimate the vapor density for a mixture of 50 wt% A of molecular weight 30 and 50% wt% B of molecular weight 100 at 101.3 kPa pressure and 298 K. The average molecular weight is 1 / (0.5/30 + 0.5/100) = 46.2.  $PV = 0.12 P Mw_{avg} / T = 0.12 (101.2) 46.2 / 298 = 1.88 kg/m<sup>3</sup>.$ 

Mixture Liquid Heat Capacity may be estimated as the sum of liquid fraction times Heat Capacity for each component.

Example: Estimate liquid heat capacity for a mixture of 50 wt% A at 1.5 joule/gm and 50 wt% B at 3 joule/gm. Mixture heat capacity = 0.5 (1.5) + 0.5 (3) = 2.25 joule/gm C

Mixture Heat of Vaporization may be estimated as the sum of vapor fraction times Heat of Vaporization for each component.

Example: Estimate the heat of vaporization for a vapor mixture of 50 wt% A at 600 joule/gm and 50 wt% B at 400 joule/gm. Mixture heat of vaporization = 0.5 (600) + 0.5 (400) = 500 joule/gm

# Vapor-Liquid Equilibrium in RAST

Mixture Vapor Pressure requires an estimation of the equilibrium vapor composition from a known liquid composition. For simple Risk Analysis, an ideal mixture is assumed where the partial vapor pressure of each component is equal to the pure component vapor pressure times its mole fraction in the liquid (Raoult's Law).

A further simplification is assumption of constant relative volatility and/or a constant ratio of vapor pressures over a narrow temperature range. The vapor-liquid equilibrium ratio for each component is  $k_i = y_i / x_i$  where  $y_i$  is the mole fraction vapor for component i and  $x_i$  is the liquid mole fraction of component i. Relative Volatility is the ratio of  $k_i$  values which may be estimated relative to a "key chemical" as:

$$\alpha_i = k_i / k_{key} = x_{Key} y_i / (x_i y_{key})$$

For an "ideal" mixture, the relative volatility is also the ratio of vapor pressures.

Note: The ratio of vapor pressure typically decreases with increasing temperature. Selection of the appropriate temperature range is important to provide a reasonable or conservative estimate of vapor composition from liquid composition.

Two liquid phases (each of which is assumed ideal) is used for mixtures of immiscible liquids. In these cases, each component is assumed to be present in either liquid phase A or liquid phase B. The mixture partial pressure is the sum of the partial pressure for each liquid phase. An activity coefficient for each component is estimated at the feed composition and assumed constant in the region of interest as:

$$\gamma_i - \chi'_i + \chi'_i / \chi_i$$

where:  $x_i$  and  $x_i$  are the liquid mole fractions of component i in liquid phase A and B respectively and  $x_i$  is the overall liquid mole fraction of component i. For a single liquid phase  $\gamma_i = 1$ .

The partial pressure for each component is determined as:

$$P_i = P^{sat_i} x_i \gamma_i$$

where:  $P^{sat_i}$  is the vapor pressure of component i.

The total pressure,  $\Pi$ , is estimated as the sum of partial pressures or:

$$\Pi = \sum P^{sat}_{i} x_{i} \gamma_{i}$$
 and  $\Pi = x_{key} \gamma_{key} P^{sat}_{key} / y_{key}$ 

where:

 $x_i$  is the overall liquid mole fraction of component i

*y<sub>i</sub>* is the vapor mole fraction of component i

 $\gamma_l$  is activity coefficient for component i

Psati is the vapor pressure of component i

*P<sub>i</sub>* is the partial pressure of component i

 $\Pi$  is the total pressure

Note that aqueous mixtures containing acids or bases are highly complex and not easily correlated by this simple model.

### Example Entry of a Liquid Mixture

As an example, enter data for a mixture of 0.5 weight fraction acrylonitrile and 0.5 weight fraction water at an Operating Temperature of 25 C and Operating Pressure of 0.01 barg.

**STEP 1: Enter liquid composition** on the Chemical Data worksheet. Enter 0.5 as the weight fraction for Acrylonitrile. Select "Water" as a second chemical from the chemical list and enter the weight fraction of 0.5.

**STEP 2: Enter which (if any) chemicals form a second liquid phase**. Enter "Yes" for Second Liquid Phase for Water. Note the change in vapor composition and estimated Saturation Temperature when "Yes" is entered. There is a significant difference in these estimates for two miscible versus immiscible liquids.

STEP 3: Update mixture Flash Point, Melting Point, Autoignition Temperature, and categories for Ease of Ignition, Fuel Reactivity, Dermal Toxicity, Aquatic Toxicity, and Liquid Conductivity as appropriate. These parameters are not accurately estimated for mixtures, such that values representing the "worst" chemical in the mixture are initially selected. An estimated mixture flash point is provided based on the temperature at which the equilibrium vapor composition at atmospheric pressure equals the estimated lower flammable limit. Experimentally determined values should always be entered if available.

Observe the change in estimated boiling point between water as a second liquid phase or in solution. Observe that changing the Operating Temperature to 80 C will change the Physical State to "Vapor". See Figure 3.7.

Do Not Save this example. Clear Water inputs and change Weight Fraction Acrylonitrile in Feed to 1.0.

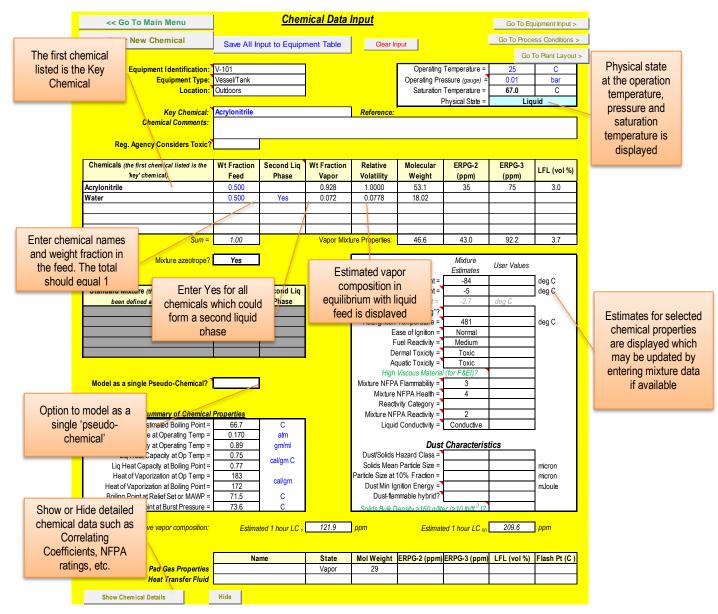


Figure 3.7: Chemical Mixture Data Input

# Multi-component Flash and Evaporation in RAST

A single stage equilibrium flash is estimated in RAST assuming constant relative volatility. From a material balance:

$$\begin{split} F &= V + L \\ F z_i &= V \ y_i + L \ x_i \\ z_i &= \left( \ V \ / \ F \ \right) \ y_i + \left( \ 1 - V \ / \ F \ \right) \ x_i = F_V \ y_i + \left( \ 1 - F_V \ \right) \ x_i \\ x_i &= z_i \ / \left\{ \ F_V \ \left( \ y_i \ / \ x_i \ \right) + 1 - F_V \ \right\} \\ \text{substituting} \ \alpha_i &= k_i \ / \ k_{\text{key}} = x_{\text{Key}} \ y_i \ / \ \left( \ x_i \ y_{\text{key}} \right) \ \text{and} \ \ \Pi = x_{\text{key}} \ \gamma_{\text{key}} \ P^{\text{sat}_{\text{key}}} \ / \ y_{\text{key}} \ yields: \end{split}$$

$$x_i = z_i / \{ F_V (\alpha_i \gamma_{key} P^{sat_{key}} / \Pi) + 1 - F_V \}$$

A heat balance of the system yields:

$$F_V = (T_0 - T) C_S / \lambda$$

A simple Rayleigh distillation (single equilibrium stage) is used in RAST for multi-component evaporation from a liquid pool once liquid feed to the pool has stopped. The material balance correlation is:

$$x_i = z_i e^{\alpha_i \ln[(1 - F_V) x_{key}/z_{key}]} / (1 - F_V)$$

where:

F is molar flow rate of the feed stream

L is molar liquid flow rate following flash

V is molar vapor flow rate following flash

zi is the feed mole fraction of component i

*x<sub>i</sub>* is the liquid mole fraction of component I after flash or evaporation

*y<sub>i</sub>* is the vapor mole fraction of component i after flash or evaporation

*x*<sub>key</sub> is the liquid mole fraction of the key component after flash or evaporation

ykey is the vapor mole fraction of the key component after flash or evaporation

*z*<sub>key</sub> is the overall feed mole fraction of the key component

ykey is activity coefficient for the key component

*P*<sup>sat</sup><sub>key</sub> is the vapor pressure of the key component at the final temperature

F<sub>V</sub> is the flash fraction

 $\Pi$  is the total pressure after flash or evaporation

 $T_0$  is the initial temperature

T is the final temperature after flash

Cs is the molar liquid heat capacity

 $\lambda$  is the molar heat of vaporization

The above correlations are solved by trial-and-error for the temperature, T, at which both the material and energy balance is satisfied or  $\Sigma x_i = 1$ .

### 4. REACTIVITY DATA AND EVALUATION

#### Introduction

Evaluation of Reactivity Hazards is one of our most challenging activities. It often involves interpretation of Reactive Chemicals test data. RAST allows input of Reactive Chemicals testing data in addition to providing several screening analysis techniques.

### This section covers:

- Reactivity Screening evaluation including: Estimation of Maximum Reaction Temperature and Pressure, Temperature of No Return (TNR), and Time to Maximum Rate (TMR).
- Check for Insulation or Packing Fire potential.
- Check for Potential Explosive
- Correlation of Reactive Chemicals test data to first-order kinetics
- Evaluation of potential process upsets on reaction rate such as: catalytic impurities, "pooling" of reactants, and mis-loading or wrong recipe.

#### **Reaction Data and Evaluation**

The Reaction Data worksheet is used both for input of Reactive Chemicals data and Screening Evaluation of Reactivity Hazards. Inputs include heat of reaction, activation energy, detected onset temperature, detected onset rate, test method, and quantity of volatile or gas generation per volume of material.

The detected onset temperature, detected onset rate, and Activation Energy represents a "best fit" of Reactive Chemicals data to a first-order kinetic model. For a test method of *Accelerating Rate Calorimetry* (ARC) or *Vent Sizing Package* (VSP), a *thermal inertia* or phi factor is also required. The phi factor represents the fraction of total reaction heat retained by the sample and is used to scale the data to large equipment. If the test method is *Differential Scanning Calorimetry* (DSC), the detected onset rate is not required as it is assumed to be the sensitivity of the instrument. For a test method of *Theoretical*, inputs are assumed to have been adjusted for large scale equipment.

Several screening evaluations are performed based on equipment, chemical, and reaction inputs. These include: Maximum Reaction Temperature and Pressure, Temperature of No Return (TNR) and Time to Maximum Rate (TMR) for up to 4 initial temperatures. A Reactivity Parameter provides an estimate for potential explosive material (Index > 20) similar to the Yoshida correlation noted in the Chemical Hazard Engineering Fundamentals (CHEF) workshop under Reactivity. Finally, the Frank-Kamenetskii critical diameter for "spontaneous reaction" of powders and solids is estimated at the operating temperature and up to 4 initial temperatures by providing a thermal conductivity input of the bulk material.

## Example Reaction Data Input and Evaluation (See Figure 4.1)

As an example, enter Reactive Chemicals test data for uninhibited acrylonitrile. This data is based on Accelerating Rate Calorimetry MD-1987-000517.

**STEP 1: Enter the heat of reaction**. The measured heat in this experiment was -1058 J/g or -253 cal/g. This is only 80% of the theoretically reported value of -17.3 kcal/mole or -326 cal/g. <u>Enter -326 cal/g.</u>

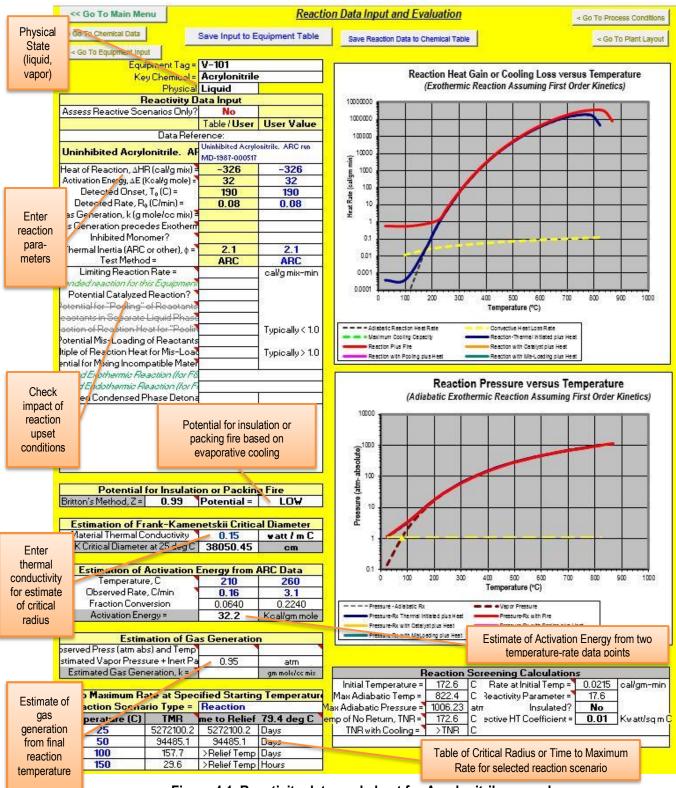


Figure 4.1. Reactivity data worksheet for Acrylonitrile example

Note that for Continuous Reactions, the Heat of Reaction per Mass in RAST could include heating of the feed to the maximum reaction temperature such that the apparent Reaction heat is  $\Delta H_R/2$ .

**STEP 2: Enter the Activation Energy**. The Activation Energy should be based on a "best fit" of Reactive Chemicals Data to a first-order model. <u>Enter 32 Kcal/gm mole.</u>

If two temperature-rate data pair are available (two points on the "best fit" line), these may be entered under the section "Estimation of Activation Energy from ARC Data". The two data points should be selected within the lower ½ of the temperature rise and, in a region, where there is minimal scatter in the data. Enter 0.16 C/min at 210 C and 3.1 C/min at 260 C (data points from the ARC experiment, Figure 4.2). The estimated Activation Energy is 32.2 Kcal/gm mole (Figure 4.3). (Note that the Estimated Activation Energy will change slightly with changes in the Detected Onset Temperature and Detected Onset Rate.)

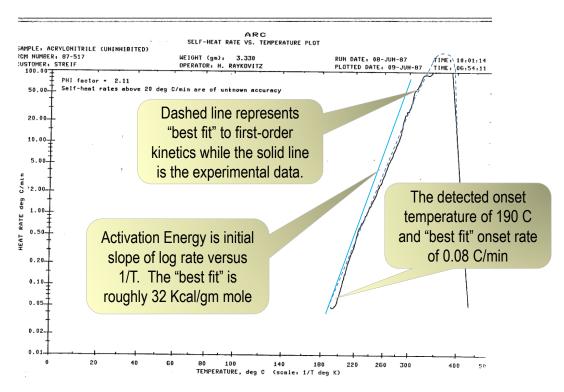


Figure 4.2 ARC data for example

Estimation of Activation Energy from ARC Data			
Temperature, C	210	260	
Observed Rate, C/min	0.16	3.1	
Fraction Conversion	0.0640	0.2240	
Activation Energy =	32.2	Kcal/gm mole	

Figure 4.3 Results from Reactivity Data worksheet

STEP 3: Enter the Detected Onset Temperature and Detected Onset Rate. The Detected Onset Temperature in Figure 4.2 represents the detection limit of the test instrument. If inputs are based on a theoretical model rather than test data, a detected onset temperature corresponding to a detected onset rate of 0.01 cal/min (roughly 0.02 deg C/min) is suggested. If the test method is ARC or VSP, the thermal inertia or phi factor also needs to be input. Enter 190 C for the detected onset temperature, 0.08 C/min as a first-order "best fit" for the detected onset rate, a phi factor of 2.1 and "ARC" as the test method. This represents the "best fit" rate at the detected onset temperature of the experiment.

**STEP 4: Enter the Gas Generation**. From the vapor pressure versus temperature data (Figure 4.4), there is no evidence of volatile or gaseous products of reaction. Enter 0 or leave blank.

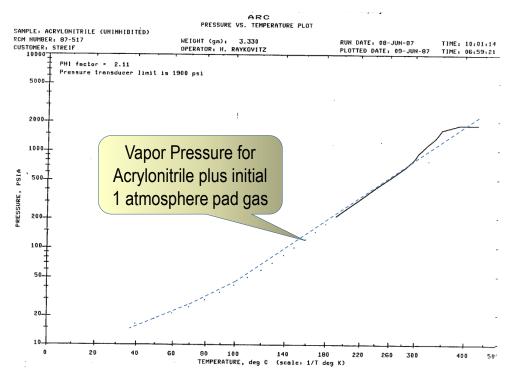


Figure 4.4 Vapor pressure vs. Temperature for example

A single pressure-temperature at the end of the experiment may be used to estimate the quantity of Gas Generation. A pressure of 1865 psia (126.9 atm) at 386 C was reported from the experimental near the end of the experiment. Enter this data point and the estimated vapor pressure + inert pad (from the Chemical Data entered) is 134 atm which is slightly higher than the observed pressure indicating zero (or blank) gaseous products formed. The typical range for gm mole gas generated per cc reaction liquid is zero to 0.01.

For vapor-phase reactions, the gas generation term represents the moles products divided by moles reactants with values in the typical range of 0.5 to 2.

Estimation of Gas Generation			
Observed Press (atm abs) and Temp (C)	126.9	386	
Estimated Vapor Pressure + Inert Pad	134.26	atm	
Estimated Gas Generation, k =		gm mol/cc mix	

Figure 4.5 Gas generation results for example

**STEP 5: Enter the Questions: "Gas Generation precedes Exotherm?" and "Inhibited Monomer?"** The answers to these questions do not impact the preliminary Reactivity Evaluation but may be important in understanding upset process conditions that could lead to runaway reaction or generation of excessive pressure.

Note that any reaction that generates volatile or gaseous products will slowly pressurize a "closed" system, even a normal operating temperature.

**STEP 6:** Potential for Insulation or Packing Fire. The potential for insulation or packing fires is categorized as high, medium, or low (Figure 4.6). This index is based on Britton's method which compares

Flash Point and Autoignition Temperature. If the chemical <u>cannot</u> undergo an oxidation reaction, this Index should be ignored. Results do not reflect other exothermic reactions (such a polymerization) that may occur.



Figure 4.6 Potential for Insulation or Packing Fire

**STEP 7:** Estimation of Frank-Kamenetskii Critical Diameter. Frank-Kamenetskii theory allows for a temperature gradient to be taken into account for a reacting system. This is particularly important where there could be a considerable resistance to convective heat transfer such as for solids or highly viscous fluids. This Critical Diameter is shape dependent and that reported in RAST is based on an "infinite slab". The F-K Critical Diameter represents the "depth" of solid or fluid at which "hot spots" can occur which, after a long enough induction time, may lead to runaway reaction.

Enter Thermal Conductivity for Acrylonitrile of <u>0.15 watt / m C</u> at the operating temperature of 25 C. The F-K Critical Diameter is estimated at 38050 cm indicating that thermal gradients within the fluid are not likely to be sufficient for runaway reaction from the normal operating temperature (Figure 4.7).

Estimation of Frank-Kamenetskii Critical Diameter (Slab)		
Material Thermal Conductivity	0.15	watt / m C
F-K Critical Diameter at 25 deg C	38050.45	cm

Figure 4.7 F-K Critical Diameter

The F-K Critical Diameter may be estimated at a several temperatures from the table at the lower left-hand side of the Reaction Input worksheet. <u>Select F-K Critical Diameter and Enter Desired Temperatures (Figure 4.8)</u>. The Critical Diameter does not become sufficiently small to indicate a runaway hazard below the Temperature of No Return (which is based on convective heat loss assuming a *uniform temperature* within the reacting medium or Semenov theory).

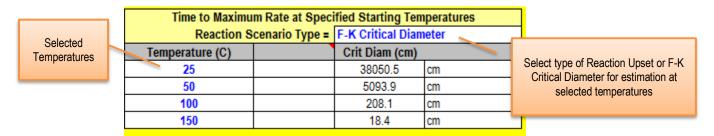


Figure 4.8 F-K Critical Diameter vs. Temperature

**STEP 8: Preliminary Reactivity Evaluation.** Upon entry of the reaction inputs, a graph of heat rate versus temperature will be shown corrected to near "adiabatic" conditions (dashed black line). A yellow dashed line representing the estimated convective heat losses from the equipment (based on outer surface area and insulation inputs from the Equipment Input worksheet) is also shown. The intersection of the yellow dashed line representing heat losses and the reaction heat rate represents the Temperature of No Return – the temperature above which runaway reaction occurs based on convective heat losses.

## STEP 9: Save Inputs to the Equipment Table.

If mechanical energy or heat transfer inputs have been entered in the Equipment Input, a second line is shown on the graph representing reaction heat plus these additional heat inputs (dark blue line). If the potential for pool fire exists, then a third line is shown representing reaction heat plus pool fire heat input (red line) (Figure 4.9).

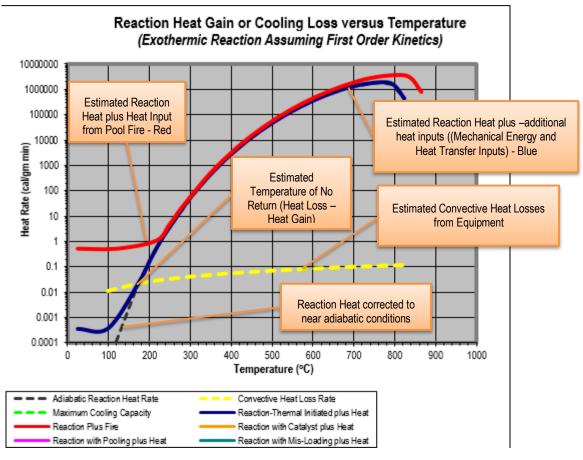


Figure 4.9 Reaction Heat Gain or Cooling Loss Chart

A second graph of pressure versus temperature is also provided. The dashed black line represents the vapor pressure per the composition input from the Chemical Data worksheet. *Note that the effect of changes in composition with reaction conversion is not considered.* The total pressure (including initial gas pad and any gas generation input) for reaction heat plus additional heat inputs is shown as a dark blue line. If the potential for pool fire exists, then a third line is shown representing reaction heat plus pool fire heat input (red line). A dashed yellow line represents the Relief Device Set Pressure or Maximum Allowable Working Pressure (MAWP) if relief device information is not available. A yellow triangle is shown at the intersection of the reaction pressure and Relief Device Set Pressure (or MAWP) and represents the reaction conditions where relief device activation may occur (Figure 4.10).

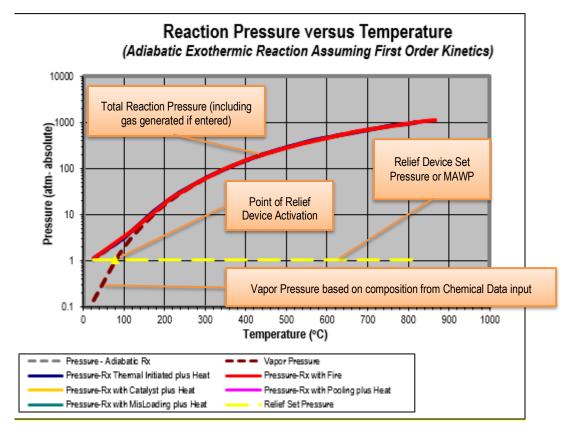


Figure 4.10 Reaction Pressure versus Temperature

A table summarizing maximum reaction temperature and pressure, temperature of no return, and Reactivity Parameter for potential explosive is shown at the bottom left of the Reaction Input worksheet. The Reaction Scenario Type is selected to determine which values are presented. (Figure 4.11)

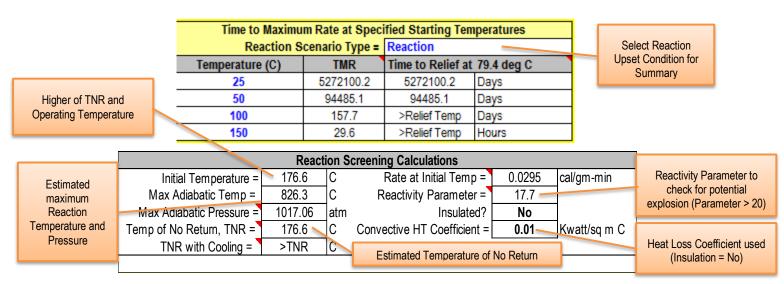


Figure 4.11 Reaction Summary

<u>Reaction Scenario Type as "Reaction".</u> Values shown represent no additional heat input. Try other Reaction Scenario Types to view appropriate hazard screening values.

### **Evaluation of Potential Process Upsets**

In some cases, screening evaluation for the impact of a process upset to a reaction may be obtained by adjustment of appropriate kinetic parameters. Common process upsets of interest are:

- External heat plus reaction
- ☐ Change in heat of reaction per mass (mis-loading or scale-up)
- ☐ Introduction of a catalytic impurity
- "Pooling" of reactants

It is important to recognize the evaluation of process upsets in RAST is based on a "steady state" approach with an "average" composition entered in the Chemical Data worksheet and simple first-order reaction kinetics. A dynamic simulation for a runaway reaction may be needed to address more detailed issues such as relief design for reactive systems.

Impact of External Heat: External heat may result from a heat transfer surface, mechanical energy such as an agitator or circulating pump, or fire. The primary impact of external heat is an increase in temperature without consuming reactants. At temperatures where reaction heat rate is much less than the external heat input, reaction conversion is essentially zero and total heat rate is only attributed to the external source. RAST uses a simple first-order kinetic model to determine reaction heat rate and either a constant external heat rate (such as for fire or mechanical energy) or an external heat rate which depends on temperature difference with a heating media (such as a heat exchanges). The total heat rate is the sum of both reaction and the external heat source.

Consider the impact of external heat on our acrylonitrile storage tank example. The maximum reaction temperature, pressure, and heat rate are much higher with external heat input. The reaction heat versus temperature with external fire (red line) exhibits a peak rate at nearly 80 C higher than the adiabatic reaction (dashed black line). This results in a higher peak heat rate and higher pressure (Figure 4.12).

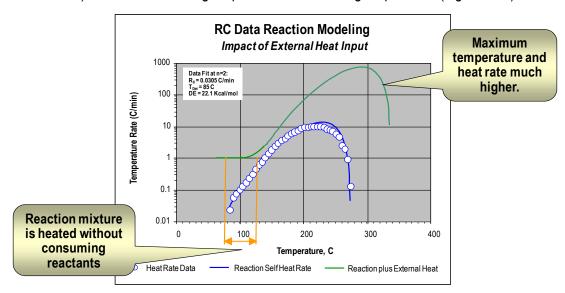


Figure 4.12 Example Simple Kinetic Model with 1 C/min External Heat

**Change in Heat of Reaction per Mass:** A change in heat of reaction per mass affects the maximum temperature, the conversion per temperature increment, and the initial heat rate. This change may be the result of scale-up since Thermal Inertia (loss of reaction heat to equipment or sample container) is less for larger scale equipment. A change in the heat of reaction per mass may also be the result of mis-loading or a change in reactant concentration. More dilute exothermic reactions generate less total heat per mass.

The temperature rise for the reaction below (Figure 4.13) is roughly 0.2 divided by 0.15 or 1.33 times higher for 20 weight % reactant versus 15 %. The corresponding maximum reaction rate is significantly (nearly one order of magnitude) higher for the more concentration reaction.

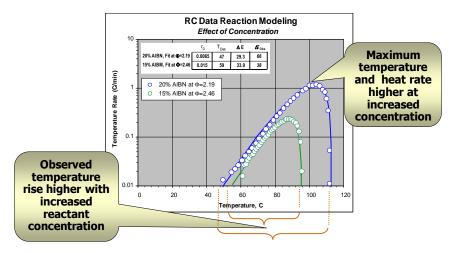
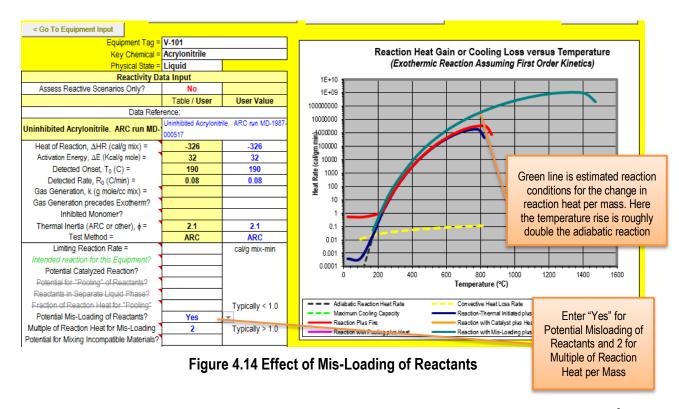


Figure 4.13 Example Simple Kinetic Model for Change in Reactant Concentration

The Reaction Input worksheet may be used to estimate the impact of changes in heat of reaction per mass. Assume that the reaction is run in 50% solvent (with a liquid heat capacity similar to the reactant) and a possible upset condition is failure to add solvent. Enter "Yes" for the question "Potential Mis-Loading of Reactants?" Enter "Multiple of Reaction Heat for Mis-Loading" of 2. This corresponds to the same heat of reaction but only ½ the total mass. Note that the temperature rise is essentially double the adiabatic temperature rise. This change results in an estimated peak reaction rate more than two orders of magnitude higher (Figure 4.14).



Introduction of a Catalyst or Catalytic Impurity: Introduction of a small amount of catalytic material may significantly increase the overall self-heat rate for exothermic reactions. Chemicals normally maintained within safe operating limits may quickly progress to potential runaway conditions at the operating temperature. The reaction rate for the polymerization in Figure 4.15 is significantly higher (nearly one order of magnitude) with the addition of 500 ppm of BFO catalyst. Note that only reaction rate and not temperature rise (or heat of reaction) is affected by catalyst addition.

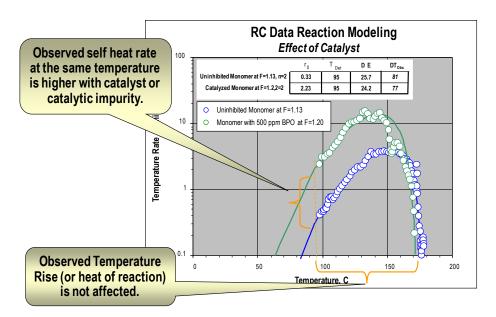


Figure 4.15 Example Simple Kinetic Model for Addition of Catalyst

The Reaction Input worksheet may be used to estimate the impact of a catalyst. <u>Enter "Yes"</u> for the question <u>"Potential Catalyzed Reaction".</u> An estimate is made within RAST by increasing the first-order rate constant until reaction heat rate exceeds the cooling capability at the normal operating temperature (orange line, Figure 4.16). This is a *hypothetical* situation and may not reflect what could actually occur. It is merely to address the question "if a sufficiently effective catalyst exists for uncontrolled reaction to occur at the normal operating temperature, what might be the effect to reaction parameters?"

Should the potential impact of a catalyst significantly affect analysis results, it is highly recommended that experimental data be obtained.

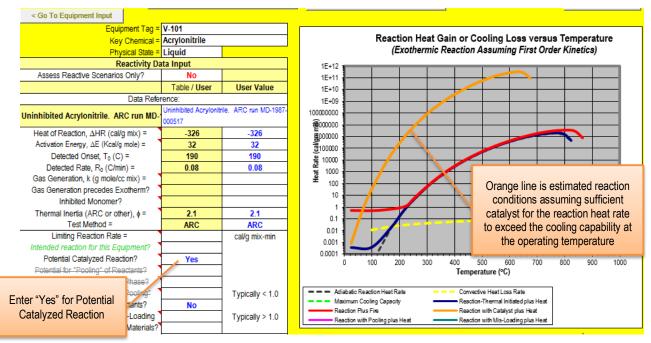


Figure 4.16 Effect of Potential Catalyzed Reaction

Note that for our acrylonitrile example, polymerization does not occur at an appreciable rate unless the temperature is well above the normal boiling point of 77 C (TNR = 140 C) even for uninhibited material. Since the equipment cannot operate at a pressure corresponding to the vapor pressure of acrylonitrile at 140 C (roughly 76 psia or 5.2 bar), the equipment would likely fail and contents vaporize prior to reaching the Temperature of No Return. However, if a catalyst is inadvertently added, a potentially explosive reaction rate could occur. The "catalyst" might be a strong acid or base such that if this vessel were vented to a scrubber, a potential reactive scenario might be contamination by the scrubber fluid.

**Pooling of Reactants:** A common means for controlling an exothermic reaction is by slow addition of a limiting reagent such that the overall reaction heat rate is proportional to the addition rate. "Pooling" occurs if the concentration of limiting reagent is allowed to increase - typically by loss of mixing or low temperature. Following accumulation of un-reacted material, batch reaction kinetics occurs potentially leading to runaway reaction.

For a batch reaction, a limiting reaction rate is estimated as the Heat of Reaction divided by the *Addition Time*. For a continuous reaction, a limiting reaction rate is estimated as the Heat of Reaction per mass divided by the *Residence Time*. Residence Time is estimated as the total reactor mass divided by the mass feed rate.

Assume that the reaction is controlled by the addition of monomer over 60 minutes. Enter a Limiting Reaction Rate of -326 / 60 minutes or -5.43 cal / g-min. To estimate reaction conditions if 50% of the feed were added without reacting, enter "Yes" for "Potential for Pooling" of Reactants?" and 0.5 for Fraction of Reaction Heat for "Pooling". [Note – these lines are "greyed out" until the values are entered.] An estimate is made within RAST for this condition denoted by a purple line on the heat rate versus temperature plot (Figure 4.17).

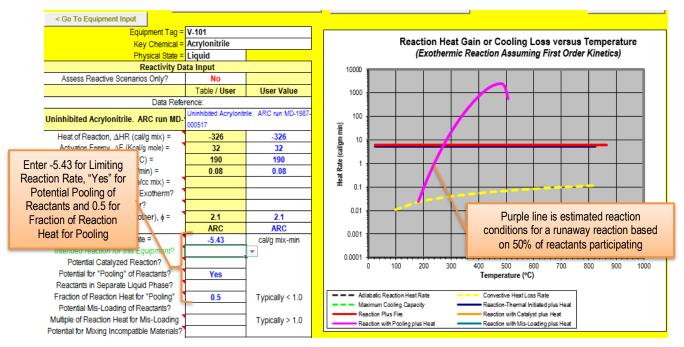


Figure 4.17 Effect of Reactant Pooling

In some cases, two liquid phases may be present. If, for example, the reaction mixture were 25 % acrylonitrile and 75% water, the Heat of Reaction per Mass would be 0.25 (-326) or -81.5 cal/g mixture. By selecting "Yes" to "Reactants in Separate Liquid Phase", an estimate of reaction conditions is made within RAST based conservatively on no heat loss to the second liquid. Note that "pooling of reactants" does not apply to vaporphase reactions.

Do not save the entries for Potential Mis-Loading of Reactants, Potential Catalyzed Reaction, and Potential for "Pooling" of Reactants.

### 5. ADDITIONAL RAST INPUTS AND REPORTS

#### Introduction

RAST allows input of detailed information for Equipment, Process Conditions and Plant Layout. This additional information is used to support identification of hazard scenarios and improved quantification of risk.

This section covers:

- How additional Equipment Parameter information is used to identify scenarios and evaluate hazards.
- How additional Process Conditions information is used to identify scenarios and evaluate hazards.
- How additional Plant Layout information is used to identify scenarios and evaluate hazards.

## **Equipment Parameters**

In addition to the minimum required inputs, other information may be needed for various evaluations and reports. The more information available, the more thorough the evaluation. However, only those inputs for the specific equipment being evaluating needs to be entered. See Figure 5.1 for further examples.

## **General Equipment Information**

General Equipment information applies to most types of equipment. The minimum required inputs are **Volume** and **Maximum Allowable Working Pressure** (MAWP). Other inputs include:

**Full Vacuum Rated?** – should be answered "No" if vacuum failure is *feasible*. If the MAWP is less than 1 atmosphere gauge (101 kPag or 14.7 psig), this input is highlighted "yellow" as a recommended input. If blank, the default assumption is that the equipment is vacuum rated

**Estimated High Temperature Failure** – is the temperature where equipment failure may be possible at the normal operating pressure due to weakened material strength.

**Estimated Embrittlement Temperature** – is the temperature where equipment may fail under stress of shock due to transition from ductile to brittle.

**Nozzle or Pipe Diameter** – represents the largest practical hole size for nozzle, pipe, or hose failure scenarios. This is <u>a minimum input requirement</u> for all but solids containing equipment.

**Number of Flanges or Nozzles** – input is used in evaluation of Mechanical Integrity scenarios for smaller hole sizes (5 mm and 25 mm) typically associated with flange or gasket failures.

**Material of Construction** – is a list of common construction materials. This input is used to determine if the equipment is "brittle" (likely to result in many fragments upon overpressure failure or rupture), susceptible to corrosion under insulation (external corrosion), and in determining the failure frequency for Mechanical Integrity scenarios.

**Equipment Mass** – is used in providing a better estimate for "time to failure" for overheating cases. If blank, the default is zero such that only the mass of equipment contents is used in the estimate. For pumps, a default estimate of equipment mass based on data from centrifugal pumps is used if this input is blank.

**Internal Corrosion or Stress Cracking Potential?** – should be answered "Yes" if the equipment contents represent an internal corrosion or stress cracking potential for the Material of Construction. This input is used in determining the failure frequency for Mechanical Integrity scenarios. If blank, the default assumption is that the Material of Construction is compatible with the equipment contents.

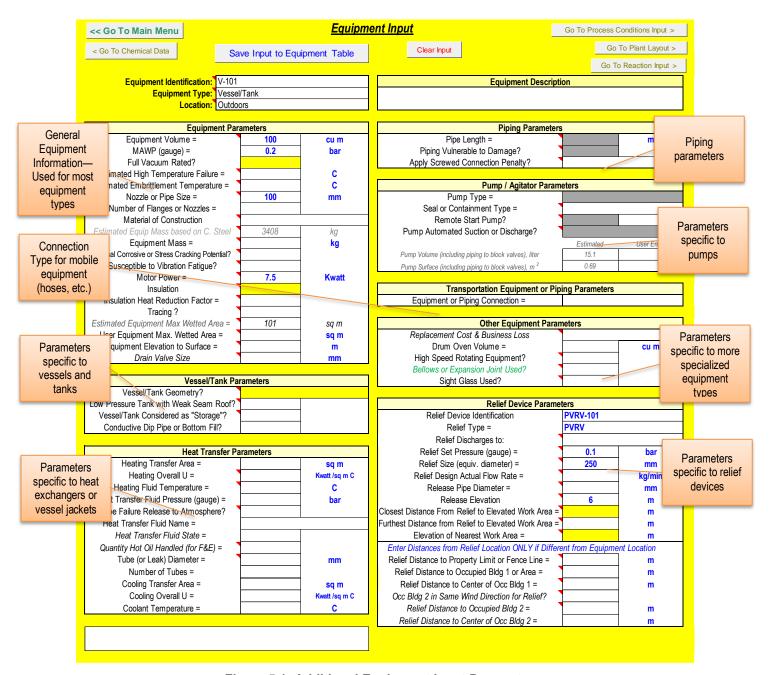


Figure 5.1: Additional Equipment Input Parameters

**Susceptible to Vibration Fatigue?** – should be answered "Yes" if vibration fatigue could cause a small hole size leak representing a "crack" in larger piping or failure of small piping branches.

**Motor Power** – represents mechanical energy input for the equipment such as a vessel agitator or mixer, circulating pump, etc. It may also represent an electric heater or tracing. An overall "inefficiency" of 50% (power resulting in heat) is assumed which is conservative for pumps and agitators but optimistic for electric heaters. For pumps, with a hydraulic efficiency of 0.6 and a 0.9 efficient electric motor exactly matched to the required hydraulic power, the thermal inefficiency would be roughly (1-0.6) (1-0.9) = 0.36 rather than 0.5. The user may need to adjust the power input to for improved estimates of maximum mechanical energy temperature.

**Insulation** – is used to determine a heat loss coefficient in energy balance estimations. Choices are "Yes", "No", and "Fireproof". The option, "Fireproof" will reduce the estimated heat input from external fire. If the equipment operating temperature is within the Corrosion Under Insulation temperature range, the Input will be labeled "Insulation with Potential Corrosion (CUI)".

**Insulation Heat Reduction Factor** - This is a reduction factor which will be multiplied by the heat transfer coefficient to account for insulation. It will default to a value of 0.05 if not entered.

**User Equipment Wetted Surface Area** – is the wetted surface area of the equipment used for fire heat input or convective heat losses. If blank an estimated Wetted Surface Area will be used based on equipment volume and tank/vessel geometry

**Tracing?** – entered as "Yes" if equipment is heat traced.

**Equipment Elevation to Surface** – represents the elevation or height of a leak above a liquid pool. This input is used to determine fire heat input (fire heat is zero for greater than 30 feet or 9.1 m elevation), distance for liquid spray and duration of aerosol droplets for estimation of Airborne Quantity. A default value of 1 m is used if this input is blank.

**Drain Valve Size** – is the "hole size" equivalent that will be used for estimation of leak rate for a drain valve inadvertently opened scenario. A default of  $\frac{1}{2}$  inch is used (representing a standard  $\frac{3}{4}$  inch plug valve equivalent to a  $\frac{1}{2}$  inch hole) if this input is blank.

# Parameters Specific to Vessels or Tanks

In addition to the General Equipment Parameters, additional inputs specific to **Vessels or Tanks** include:

**Vessel/Tank Geometry?** – Geometry is noted as horizontal, vertical, flat bottom" and/or "anchored" to improve estimation of surface area and Rupture Pressure.

**Low Pressure Tank with Weak Seam Roof?** – Used to determine is vessel is anchored to credit a Weak Seam Roof.

**Vessel/Tank Considered as "Storage"?** – To determine if the tank is considered as Storage per API 521. This is an Input for F&EI and used in determining fire heat input.

**Conductive Dip Pipe or Bottom Fill?** – should be answered "Yes" if appropriate. A "Yes" answer will suggest this design feature as a potential Safety Related Protective System for preventing electrostatic discharge for tanks or vessels containing flammable materials.

## Parameters Specific to Heat Exchangers or Vessel Jackets

In addition to the General Equipment Parameters, additional inputs specific to **Heat Exchangers or Vessel Jackets** include:

**Heat Transfer Area** – is the area, A, used in estimation of heat input rate,  $q = U A \Delta T$  where  $\Delta T$  is the temperature difference between the Heat Transfer Fluid Temperature and Operating Temperature.

**Heating Overall U** – is the heat transfer coefficient, U, used in estimation of heat input rate. If either Heat Transfer Area or Heating Overall U is blank, no estimation of heat input rate is performed.

**Heat Transfer Fluid Temperature** – is used to determine if the maximum operating vapor pressure exceeds design limits, if the maximum operating temperature can exceed the Reaction Temperature of No Return, and in estimation of the heat input rate.

**Heat Transfer Fluid Pressure** – is used to determine if tube or heat exchanger failure will leak heat transfer fluid into the process or if process fluid would leak into the heat transfer system.

**Tube Failure Release to Atmosphere?** - Should be answered "Yes" if tube failure will result in a release of process fluid to atmosphere.

**Heat Transfer Fluid Name** – is used to look up chemical properties of the heat transfer fluid for estimation of tube leak hazards. **Heat Transfer Fluid State** is not an input but estimated from the fluid properties, temperature and pressure.

**Tube or Leak Diameter** – is used to estimate the leak rate and potential consequences for tube failure.

Number of Tubes – is entered either as "< 100" or "> 100" to determine the Initiating Event factor in LOPA.

**Cooling Transfer Area**, **Cooling Overall U**, and **Coolant Temperature** – are inputs to estimate the Temperature of No Return with cool for reaction scenarios.

### Parameters Specific to Piping

In addition to the General Equipment Parameters, additional inputs specific to Equipment or Piping include:

**Pipe Length** – is the length of piping associated with an entire piping loop in the same Chemical Service.

**Piping Vulnerable to Damage?** – is used to determine if a pipe damage scenario should be added to the list of scenarios for consideration.

**Apply Screwed Connection Penalty?** – this input has options for: No Penalty, Through Very Small, Through Medium, Through Very Large and Through Extremely Large. A penalty will be taken for Mechanical Integrity scenarios through the hole size noted.

Note that Piping inputs are only used if the Equipment Type is Piping, Pump, Compressor or Blower, or Turbine or Gas Expander.

## Parameters Specific to Pumps

In addition to the General Equipment Parameters of Volume, Maximum Allowable Working Pressure (MAWP) and Motor Power, additional inputs specific to **Pumps** include:

**Equipment Volume** – represents the volume of the pump plus piping system. The volume of the pump cavity is estimated for a pump based on data for centrifugal pumps.

**Pump Type** – is used in determining the suggested type of Pump Deadhead scenario for evaluation. Options are: <u>Centrifugal</u>, <u>Positive Displacement</u>, or <u>Diaphragm with Limited Source Pressure</u>. The default is assumed Centrifugal if the input is blank.

**Seal or Containment Type** – is used to determine the Initiating Event for a Seal or Casing Leak scenario. Options are: <u>Single Mechanical</u>, <u>Double Mechanical</u>, <u>Magnetic Drive or Canned</u>, or <u>Double Containment</u>.

**Remote Start Pump?** – should be answered "Yes" if an "off, jog, auto" field switch is used (could not be in a manual "on" position) and the switch location is beyond the severe hazard impact zone associated with pump failure. The default is "No" if the input is blank.

**Automated Suction or Discharge?** – should be answered "Discharge Only" or "Both Suction and Discharge" if a failure of instrument air or Basic Process Control could result in the inadvertent closing of the discharge and/or suction values creating a pump deadhead scenario.

### Parameters Specific to Relief Devices

Relief Device information may be entered for all types of equipment. Entry of a Relief Set Pressure indicates that a Relief Device exists (or is planned) for the equipment item being evaluated. The additional Relief Device Parameters are used for evaluation of Relief Device Effluent or in estimating the release rate within Layers of Protection Analysis.

**Relief Device Identification** – is the identification number of the Relief Device for reference.

**Relief Type** – is the type of Relief Device including: <u>Rupture Disk</u>, <u>Graphite Disk</u>, <u>Safety Valve</u>, <u>Pilot Operated Valve</u>, <u>ERV</u>, <u>PVRV</u>, <u>Pressure Relief Line</u>, <u>Combination PSV</u>, and <u>Combination Disks</u>.

**Relief Discharges to:** - provides information relative to the release location and orientation. Options include: <a href="Indoors">Indoors</a>, <a href="Outdoors-Upwards">Outdoors-Horizontal</a>, <a href="Outdoors to Ground">Outdoors to Ground</a>, <a href="Pump or Compressor Suction">Pump or Compressor Suction</a>, <a href="Venture">Vent Header to Blow-down Tank</a>, and <a href="Scrubber or Containment">Scrubber or Containment</a>.

**Relief Set Press (gauge)** - is the set pressure of the Relief Device. If blank, it is assumed that no Relief Device has been used for overpressure protection of the equipment being evaluated.

**Relief Size (equivalent diameter)** – is the orifice diameter for Safety Values or diameter for other types of devices. It is used in estimation of the Actual Flow Rate for relief device activation.

**Relief Design Actual Flow Rate** – is the actual flow capacity from Relief Design calculations and must be matched to the composition on the Chemical Input worksheet. If the actual flow rate is based on a relief vent design program which only compares worst case chemical within a mixture, the input should be left blank and an estimate based on the device diameter and set pressure will be used.

**Relief Tail Pipe Diameter** – is the diameter of the Tail Pipe and used to determine the exit velocity from the relief system. This velocity is an important parameter in determining dilution of the relief effluent by "jet mixing". A low velocity is assumed if the input is blank.

**Relief Discharge Elevation** – is the elevation of the relief discharge and used in the dispersion modeling for estimation of ground level concentration versus distance. A worst case "ground" elevation release is assumed if the input is blank.

Closest Distance to Nearest Elevated Work Area – is used to determine the concentration of toxic or flammable material at the closest edge of the nearest elevated work area from the release location, most typically a relief device.

**Furthest Distance to Nearest Elevated Work Area** – is used to determine the concentration of toxic or flammable material at the furthest edge (for large areas) of the nearest elevated work area from the release location, most typically a relief device

**Elevation of Nearest Work Area** - is used to determine the concentration of toxic or flammable material within the nearest work area.

### Locations Specific to Relief Device – Entered ONLY if Different from Equipment Location, includes:

Relief Distance to Property Limit or Fence Line

Relief Distance to Occupied Building 1 or Area

Relief Distance to Center of Occupied Building 1

Occupied Building 2 in Same Wind Direction for Relief?

Relief Distance to Occupied Building 2

Relief Distance to Center of Occupied Building 2

#### Example Relief Device Effluent Screening Evaluation

As an example, for a Relief Device Effluent Evaluation, continue with the "Getting Started" Study Example. (Note that Inputs on the Plant Layout worksheet will also impact Relief Effluent Screening.)

**STEP 1:** Go to the **Equipment Table** and select a cell in the row representing Inputs for **V-101**. Use the **Load Selected** command. Information for **V-101** should now be "active" on the **Equipment Input** worksheet.

STEP 2: Go to <u>Equipment Input</u> and enter the Relief Device Identification as <u>PVRV-101</u>. Select <u>PVRV</u> for the Relief Type. Also enter Relief Size of <u>250 mm</u> (10 inch), a Relief Set Pressure of 0.<u>07 barg</u> (1 psig), and Relief Discharge Elevation of <u>6 m</u> (20 ft). Select Save Input to Equipment Table.

**STEP 3:** Select *Go to the Main Menu*, then Select <u>Relief Effluent Screening Report</u> from the Main Menu (Figure 5.2).

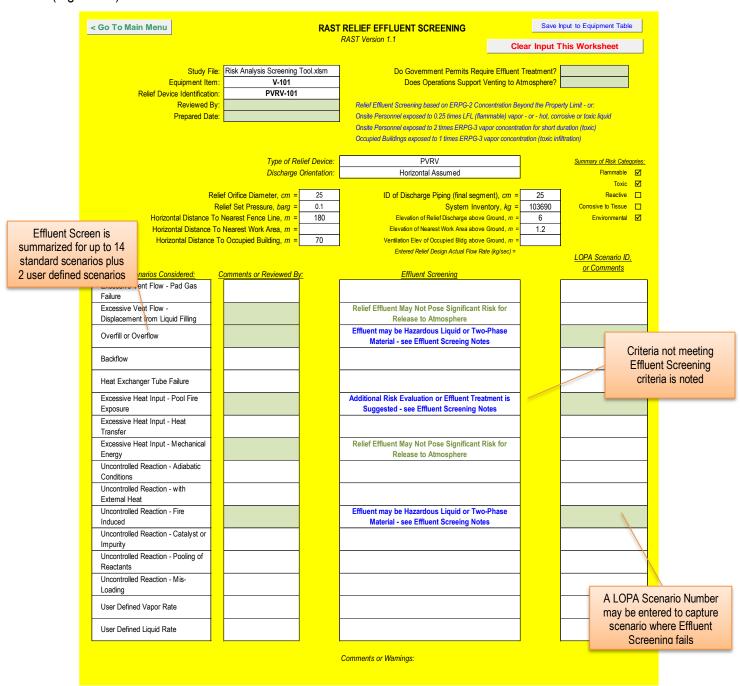


Figure 5.2: Relief Effluent Screening Report

Relief Effluent Screening for up to 14 standard scenarios and 2 User Defined scenarios are summarized. Input for the LOPA Scenario Number that demonstrates adequate Risk Management for these cases may be

entered to complete the documentation requirements. Details are summarized by selecting the specific Scenario.

**STEP 4:** Select <u>Overfill or Overflow</u> from the available listing. A report, consistent with the Relief Effluent Screening Tool, is shown with details of the specific case selected. Refer to Figure 5.3.

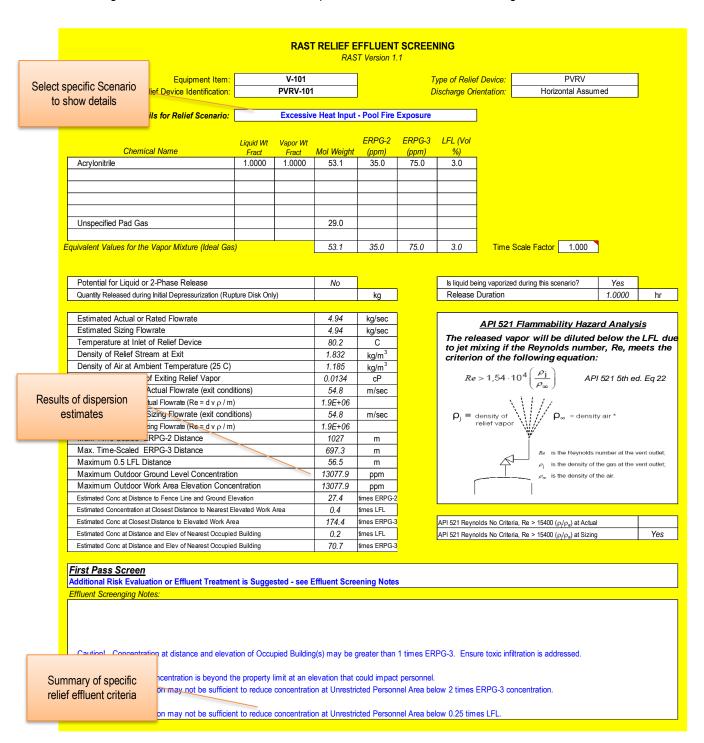


Figure 5.3: Example of Specific Case Relief Effluent Report

# Parameters Specific to Specialized Equipment

Other Equipment Parameters include Replacement Cost & Business Loss in addition to highly specialized parameter such as:

Replacement Cost & Business Loss – Used for determining the Business Loss Consequence.

**Drum Oven Volume** – is the volume of an oven rather than volume of equipment being evaluated (typically a drum).

**High Speed Rotation Equipment?** – is used in determining the Probability of Ignition for Solids Handling Equipment. "Yes" implies a Maximum Tip Speed > 9.5 m/sec.

**Bellows or Expansion Joint Used?** – should be answered "Yes" if appropriate. A "Yes" answer will add the appropriate leakage penalty to the Fire and Explosion Index.

**Sight Glass Used?** – should be answered "Yes" if appropriate. A "Yes" answer will suggest a potential scenario involving failure of the sight glass and add the appropriate leakage penalty to the Fire and Explosion Index.

# **Process and Operating Conditions**

In addition to the minimum required inputs of **Maximum Feed or Flow Rate** and **Liquid Head within Equipment** (for low Operating Pressure), other Process and Operating Information may be needed for various evaluations or reports. Refer to Figure 5.4.

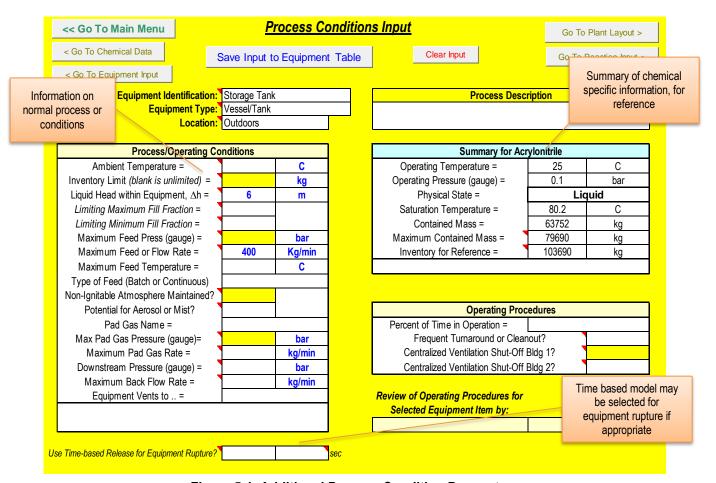


Figure 5.4: Additional Process Condition Parameters

**Total Inventory** –the total quantity of chemical in the process which may be added to the equipment being evaluated. For storage tanks, it would represent a "full" tank plus the quantity within any tank truck, railcar, etc. that could be unloaded into the tank. The difference between Total Inventory and the estimated Maximum Contained Mass is the maximum amount that can be released for an overfill scenario.

**Limiting Maximum Fill** Fraction –the maximum fill fraction for the equipment used for estimating heat-up time, etc. If blank is assumed 0.9 or 90%.

**Limiting Minimum Fill** Fraction –the minimum fill fraction for the equipment used for estimating heat-up time, etc. If blank is assumed 0.1 or 10%.

**Maximum Feed Pressure (gauge)** –the source pressure of material feeding the equipment being evaluated. If the maximum feed pressure is less than the relief device set pressure, an overfill or hydraulic overpressure scenario is not feasible.

**Maximum Feed Temperature** –the maximum temperature of material feeding the equipment being evaluated. **Type of Feed (Batch or Continuous)** –used in determining the most likely Initiating Event for some scenario cases.

**Non-Ignitable Atmosphere Maintained?** – answer "Yes" if a scenario case for "Loss of Inert or Air Ingress" should be included in the list of potential scenario cases.

**Potential for Aerosol or Mist?** –the potential for aerosol or mist from splash filling or vigorous agitation/mixing which may increase the probability of ignition for internal deflagration.

**Pad Gas Name** - used to look up chemical properties of the pad gas. If blank, a molecular weight of 29 is assumed for the pad gas.

**Maximum Pad Pressure (gauge)** - the source pressure of the pad gas feeding the equipment being evaluated. If the maximum pad gas pressure is greater than the relief device set pressure, an overpressure scenario is considered.

**Maximum Pad Gas Rate** –the maximum rate of pad gas into the equipment being evaluated. This input is used to estimate the rate of pressure rise for pad gas system failure.

**Downstream Pressure (gauge)** –the maximum pressure of downstream equipment and should include pressure due to change in elevation if appropriate. If this pressure is greater than the operating pressure, a backflow scenario is considered.

**Maximum Back Flow Rate** –the maximum back flow rate that could occur and used to estimate release rate for back flow scenarios.

**Equipment Vents to...** –used in identification of scenario cases. Options include: <u>Immediate Area, Source/Vapor Balance, Scrubber System, Fired Equip (TOX- Flare), Does Not Vent, or Floating Roof Tank.</u>

# **Operating Procedures**

Information relative to selected common Operating Procedures may be input for use in Layers of Protection Analysis (LOPA). Included is:

**Percent Time in Operation** –used to determine if a Time at Risk Enabling Factor may be used in LOPA.

**Frequent Turnaround or Cleanout?** – answer "Yes" if frequent cleanout of equipment is needed for batch operations.

**Effective Ventilation Shut-Off Building 1?** - answer "Yes" if appropriate which will suggest a potential LOPA credit for toxic infiltration scenarios.

**Effective Ventilation Shut-Off Building 2?** - answer "Yes" if appropriate which will suggest a potential LOPA credit for toxic infiltration scenarios.

**Use Time-based Release for Equipment Rupture? -** answer "Yes" if a time-based model is to be used for Equipment Rupture outcome. The release duration in seconds also needs to be entered (to a maximum of 600 seconds). If left "blank" or "No" is entered, a Rupture (or Instantaneous) Release model will be used. For very rapid events such as explosions in pressure vessels, detonations, or very rapid runaway reactions, the Rupture Release model should be used. For slower events such as the rupture of a week seam roof or the base of a low-pressure vessel lifting from its foundations, a time-based model over an "appropriate" length of time may be more accurate.

# **Plant Layout Information**

In addition to the minimum required inputs of **Distance to Property Limit of Fence Line**, **Distance to Occupied Building** and **Number of Building Occupants**, other Plant Layout Information may be needed for various evaluations or reports. Refer to Figure 5.5.

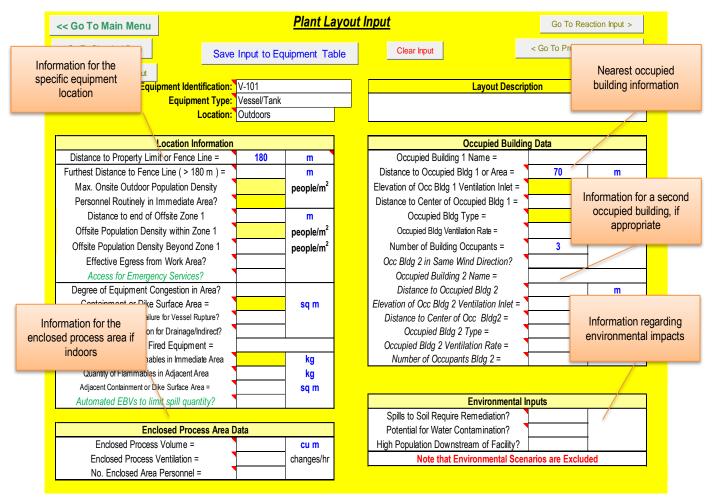


Figure 5.5: Additional Plant Layout Parameters

# Specific Equipment Location Information

**Furthest Distance to Fence Line or Effect Zone** - used in estimating the maximum area of the effect zone to be used with the entered population density for outdoor toxic or flammable releases. Few people would be on-site beyond this distance.

**Maximum Onsite Outdoor Population Density** – represents the number of people who could be outdoors divided by the outdoor process area. A default of 0.0002 people/m<sup>2</sup> is used if this input is blank (which is an average value for many industrial facilities).

**Personnel Routinely in Immediate Area?** answer "Yes" if operator attendance is required, equipment location is near a walkway, etc. The default is "Yes" if the input is blank.

**Distance to end of Offsite Zone 1** - two offsite population densities may be used in the analysis: beyond the Property Limit distance to the end of Zone 1 distance, and beyond Zone 1 distance.

**Offsite Population Density within Zone 1** – offsite population density immediately beyond the Property Limit distance to the Zone 1 distance. If blank, the default offsite population density will be used. Typically, "Sparsely" populated is 0.0002 to 0.0005 people/m<sup>2</sup>, "Moderately" populated is 0.001 to 0.002 people/m<sup>2</sup> and "Densely" populated is 0.003 to 0.005 people/m<sup>2</sup>.

**Offsite Population Density Beyond Zone 1** - offsite population density immediately beyond the Zone 1 distance. If blank, the default offsite population density will be used.

**Effective Egress from Work Area?** - answer"Yes" if personnel would not be trapped on an elevated work platform and have an unobstructed path for escape purposes.

Access for Emergency Services? – is used an input for Fire and Explosion Index. Options include: Adequate, Inadequate, and Partially Adequate.

**Degree of Equipment Congestion in Area?** – is used in determination of explosion energy. Options include: Low, Medium, and High.

**Containment or Dike Area** - is the surface area a spill would be confined to. If this input is blank, spills are assumed as not confined.

**Consider Dike or Bund Failure for Vessel Rupture?** – answer "Yes" to assume a "wave" of liquid spills over the dike wall or the dike wall fails for rupture cases.

**Credit Fire Heat Adsorption for Drainage/Indirect?** - answer "Yes" if drainage is such that fire heat is not directly under the equipment. "Yes" will reduce the NFPA fire heat (with remote impoundment) input by 50% or use a lower correlating coefficient in API fire heat input.

**Distance to Nearest Fired Equipment** – is used in determining factors with the Fire and Explosion Index and probability of explosion with LOPA. Options include: No, within 10 m (33 ft), within 20 m (65 ft), within 30 m (100 ft), and greater than 30 m (100 ft).

**Quantity of "Other" Flammable Liquids in Area** – is the mass of flammable material in nearby equipment that provide fuel for a pool fire. This quantity does not include the contents of the equipment being evaluated.

**Quantity of "Other" Flammable Liquids in Adjacent Area** – is the mass of flammable material in equipment or vessels in an adjacent area that provide fuel for a pool fire.

Adjacent Containment Surface Area - is the surface area a spill would be confined to within the adjacent area.

**Automated EBV to limit spill quantity?** - answer "Yes" if appropriate. This input is used within the Fire and Explosion Index.

#### Enclosed Process Area Information

**Enclosed Process Volume** – is the volume of the enclosed process area in which the equipment is located. If the equipment is located in a room that is isolated from the other areas of the process building, only the room volume should be entered.

**Enclosed Process Ventilation** –represents the mechanical ventilation rate of the Process Area. This input is used to estimate concentration within the enclosed process area to determine if mechanical ventilation may represent a possible "credit" in LOPA. This input does not impact LOPA Consequence.

**Number of Enclosed Area Personnel** – represents the number of people who could be within the enclosed process area who may be impacted. A default of two people is assumed if this input is blank.

# Occupied Building Information

Identical inputs for up to two Occupied Buildings may be used. If a second building is to be included in the evaluation, the question: **Occupied Building 2 in Same Wind Direction?** should be answered either "Yes" or "No" as appropriate. Refer to Figure 5.6 for an example.

# Depiction of Occupied Buildings in the same Wind Direction

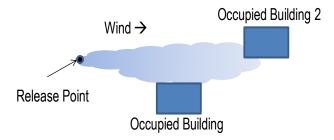


Figure 5.6: Depiction of 2 Occupied Buildings Downwind

**Occupied Building Name** – is a text field used for reference by the evaluation team.

**Distance to Occupied Building or Area?** – is a minimum required input and represents the distance to the nearest edge of the Occupied Building or Occupied Area within an Enclosed Process Building.

**Elevation of Occupied Building Ventilation** – is the elevation of the ventilation inlet and often corresponds to the roof elevation.

**Distance to Center of Occupied Building** – is used in estimation of explosion damage to the building. This value should always be greater than the Distance to Occupied Building. A default of Distance to Occupied Building is used if this input is blank.

**Occupied Building Type** – is used to determine explosion damage to the Occupied Building. Options include: "Low Strength" which represents a low strength portable building, or "Typical Construction" representing typical residential or industry construction. Impacts to high strength or blast resistant buildings are not considered in RAST screening evaluation.

**Occupied Building Ventilation Rate** – is used in estimation of indoor concentration resulting from toxic infiltration. This input does not impact LOPA Consequence.

**Centralized Ventilation Shut-Off?** - answer "Yes" if appropriate which will suggest a potential LOPA credit. **Number of Building Occupants** – is a minimum required input and should represent a daytime maximum building occupancy

## **Environmental Inputs**

RAST contains a very approximate table for estimating Environmental Consequences. Both spills to soil and spills to a waterway are considered.

**Spills to Soil Require Remediation?** – answer "Yes" if using this Environmental Consequence Table for estimating the Tolerable Frequency for spills to soil. The consequence will be based on the NFPA Health ranking in addition to the quantity spilled.

**Potential for Water Contamination** - answer "Yes" if using this Environmental Consequence Table for estimating the Tolerable Frequency for spills to a waterway. The consequence will be estimated based on the entered Aquatic toxicity (harmful, toxic, and very toxic) in addition to the quantity spilled.

**High Population Downstream of Facility?** – answer "Yes" if a city or other highly populated area is immediately downstream of the spill. "Yes" will increase the consequence category (or Tolerable Frequency) by 1 for water contamination.

# Estimation of Number of People Impacted from Plant Layout Information within RAST

It must be noted that **estimating the number of people impacted for a scenario is extremely inaccurate**. Often consequence severity is predicted significantly higher or significantly less than actual historical incidents. It is the intent of RAST to provide estimates primarily for consistency among Hazard Identification and Risk Analysis studies and for comparison.

When using the option for *Consequence Severity without Direct Reference to Human Harm*, inherent to the correlation of hazard distance or concentration divided by Level of Concern is a population density or number of building occupants. For situations where the population density is significantly greater or less than inherent to the correlation, or where the number of building occupants is significantly greater or less than inherent to the correlation; adjustments or Conditional Modifiers may be needed in Risk Analysis.

The location references of the various RAST inputs are depicted in Figure 5.7 below.

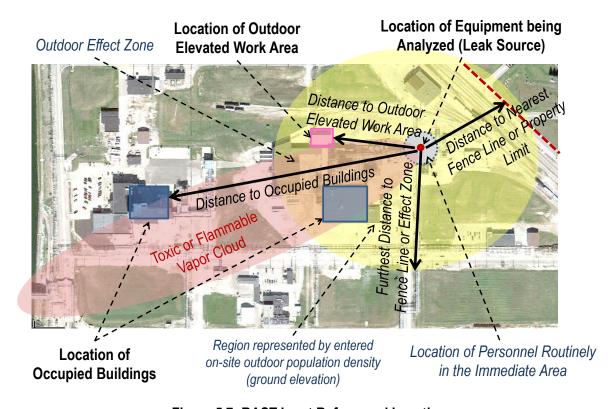


Figure 5.7: RAST Input Referenced Locations

The Maximum Number of On-Site Outdoor Personnel Impacted is estimated as:

Person Routinely in the Immediate Area

- + Person at Elevated Work Location
- + Effect Zone "Footprint" Area times Maximum Population Density

Note that the area of the Effect Zone is estimated as a "pie shaped" circle segment of 0.3 times Distance<sup>2</sup>. The Vapor Cloud distance to a concentration of ½ the Lower Flammable Limit (flammable cloud) OR a multiple of ERPG-3 concentration (toxic cloud) at ground elevation will be used unless limited by entering a maximum "Distance to Furthest Fence Line or On-Site Personnel".

The number of people impacted within Occupied Buildings is estimated as the sum of "Vulnerability" (or fraction of building occupants impacted) times Maximum Number of Occupants for each building. For outdoor release scenarios, the number of outdoor on-site personnel impacted is added to those impacted within occupied building to obtain a total number of people impacted.

For example: Consider a flammable release with an estimated distance to  $\frac{1}{2}$  LFL concentration of 250 m, a maximum population density of 0.0002 people/m² within the effect zone, personnel noted as "routinely in the immediate area", concentration at the location of the elevated work area exceeding  $\frac{1}{2}$  LFL, and one building with 10 occupants within the blast wave of the resulting vapor cloud explosion such that the occupant vulnerability is 50%. The total number of people impacted for this scenario would be estimated as:

- 1 person within the immediate area
- + 1 person within the elevated work area
- $+ 0.3 (250 \text{ m})^2 (0.0002 \text{ people /m}^2) = 3.8 \text{ people within the effect zone}$
- + 10 (0.5) = 5 people within the occupied building
- = 10.8 total people impacted

Additional information for estimation of effect zones and toxic or explosion damage vulnerability of building occupants is found in the training materials for Chemical Hazard Engineering Fundamentals (CHEF).

#### **Pool Fire Evaluation Worksheet**

Excessive Heat from Pool Fire is a common scenario case for Hazard Evaluation and Pressure Relief Design. The Pool Fire Evaluation worksheet provides a summary of key pool fire information for any Equipment Item. This summary contains an estimate of the fire heat adsorption rate and the pool fire duration. In addition, the times for heating to the saturation temperature at the relief device set pressure, heating to the saturation temperature at the rupture or catastrophic failure pressure, heating to the reaction temperature of no return are estimated. Figures 5.8, 5.9 & 5.10 depict the Pool Fire Evaluation worksheet.

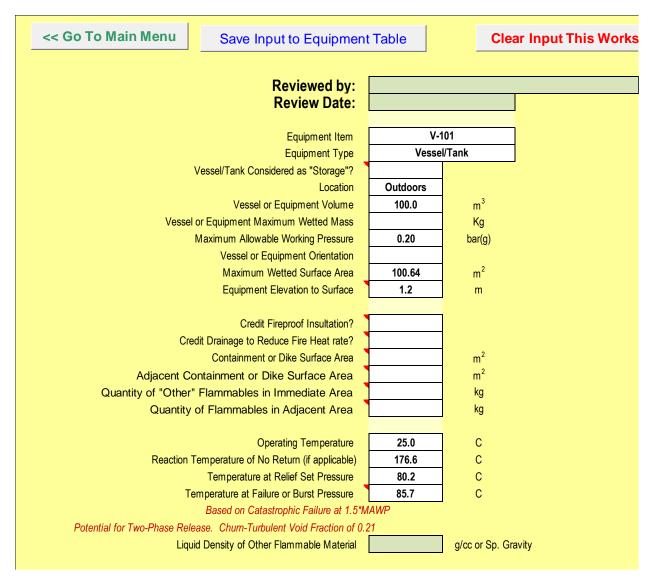


Figure 5.8: Pool Fire Evaluation Worksheet Part 1

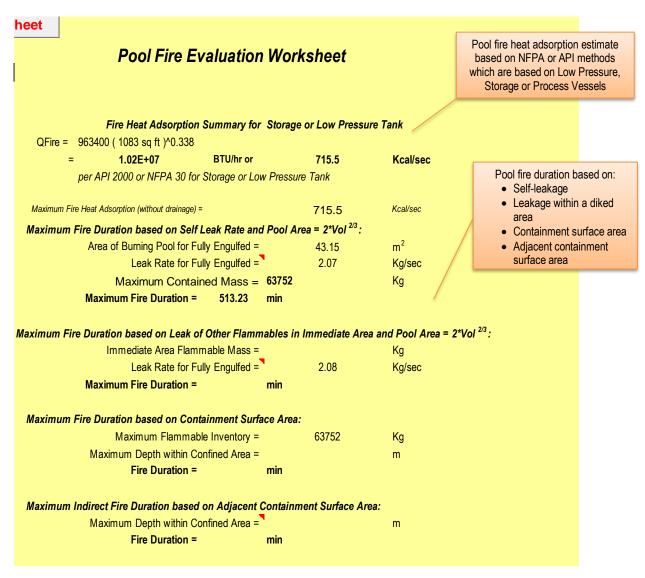


Figure 5.9: Pool Fire Evaluation Worksheet Part 2

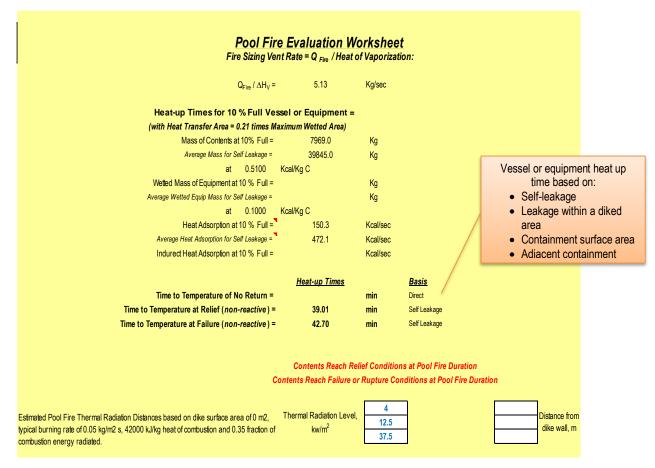


Figure 5.10: Pool Fire Evaluation Worksheet Part 3

Additional information associated with Pool Fire Evaluation is covered in the Layers of Protection Analysis section of this document under Pool Fire Frequency Evaluation.

#### Workbook Notes and Setting Units to be Displayed on the Scenario Results worksheet

A Workbook Notes tab is available to capture notes from the LOPA team that apply to the entire workbook. The Basis for Analysis is also summarized on the worksheet including the values for Ambient Temperature, Wind Speed, and Onsite Population Density.

Standard Units to be displayed for all scenarios in the Scenario Results worksheet may also be entered at the bottom right of this worksheet. If not entered, units will be displayed as those entered by the User for the various inputs. For example, the units for distance will be that entered by the user for "Distance to Property Limit or Fence Line" on the Plant Layout Worksheet (which may be different for each equipment entry). Entering Standard Reporting Units on the Workbook Notes worksheet will ensure that the units for *all* scenarios in the Scenario Results worksheet are the same. Refer to Figure 5.11.

<< Go To Main Menu								
RAS T  Risk Analysis Screening Tools (v.2)					Notes for the entire workbook (versus notes for a specific piece of equipment) may be entered			
Workbook Reviewed I	oquipment, may be entered							
Review Da		lotes or Comm	anto:					
		ioles or comm	ens.		1			
		Basis	for Analysis					
Discouries Descriptions			Evaluation/Fire Decompositions					
<u>Dispersion Parameters:</u> Ambient Temperature Default	25	С	Explosion/Fire Parameters: Fraction LFL for Flash Fire Severe Impact	0.5	]			
Maximum Release Duration Limit	3600	sec	Vapor Cloud Explosion Limiting Rate	4	Kg/sec			
Day Wind Speed	3	m/sec	Vapor Cloud Explosion Limiting Quantity	1000	Kg/sec Kg			
Night Wind Speed	1.5	-	Flash/Jet Fire Limiting Rate	0.2	Kg/sec			
Day Atmospheric Stability Class	Cla	ass D	Pool Fire Limiting Quantity	100	Kg			
Night Atmospheric Stability Class	Cla	ass F	Fraction of LFL Limit for Building Explosion	1				
Surface Roughness	Indi	ustrial	Fraction Combustible Consumed in Pool Fire	0.9				
Fraction Night Weather for Offsite	0.2		Direct Blast Impact Overpressure Screening		psi			
Indoor Wind Speed Equivalent	0.1	m/sec						
Daytime Solar Radiation to Outdoor Liquid Pool	0.5	Kw/m <sup>2</sup>	Other Parameters:		,			
Occupied Building Ventilation Default	3		Maximum Operating Fill Fraction	0.8				
Enclosed Process Ventilation Default	0.5		Minimum Operating Fill Fraction	0.2	_			
Fraction Indoor/Outdoor Concentration Limit	0.5		Convective Heat Loss Coeff-Insulated	0.0005	Kw/sq m C			
Averaging Time Correction for Flammable	2		Convective Heat Loss Coeff-Uninsulated	0.01 3	Kw/sq m C			
Note: 10 Minutes Averaging 1	me for Toxics		Hazard Distance Limit for Severe Impact	<u> </u>	m			
Toxicity Parameters:			Equipment Failure Limits:					
Inhalation Toxic Screening Lin	it 1000	ppm	Multiple of MAWP Not-Anchored API	1.1	Standard	units for display of		
Default Toxic Time-Scale Exponent (1/n)	0.5		Multiple of MAWP Anchored API Tank	1.5		the Scenario Results		
Default LC <sub>1</sub> /ERPG-3	2		Multiple of MAWP Pressure for ASME Vessel	2		et may be entered		
Default LC <sub>50</sub> /ERPG-3	5		Default Failure Temperature	600				
Thermal Parameters:			Population Parameters:					
High Temp Thermal Burns Screening - Liquid	55	С	Default Offsite Population Density	1500	people/km <sup>2</sup>			
Low Temp Thermal Burns Screening - Liquid	-23	С	Default Onsite Outdoor Population Density	200	people/km <sup>2</sup>			
High Temp Thermal Burns Screening - Vapor	80	С	Day Fraction Population Outdoors	0.1				
Thermal Radiation Screening Limit 4		Kw/sq m	Night Fraction Population Outdoors	0.1				
			Indoor Population Limit	2	people			
Consequence Severity for Human Harm Base	Lon:		Chandred Danedin Living	for Connerio D	ooutto.			
Estimated Number of People Impacted	101		<u>Standard Reporting Units</u> Distance	TUI Scenario Re	<del>zsuits</del>			
Estanated Number of Feople Impacted			Hole Diameter	/				
			Mass					
			Flow			ected for human harm		
			Area			ce severity. Options		
			Pressure			ted Number of Peopl		
Show Possible IPLs	Hide	Possible IPLs	Equipment Volume		impacted	or "Hazard Distance"		

Figure 5.11: Workbook Notes Worksheet

On the right-hand side of the Workbook Notes worksheet is a display of the specific Risk Matrix for use in RAST Hazard Analysis. It is suggested that a representative of the company (referred as a RAST "Technical Administrator") update the risk criteria and risk matrix to reflect the company's risk tolerance criteria. The Technical Administrator should also update the number of severity and frequency levels for consistency of users of the RAST within the company. The human harm criteria may be expressed as number of people severely impacted (the current default) or by the characteristics of the chemical release such as distance from the release point to a hazardous concentration. The default parameters provided in RAST should be considered "examples" as CCPS does not endorse any specific risk criteria.

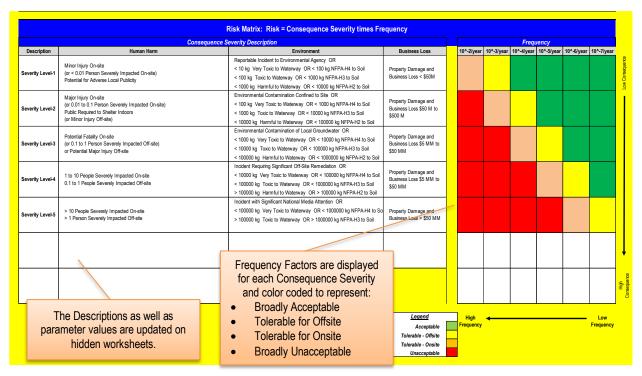


Figure 5.12: Workbook Notes Worksheet – Risk Matrix

## 6. SCENARIO DEVELOPMENT

Fortunately, the number of catastrophic incidents is small relative to the total number of incidents or near misses each year. Those incidents with extreme consequences are usually associated with a low frequency or probability.

Some of us will not personally experience a catastrophic incident during our career. This may present a challenge in appreciating which potential scenarios are credible. This section covers:

- How Scenarios are developed
- Understanding of RAST Library of common Scenarios
- How to enter User Defined Scenarios

#### **Scenario Definition**

A Scenario represents an unplanned sequence of events leading to a loss event with undesired consequence (Figure 6.1).

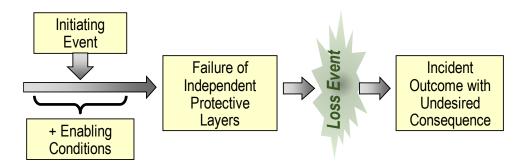


Figure 6.1 Schematic of a scenario

- **Event** An occurrence involving a process that is caused by equipment performance or human action or by an occurrence external to the process.
- Event Sequence A specific, unplanned series of events composed of an initiating event and intermediate events that may lead to an incident.
- Loss Event Point in time in an abnormal situation when an irreversible physical event occurs that
  has the potential for loss and harm impacts. Examples include release of a hazardous material, ignition
  of flammable vapors or ignitable dust cloud, and over-pressurization rupture of a tank or vessel. An
  incident might involve more than one loss event, such as a flammable liquid spill (first loss event)
  followed by ignition of a flash fire and pool fire (second loss event) that heats up an adjacent vessel
  and its contents to the point of rupture (third loss event). Generally synonymous with hazardous event.
- Initiating Event (Initiating Cause) The operational error, mechanical failure, or external event or agency that is the first event in an incident sequence and marks the transition from a normal situation to an abnormal situation.
- Incident Outcome The physical manifestation of the incident: for toxic materials, the incident outcome is a toxic release, while for flammable materials; the incident outcome could be a boiling liquid expanding vapor explosion (BLEVE), flash fire, vapor cloud explosion (VCE), etc. For example, the incident outcome for a leak of chlorine from a railcar is a toxic release.

- **Consequence** The undesirable result of a loss event, usually measured in health and safety effects, environmental impacts, loss of property, and business interruption costs.
- Enabling Condition A condition that is not a failure, error or a protection layer but makes it possible
  for an event sequence to proceed to a consequence of concern. It consists of a condition or operating
  phase that does not directly cause the scenario, but that must be present or active in order for the
  scenario to proceed to a loss event; expressed as a dimensionless probability.

# Scenario Development in RAST

Scenarios are developed within RAST based on common process upsets (or deviations of a process parameter from the design intent) for a specific *Type of Equipment* and *Chemical* service. Scenario Cases contain an Initiating Event, a single Loss Event and an Incident Outcome. A Scenario Type may also be used to provide a key phrase to describe the overall event sequence.

# Initiating Event + Loss Event + Incident Outcome

For example, **Equipment Rupture** (Loss Event) caused by a **Process Control Failure** (Initiating Event) resulting in a **potential Off-Site Toxic Release** (Incident Outcome) represents a Scenario that might occur if the maximum pressure exceeds the design limits of the equipment. We may also choose to describe this event sequence or Scenario Type as **Pressure Damage** to indicate a deviation of pressure from the design intent.

# **Equipment Types in RAST**

Within RAST, scenarios are developed for common process upsets for a specific equipment item Figure 6.2



Figure 6.2 Equipment Type Selection

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The general Equipment Types include:

# **Chemical Processing Equipment**

- Absorber/Scrubber
- Compressor or Blower
- Distillation
- Drum/IBC Handling
- Extraction
- Filter/Centrifuge
- Heat Exchanger
- Piping
- Pump
- Stirred Reactor/Crystallizer
- Tank Truck/Rail Car/Tote

- Turbine or Gas Expander
- Vessel/Tank

# Fired Equipment (shown in green text)

- Fired Equipment Combustion Unit
- Fired Equipment Fire Tube Combustion Unit
- Fired Equipment Incinerator or TTU
- Fired Equipment Flare
- Fired Equipment Vapor Quench
- Fired Equipment Process Heater

# Solids Handling Equipment (shown in red text)

- Bag/Pak Dumping (Solids)
- Blender/Mixer (Solids)
- Conveyor-Mechanical (Solids)
- Conveyor-Pneumatic (Solids)
- Dryer-Mechanical (Solids)
- Dryer-Spray or Fluid Bed (Solids)
- Dust Filter or Bag house (Solids)
- Hopper Storage (Solids)
- Mill/Grinder (Solids)
- Screener or Sieve (Solids

# Specialized Equipment

- Drum Oven
- USER DEFINED EQUIPMENT

# **Initiating Events in RAST**

Initially, the most likely Initiating Events are identified at a very high level. These will be defined in more detail ("how could this happen in my plant") after completing the Consequence Analysis. These are broadly categorized as: Control System Failures, Human Error, or Mechanical Failures. These broad categories are broken into greater detail for *Initiating Events* listed in RAST as:

# **Control System Failures**

BPCS Instrument Loop Failure

#### **Human Error**

- Human Failure Action more than once per quarter
- Human Failure Action once per quarter or less
- 3rd Party Intervention

## Mechanical Failures

- Mechanical Failure (e.g. leading to spark or hot spot within equipment)
- Heat Exchanger Tube Leak < 100 tubes</li>

- Heat Exchanger Tube Leak > 100 tubes
- · Unloading/Loading Hose Failure
- Mechanical Loading Arm Failure
- Sight Glass Failure
- · Pump (blower, compressor, etc.) Failure Loss of Flow
- Regulator Failure
- Single Mechanical Seal Failure
- Double Mechanical Seal Failure
- Canned/Magnetic Drive Pump Failure
- General Utility Failure
- Natural Disaster (Storm, Earthquake, etc.)

# Other Initiating Events categorized by Failure Frequency Factors (Initialing Event Factors (IEF))

- IEF=0 (1/year) as determined by Fault Tree or Detailed Analysis
- IEF=1 (1/10 or 10<sup>-1</sup>/year) as determined by Fault Tree or Detailed Analysis
- IEF=2 (1/100 or 10<sup>-2</sup>/year) as determined by Fault Tree or Detailed Analysis
- IEF=3 (1/1,000 or 10<sup>-3</sup>/year) as determined by Fault Tree or Detailed Analysis
- IEF=4 (1/10,000 or 10-4/year) as determined by Fault Tree or Detailed Analysis
- IEF=5 4 (1/100,000 or 10-5/year) as determined by Fault Tree or Detailed Analysis

# **Loss Event Categories in RAST**

Loss Events are typically associated with "unintended release of a hazardous material or energy".	Loss
Event in RAST are categorized by the type of estimate used to determine release rate.	

	Hიl	le S	ize	re	lease.
_	$\mathbf{I}$		1126	10	Casc.

Standardized hole sizes simplify the screening analysis, for example:

- 5 to 15 mm to represent gasket failure.
- 100 mm to full bore diameter to represent pipe or equipment nozzle failure.

<b>Overflow</b> or other Material Balance released such that rate estimated from feed or fill rate.

<b>Excessive Heat</b>	such	that	vapor	release	rate	estimated	from	rate	of	heat	input	divided	by	heat	of
vaporization.															

Equipment Rupture as a sudden release of entire equipment contents and reaction or pressure-volume
energy.

<b>Equipment Damage</b> represents a	loss event	requiring	repair or	replacement	of equipment	i without lo	วรร
of containment.							

These broad categories are broken into greater detail for *Loss Events* listed in RAST as:

# **Hole Size Categories**

• **Very Small Hole Size** leak represents a 5 mm (3/16 inch) hole leak which may be typical for a valve stem packing small gasket failure.

- **Small Hole Size** leak represents a standard size which can be used in process upset scenarios. The default setting is ½ inch hole (12.7 mm).
- **Mechanical Seal Hole Size** leak represents a maximum hole size for pump seal failure. The default setting is ½ inch hole (12.7 mm).
- Gasket Hole Size leak represents a typical hole size for gasket failure. The default setting is a ½ inch hole (12.7 mm).
- Gasket Hole Size Leak (top) represents a gasket leak from the vapor space of a liquid filled vessel by depressurization of the pad gas saturated with the liquid contents
- **Medium Hole Size** leak is used for Mechanical Integrity scenarios. The default setting is a 25 mm (1 inch) hole.
- **Medium Hole Size Leak (top)** represents a leak from the vapor space of a liquid filled vessel by depressurization of the pad gas saturated with the liquid contents.
- Full Bore Hole Size leak represents a full-bore pipe or nozzle hole which is common for nozzle failure and pipe rupture.
- Large Hole Size Leak (top) represents a leak from the vapor space of a liquid filled vessel by depressurization of the pad gas saturated with the liquid contents
- Very Large and Extremely Large Hole Size is used for Mechanical Integrity scenarios. The default setting is a 100 mm (4 inch) or 250 mm (10 inch) hole respectively and.
- Very Large and Extremely Large Hole Size (top) represents a leak from the vapor space of a liquid filled vessel by depressurization of the pad gas saturated with the liquid contents.
- **Drain or Vent Hole Size** represents a hole size entered by the User representing an open drain or vent valve.
- **Drain or Vent Hole Size (top)** represents a leak from the vapor space of a liquid filled vessel by depressurization of the pad gas saturated with the liquid contents
- **Tube Hole Size (Process)** represents a hole size entered by the User representing a "full bore" failure of a heat exchanger tube.
- Tube Hole Size (Heat Transfer Fluid) represents a leak of heat transfer fluid for a "full bore" heat exchanges tube failure.
- **User Hole Size** represents a hole size entered by the User.
- User Hole Size (top) represents a leak from the vapor space of a liquid filled vessel by depressurization of the pad gas saturated with the liquid contents

#### Overflow and other Material Balance Related Loss Events

- **Vent Release** is based on a User entered feed rate primarily used for scenarios associated with vent treatment systems such as a scrubber, flare, or thermal oxidizer.
- Pad Gas Release represents a release rate equivalent to the maximum pad gas feed rate.
- Overfill Release represents a release rate equal to the input feed rate, pad gas, or back flow rate.
   The release is assumed to flow out the relief system if the input feed pressure is greater than the relief set pressure.
- Vapor Displacement from Liquid Filling represents a vapor release rate equal to displacement of the entered liquid feed rate.
- Solids Spill represents a spill of solids equal to the feed rate. The release is assumed to occur
  from failed nozzle or flexible connection.
- User Defined Release is a release rate entered by the User.

#### Excessive Heat or other Heat Balance Loss Events

- Vapor Relief Vent Fire represents a release rate estimated from fire exposure heat rate divided by the heat of vaporization released through the Relief System.
- Vapor Relief Vent Heat Transfer represents all vapor venting and the rate is calculated as U A
   ΔT divided by the heat of vaporization which depends on the temperature difference between the
   heating media and saturation at relief pressure.
- Vapor Relief Vent Mechanical Energy represents all vapor venting and the rate is calculated as the heat from mechanical energy divided by the heat of vaporization.
- Vapor Relief Vent Reaction represents all vapor venting and is the reaction heat rate at relief
  temperature divided by the heat of vaporization. A check for two-phase flow is used for Reaction
  cases and vapor created from flash or evaporation of ejected liquid is added to the vapor generated
  from reaction heat. Venting is assumed to be through the Relief System if the maximum Reaction
  Pressure exceeds the Relief Set Pressure. Vapor Relief Vent may occur for any of the five primary
  reaction types: Adiabatic, External Heat, Fire, Catalytic, Pooling of Reactants, or Mis-Loading of
  Reactants.

# **Equipment Rupture Loss Events**

- Equipment Rupture at Operation Temperature represents a release of energy at the burst
  pressure and normal operating temperature. In addition to the blast wave from the sudden release
  of pressure, the entire contents of the equipment are assumed to be released "instantaneously" at
  normal process temperature.
- Equipment Rupture at Peak Pressure represents a release of energy at the burst pressure and temperature corresponding to the sum of vapor pressure plus thermal expansion of pad gas. In addition to the blast wave from the sudden release of pressure, the entire contents of the equipment are assumed to be released "instantaneously" at burst pressure saturation temperature.
- Equipment Rupture at Saturation Temperature represents a release of energy at the burst pressure and saturation temperature (boiling point at burst pressure). In addition to the blast wave from the sudden release of pressure, the entire contents of the equipment are assumed to be released "instantaneously" at burst pressure saturation temperature.
- Equipment Rupture at Fire Conditions represents a release of energy at the burst pressure and saturation temperature. In addition to the blast wave from the sudden release of pressure, the entire contents of the equipment are assumed to be released "instantaneously" at the fire burst pressure saturation temperature.
- Equipment Rupture Internal Deflagration represents a release of energy at a deflagration
  pressure of roughly 10 atmospheres. In addition to the blast wave from the sudden release of
  pressure, the entire contents of the equipment are assumed to be released "instantaneously" at
  normal process temperature.
- Equipment Rupture Detonation/Deflagration represents a release of energy with fragmentation
  assuming a condensed phase explosive material. In addition to the blast wave from the sudden
  release of pressure, the entire contents of the equipment are assumed to be released
  "instantaneously" at burst pressure saturation temperature.

#### Other Loss Events

 Equipment Damage represents an overpressure or high temperature event exceeding the design limits that does not lead to rupture. Equipment Damage may be associated with economic loss or loss of business scenario.

- Equipment Failure above Design Temperature represents failure of equipment due to high temperature rather than overpressure. It is analyzed similar to a full-bore hole size leak.
- **Secondary Dust Release** represents the release of dust that could accumulate on beams, rafters, or other surfaces and be later displaced to for a combustible or flammable dust cloud.
- Flaming Liquid Release represents a special case used for scenarios associated with Fired Equipment.

#### Incident Outcome in RAST

Incident Outcome in RAST is based on a generalized Event Tree (Figure 6.3). A single loss event may have several potential outcomes including:

Flamm	able Outcome:						
	Flash Fire or Fireball Vapor Cloud Explosion Building or Confined Space Explosion						
Toxic (	Outcome:						
	Off-site toxic exposure On-site toxic exposure Toxic infiltration of occupied buildings Chemical Exposure						
Other Outcome:							
	Physical Explosion Environmental Incident Equipment Damage or Business Loss						

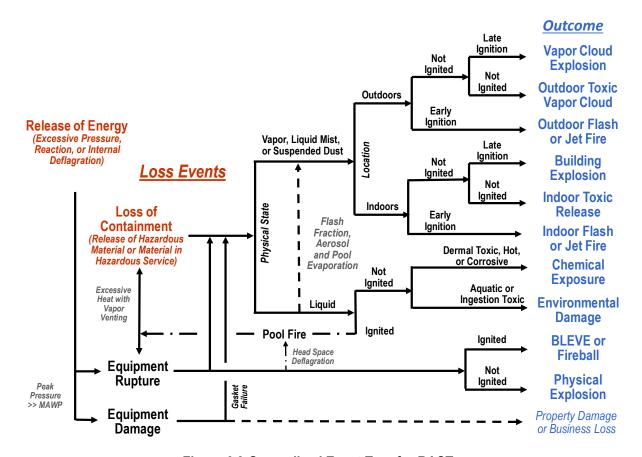


Figure 6.3 Generalized Event Tree for RAST

Example criteria for screening of various Incident Outcome is covered in Chemical Hazards Engineering Fundamentals training. In summary:

## ☐ Flash (or Jet) Fire

Personnel exposure to flammable cloud of a multiple of LFL concentration

## ■ Vapor Cloud Explosion

1000 Kg flammable (100 Kg for high flame speed) released within 5 minutes

#### ■ Building Explosion

Indoor average concentration exceeds LFL

# Physical Explosion

1 psi overpressure (0.3 psi for fragmentation) distance exceed a threshold distance

# ☐ Toxic Vapor Release (Indoor, Outdoor)

- Off-site exposure to > ERPG-2 concentration (60 min. basis)
- On-site exposure to > LC-50 concentration for short duration outdoors (5-10 minutes)
- On-site exposure to > ERPG-3 concentration based on 60 min. exposure within an occupied building.

These criteria are managed by the RAST Technical Administrator within hidden worksheets of the RAST spreadsheet.

# **Development of a Scenario Library**

A library of Scenario Cases is available within the RAST tool. The intent of the Library is to provide analysis teams with initial ideas to build upon and not a substitute for performing Hazard Evaluation. Development of scenarios is roughly based on deviations of key process parameters similar to that used for Hazard and Operability Studies (HAZOP) (Figure 6.4).

$\frac{\text{Guidewords} \Rightarrow}{\text{Parameter}  \Downarrow}$	More	Less	None	Reverse	Part Of	As Well As	Other Than
Flow	High flow	Low flow	No flow	Back flow			Loss of containment
Pressure	High pressure	Low pressure	Vacuum		Partial pressure		
Temperature	High temperature	Low temperature				Cryogenic	
Level	High level	Low level	No level				Loss of containment
Composition State	Additional phase	Loss of phase		Change of state	Wrong concentration	Contaminants	Wrong material
Reaction	High reaction rate	Low reaction rate	No reaction	Reverse action	Incomplete reaction	Side reaction	Wrong reaction

Figure 6.4 Example HAZOP Deviations

Beware of changing inputs such as the Chemical composition or properties, Equipment Type, Maximum Allowable Working Pressure, etc. as the suggested Scenario Type and Scenario Feasibility are dependent on Chemical Data, Equipment and Process Conditions inputs.

Also recognize that the Scenario Library in RAST only identifies the MOST LIKELY Initiating Events. In Layers of Protection Analysis, it is important for the Analysis Team to determine if other Initiating Events are feasible and either Modify the suggested Initiating Event as appropriate or Create additional scenario cases for analysis based on their knowledge of the process.

# Scenario Types

Scenario types are used to categorize common parameter deviations and are often related to a specific Type of Equipment. The common parameter deviations help to define the most common Initiating Events for the scenario. Examples include:

# **Example RAST Scenario Type** HAZOP Parameters with Deviation

Accumulation of Untreated Vent or Waste Composition-Wrong Concentration Flow-High

Blocked-In with Thermal Expansion Temperature-High Flow-No

Excessive Heat Input - Heat Transfer Energy-High Pressure-High Temperature-High

Pad Gas or Vapor Flow Flow-High

**Ignitable Headspace** Composition-Wrong Concentration

Overfill, Overflow, or Backflow Level-High Flow-Backflow

Pressure Damage Pressure-High
Vacuum Damage Pressure-Low

Pump Deadhead Pressure-High Flow-No Temperature-High

Hose or Loading Arm Damage from Movement Flow-Loss of Containment

Drain or Vent Valve Open Flow-Loss of Containment

Seal Leak Flow-Loss of Containment

In addition, a "feasibility" check of process conditions which allow the event sequences to occur, is also used. Those Scenario Cases where the likelihood of the event sequence is extremely low based on process limitations are not included in the suggested list within RAST.

## **Flammability**

Flash Point Lower Flammability Limit Minimum Ignition Energy

# Fire and Explosion

Process Temperature > Flash Point Max Concentration > Lower Flammable Limit Ignition Source > Minimum Ignition Energy

#### **Toxicity**

Inhalation Toxicity
Dermal Toxicity
Aquatic Toxicity

#### Toxicity

Max Vapor Concentration > ER Value Potential For Dermal Exposure Potential for Environmental Damage

# Reactivity

Heat of Reaction
Detected Onset Temperature
Gas Generation

#### Reactivity

Max Pressure > MAWP or Relief Set
Max Process or Heating Temp > Temp of No Return

## RAST Scenario Group and Scenario

A **RAST Scenario Group** is also similar to a "Bow Tie Diagram". It represents a single Loss Event with the related Initiating Events and Incident Outcomes. Figure 6.5 represent the generic Bow-Tie utilized in RAST. RAST evaluates essentially all Incident Outcome of interest but initially includes only the most common one or two Initiating Events. If needed, the study team would add additional scenarios representing other Initiating Events of interest prior to selecting scenarios for Layers of Protection Analysis.

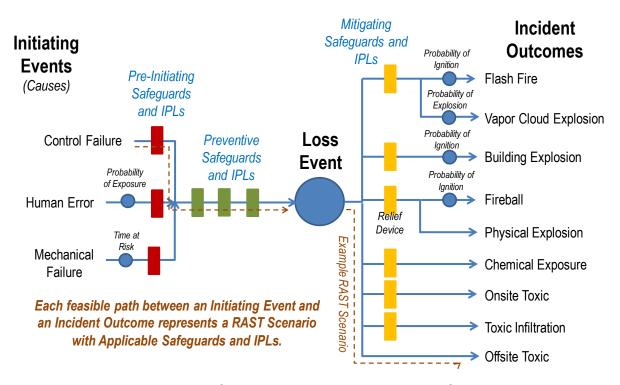


Figure 6.5 Generic Bow Tie diagram used in RAST

# **RAST Scenario Types**

The Scenario Type is also used to "link" Loss Event for a specific Equipment Type and Chemical Service in the Scenario Library. A Scenario in RAST represents a specific combination of Equipment Type, Chemical Handled and Loss Event with one of several possible Initiating Events and one of several possible Incident Outcome. Examples of Scenario Type include:

**Accumulation of Untreated Vent or Waste** is used for Fired Equipment - Incinerator or TTU to represent scenarios where vents are not adequately destroyed with a *Vent Release* to the atmosphere. The most likely Initiating Event is *Basic Process Control System* (BPCS) Failure.

**Blocked-In with Thermal Expansion** may occur within piping or equipment handling refrigerated liquids, high melting point material that would require tracing, or very long un-insulated pipelines (>100 m) that could be heated by solar radiation. It is assumed that pressure build-up causes a gasket failure of a liquid full system which is not discovered until the subsequent transfer of material through this piping or equipment.

Casing or Containment Failure represents failure of a canned or magnetic drive pump casing caused by an upset, wear, or fatigue.

**Connection Failure** represents failure of a flexible connection to solids handling equipment resulting in a *Solids Spill*. The typical Initiating Event is *General Mechanical Failure*.

**Drain or Vent Valve Open** may occur following maintenance activities or during connection or disconnection of transportation equipment (drums, totes, tank trucks, rail cars, etc.). It is assumed that a *Drain Size leak* is most commonly initiated by *Operator Action Failure*.

**Excessive Heat Input** causes an overpressure event due to high vapor pressure at elevated temperature. It is assumed that this pressure may result in all vapor venting *Release thru Relief System* (if the relief device is adequately sized) or *Equipment Rupture at Saturation* conditions (if the maximum pressure exceeds the burst pressure). Excluding reactive scenarios, there are three specific types that match to a specific Vapor Relief Loss Event:

<u>Excessive Heat Input – Heat Transfer</u> is triggered if vapor pressure at the maximum heating media temperature exceeds the relief set pressure.

<u>Excessive Heat Input – Mechanical Energy</u> is triggered if vapor pressure at a maximum temperature evaluated by a simple equipment heat balance exceeds the relief set pressure.

<u>Excessive Heat Input – Fire</u> is triggered if the chemical handled is flammable or there are other flammable materials in the area. It is assumed that the fire will persist long enough for relief set and equipment burst pressures to be achieved.

**Excessive Pad Gas Flow** represents a scenario where the release rate equals the feed rate of pad gas or air saturated with process chemicals. It is assumed that a *Release thru Relief System* occurs if the Maximum Inert Pressure exceeds the Relief Set Pressure.

**Exhaustion of Scrubbing Media** represents a scenario where the scrubbing media become depleted resulting in a Vent Release normally caused by Loss of Composition Control (BPCS Failure).

**Flash Back of High Energy Feed** is used for Fired Equipment – Incinerator, Thermal Oxidizer or Flare to represent propagation of combustion to upstream equipment resulting in *Equipment Damage*. It is assumed that the most likely Initiating Event is *Basic Process Control System* (BPCS) Failure.

**Fuel Accumulation during Light Off** is used for Fired Equipment representing a process upset during startup of the unit resulting in Equipment Damage or Equipment Rupture - Deflagration. It is assumed that the most likely Initiating Event is *Basic Process Control System* (BPCS) Failure.

**Fuel Accumulation during Operation** is used for Fired Equipment representing a process upset during operation resulting in Equipment Damage or Equipment Rupture - Deflagration. It is assumed that the most likely Initiating Event is **Basic Process Control System** (BPCS) Failure.

**Fuel Accumulation while Down** is used for Fired Equipment representing leakage of fuel when not in operation resulting in Equipment Damage or Equipment Rupture - Deflagration. It is assumed that the most likely Initiating Event is **Basic Process Control System** (BPCS) Failure.

**High Fuel Flow or Energy Content** is used for Fired Equipment representing a process upset during normal operation resulting in Equipment Damage or Equipment Rupture - Deflagration. It is assumed that the most likely Initiating Event is *Basic Process Control System* (BPCS) Failure.

**High Temperature Failure** is used the maximum Feed Temperature exceeds the Design Temperature of the equipment resulting in Equipment Failure above Design Temperature. It is assumed that the most likely Initiating Event is loss of temperature or flow control (BSCS Failure).

**Hose or Loading Arm Connection** failure may occur during connection or disconnection of transportation equipment (totes, tank trucks, rail cars, etc.). It is assumed that a *Small Hole Size Leak* (gasket failure) is most commonly initiated by Operator Action Failure.

**Hose or Loading Arm Damage from Movement** represents leakage from piping caused by movement of a transport vehicle while connected. The potential for a Large Hole Size Leak is assumed to be most commonly initiated by Third Party Intervention.

**Hydraulic Surge** may occur due to the sudden change in fluid momentum in long pipelines if valves are closed too quickly (or during start-up of a pump).

**Ignitable Headspace** may potentially result in an internal deflagration and *Equipment Rupture – Deflagration* if the chemical handled is greater than 5 °C above the flash point. It is assumed that the peak deflagration pressure reaches 10 atmospheres which in turn assumes ignition at atmospheric pressure and may exceed the burst pressure for some equipment.

**Liquid in Vapor Feed** represents a process upset associated with the feed to a Flare resulting in Flaming Liquid hazards or Equipment Damage. It is assumed that the most likely Initiating Event is *Basic Process Control System* (BPCS) Failure.

**Loss of Flow – Absorber or Scrubber** represents a scenario where vapor feed is not treated but assumed a *Release thru Vent System* at the feed rate. It is triggered if the physical state of the feed stream is "vapor" for *Equipment* that is Absorber or Scrubber. It is assumed that the most likely Initiating Event is *Basic Process Control System* (BPCS) Failure.

**Loss of Flow or Level - Fired Equipment** represents a process upset where quench equipment or a process heater may see excessively high temperature with Equipment Damage or Rupture at Saturation Conditions. It is assumed that the most likely Initiating Events include *Pump Failure* or *Basic Process Control System* (BPCS) Failure.

**Loss of Pilot or Ignition** is used for Fired Equipment – Flare to represent loss of flame during operation with *Release through Vent System* of untreated material.

**Loss of Vacuum - Thermal Oxidizer** used for Fired Equipment – Incinerator or TTU to represent loss of vacuum during operation with *Release through Vent System* of untreated material.

**Low Temperature Embrittlement** represents the potential for material of construction to become brittle at low temperature resulting in fracture upon stress or thermal shock. It is assumed that the most likely Initiating Event is Human Error allowing evaporative cooling of low boiling chemicals in preparation for maintenance with subsequent full-bore pipe or equipment nozzle failure (Large Hole Size Leak).

**Mechanical Integrity Failure** represents a piping or equipment leak caused by corrosion, wear or fatigue. Hole sizes include *Very Small, Medium, Very Large* and *Extremely Large* with failure frequency dependent on the length of piping.

**Movement of Flammable Liquid or Mist** represents the potential for electrostatic build-up during movement of flammable liquids such as transport or mixing resulting in *Equipment Rupture – Deflagration*. It is assumed that the peak deflagration pressure reaches 10 atmospheres which assumes ignition at atmospheric pressure and may exceed the burst pressure for some equipment.

**Overflow or Overfill,** and **Overflow or Backflow** represents a release equal to the feed rate (or back flow rate) of process chemical <u>if sufficient Inventory is available</u>. It is assumed a *Release thru Relief System* if the peak pressure exceeds the relief set pressure.

<u>Overflow - Foam or Entrainment</u> is a type of Overflow or Backflow scenario for equipment handling vapor/liquid mixtures such as Distillation.

<u>Overflow - Plugging or Freezing</u> is a type of Overflow or Backflow scenario for equipment containing material that may easily plug or freeze.

**Physical Damage or Puncture** represents leakage from piping caused by impact from lifts or vehicle collisions. The potential for a Large Hole Size Leak is assumed to be most commonly initiated by Third Party Intervention.

**Piping or Equipment Leak - Small** is a general scenario type for leaks of mechanical loading arm, sight glass or other small equipment. A Small Hole size is used as the Loss Event.

**Piping or Equipment LOPC – Large** is a Full-Bore Hole Size Leak loss event resulting from a Hose Failure, Sight Glass Failure or Mechanical Failure due to vibration.

**Plugged or Frozen Vent Line** is used for Fired Equipment – Flare resulting in *Equipment Damage*. The most likely Initiating Event is assumed to be *Loss of Utilities*.

**Pressure Damage** is a broad category of scenario for solids handling equipment that assumes a *Solids Spill* if the peak pressure exceeds MAWP. If the peak pressure exceeds the burst pressure, *Rupture at Operating Temperature* is the loss event selected.

**Propagation of Flame or Burning Ember** is used in Solids Handling scenarios to represent an upset in an upstream equipment item that could ignite dust downstream.

**Pump Deadhead** is an event where one or both of the suction and discharge valves are closed while the pump or compressor is running. It is assumed that heat and pressure build-up result in *Equipment Rupture* at Saturation conditions or may result in an *Uncontrolled Reaction – Thermal Initiation*.

**Relief Device Failure** is failure of a rupture disk at the normal operating pressure due to pressure cycling or fatigue.

**Rotating Equipment Damage** is a failure or *Rupture at Operating Temperature* due primarily High Speed (Turbines) or Vibration (other Rotating Equipment).

**Seal Leak** is a leak of a mechanical pump or other rotating equipment seal caused by an upset, wear, or fatigue. The frequency of failure is determined by the type of seal arrangement – *Single Mechanical Seal, Double Mechanical Seal, Magnetic Drive*, or *Canned Pump*.

**Tube Failure LOPC** is associated with a Heat Exchanger. If the Process source pressure is higher than the Heat Transfer Fluid pressure and the Relief Set Pressure, the leak is assumed to be Process Fluid. If the Heat Transfer Fluid source pressure is higher than the Relief Set Pressure and Operating Pressure, the leak is assumed to be Heat Transfer Fluid.

**Uncontrolled Reaction** is a group of overpressure scenarios resulting from gas generation or high vapor pressure at elevated temperature. This pressure may result in vapor venting as a *Release thru Relief System* (if the relief device is adequately sized), *Equipment Rupture at Saturation* conditions if the maximum pressure exceeds the burst pressure, or *Equipment Rupture – Detonation* for highly reactive systems. Types of Reaction include:

<u>Uncontrolled Reaction – Thermal Initiation</u> is used if the process, maximum heating media, or mechanical energy temperature exceeds the Temperature of No Return

<u>Uncontrolled Reaction - Fire Induced</u> assumes that the fire will proceed long enough for the system to exceed the Temperature of No Return.

<u>Uncontrolled Reaction - Catalyst or Impurity</u> denotes a reaction that may initiated by catalysts or impurities at normal operating temperature.

<u>Uncontrolled Reaction – Pooling of Reactants</u> denotes a reaction that is typically limited by feed rate but may build up reactants which then react like a batch reaction.

<u>Uncontrolled Reaction - Mis-Loading</u> denotes greater than normal reactant or less than normal solvent such that the heat of reaction per mass of mixture increases.

<u>Uncontrolled Reaction – Incompatible Material</u> is triggered by the user or if the NFPA reactivity rating is 2 or greater.

**Vacuum Damage** represents the potential for *Equipment Damage* or a *Nozzle Failure – top of Vessel* for equipment that is not full vacuum rated.

#### **User Defined Scenarios**

The User may enter additional Scenario Cases by selecting a Scenario Type, Initiating Event, Loss Event and Incident Outcome for the equipment item being evaluated. If one of the standard Scenario Types does not adequately describe the process upset, a **User Defined Scenario Type** may be selected and details entered under the Initiating Event description.

A **Loss Event** may be selected from the standard *List of Loss Events* which will allow estimation of Consequences by calculation methods within the RAST tool. Selecting User Defined Loss Event allows input of various Hazard Parameters such as Release Rate, Total Release Quantity, Distance to ERPG-3 Concentration, etc. from other software tools.

**Consequences** are estimated by Impact Analysis using the RAST estimates for various Hazard Parameters. If User Defined Loss Event is selected, Hazard Parameters evaluated in other software tools may be input to continue with Impact Analysis. Alternately, a Tolerable Frequency Factor may be selected without using a quantitative estimate.

# **Example User Defined Scenario Case**

To enter a User Scenario: (Refer to Figure 6.5 for Steps 2-5)

STEP 1: Select Create User Scenario from either the Scenario List or Scenario Results worksheets.

STEP 2: Select the <u>Scenario Type</u> or User Defined Scenario Type from the listing. The Scenario Type is only used in the Scenario Description or to relate Loss Events with Initiating Events and Outcome in the Scenario Library. Select *Pressure Damage* from the listing.

STEP 3: Select the <u>Initiating Event</u> from the listing or based on the Initiating Event Factor. Enter a Description of the Initiating Event that will be used in scenario documentation. The Initiating Event Description may be also be entered or updated from the LOPA workbook. Select *Regulator Failure* from the listing. Enter a description of the failure such as "Pad Gas Pressure Regulator failure".

STEP 4: Select the <u>Loss Event</u> or User Defined Loss Event. A summary of RAST estimations for various Hazard Parameters will be displayed. If User Defined Loss Event is selected, an additional column appears for input of Hazard Parameters if desired. Select *Equipment Rupture at Operating Temperature* from the listing.

STEP 5: Select an Outcome from the listed options.

• A Table of Consequences or Tolerable Frequency Factors for each Outcome is displayed based on the Impact Analysis performed within RAST to aide in selection.

- If User Defined Loss Event was selected, a Tolerable Frequency Factor may be entered directly under User Defined Consequence using a standard LOPA Tolerable Frequency Description list.
- The numerical values for Tolerable Frequency Factor, Initiating Event Factor, Probability of Ignition (based on estimated cloud volume and flammable mass), Probability of Exposure (where sufficient input information is available), and Number of Protective Layers needed are displayed.

Select Off-Site Toxic Release from the listing. See Figure 6.6

STEP 6: Select <u>Save Scenario</u> to add this User Scenario to the Scenario Listing and Scenario Results. Select <u>Cancel and Go Back</u> to return to other RAST worksheets without saving the User Scenario. Select <u>Clear Inputs</u> to start over with entry of a User Scenario.

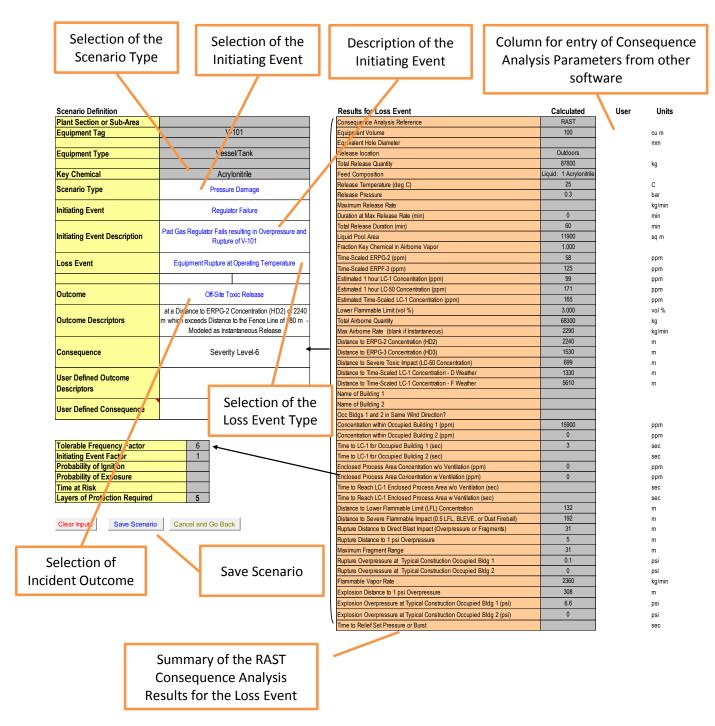


Figure 6.6 User Defined Scenario Example

#### 7. LAYERS OF PROTECTION ANALYSIS

Layers of Protection Analysis (LOPA) is a method for evaluating the effectiveness of Independent Protection Layers (IPLs) in reducing the likelihood or severity of an undesirable event. LOPA builds on information and Scenarios developed during Hazard Screening and Evaluation. The analysis uses a simplified "order of magnitude" approach for analysis of Process Risk.

#### This section covers:

- How Layers of Protection Analysis is addressed in RAST
- How to enter and update LOPA Unmitigated Risk information (such as Tolerable Frequency Factor, Initiating Event, and Enabling Conditions).
- How to enter Protective Layer information
- How to use worksheets that provide supporting evaluations for LOPA Analysis.

#### LOPA Menu

On the LOPA Menu worksheet (Figure 7.1); the Equipment Identification, Equipment Type, and Location (Outdoors or Indoors) are displayed. With the LOPA Menu, one may:

- Return to the Main Menu
- Update Scenario Analysis for cases associated with the Equipment Item being analyzed.
- Update Scenario Analysis for cases associated with all Equipment Items within the Equipment Table.
- Set controls for the cases that will be created in Scenario Analysis
- Access the Scenario Results worksheet for Selection of LOPA Scenario Cases.
- Set filter criteria for Scenario Results worksheet upon return from the LOPA Worksheet
- Access special LOPA worksheets including Pool Fire Evaluation, Protective Layer (IPL) Summary, Estimation of Maximum Allowable Response Time (MART) and Estimation of Maximum Allowable Leak Rate (MALR).
- View a Risk Summary

# **Update Scenario Analysis**

This command updates the Scenario Results worksheet with the current estimations. Upon completion of the update, the Scenario Results worksheet will be displayed.

All calculations within the RAST Excel workbook are "live" or current with the input values displayed on the various Input worksheets. **Update Scenario Analysis** allows a "snapshot" of the current evaluation results to be saved as potential LOPA scenario cases. A comparison is made to the previous values in the Scenario Results worksheet allowing the User to track changes to the previous evaluation.

**Update All Scenario Cases** performs the *Update Scenario Analysis* for all Equipment Items in the Equipment Table. Note that for a large file this update may require more than one hour for completion.

(Additional information may be found under the Scenario Results workbook section below.)

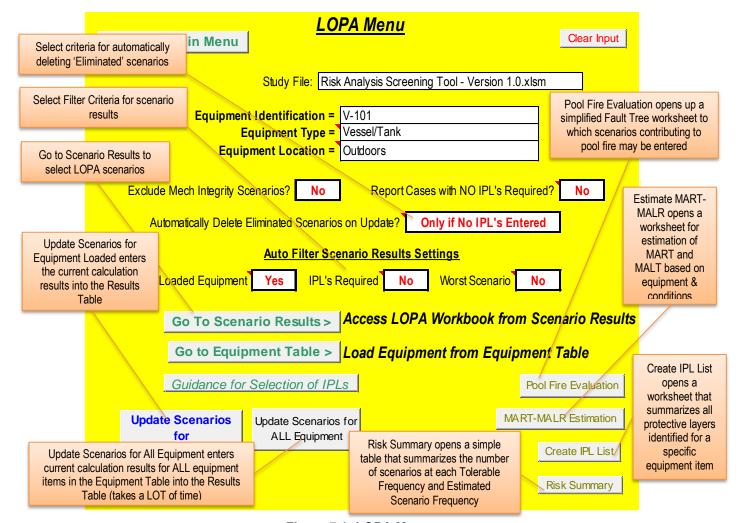


Figure 7.1: LOPA Menu

## Scenario Results Worksheet

The Scenario Results worksheet (Figure 7.2) contains a summary of the evaluation for all Scenario Cases that have been identified either from the Scenario Library or User entered. The summary for each Scenario Cases is stored under a unique Scenario Number which is assigned by the RAST tool. A "filter" button at the top left of this worksheet allows excluding the Protective Layer details from this view.

# From the Scenario Results Worksheet one may access the LOPA Worksheet.

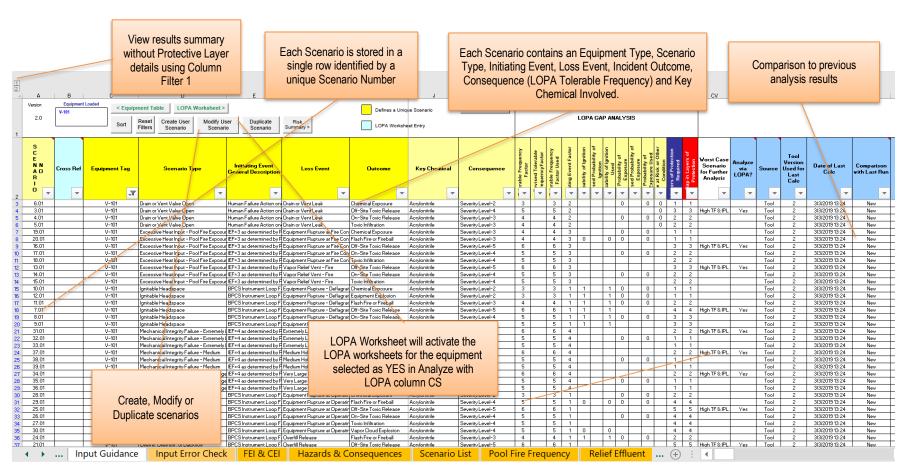


Figure 7.2: Scenario Results Worksheet

# Description of Scenario Results Worksheet

Columns at the left side of this worksheet identify the <u>Equipment Item</u>, <u>Equipment Type</u>, <u>Scenario Type</u>, <u>Initiating Event</u>, <u>Initiating Event</u>, <u>Incident Outcome</u>, and <u>Key Chemical</u> for the **Scenario Case**. These columns are denoted by "yellow" headings.

The next column is to notify the user of *Flash Convergence Errors* (column K with a pink header). If you are displaying entries in this column, see options below:

- A. If the convergence is a scenario that will not be part of the risk analysis merely ignore.
- B. If the failure is the condensation routine such that routine returns zero condensed, that is likely OK since very few chemicals or mixture will condense following release. (Note that only low vapor pressure material released at a very high temperature, i.e. > 200 C, will become supersaturated in ambient air and condense.)
- C. If a diked or bunded area exists and has not been entered, that may correct the issue. (A very large pool area is difficult to converge to a good average pool temperature.)
- D. Adjust the composition slightly. The biggest issue appears to be a small quantity of dissolved gas in the liquid. In these cases, a very small fraction evaporated causes a significant change in the pool vapor pressure.
- E. Select "pseudo" single chemical for the mixture which will generally be a more conservative result but less likely to fail to converge as there is no composition portion to the trial and error calculations (only flash fraction or temperature as being trialed).

The next series of columns represent a *Summary of Evaluation Results*. These columns are denoted by "orange" headings. Included are:

Total Release Quantity

Maximum Release Rate

Total Airborne Quantity

Maximum Airborne Rate

Maximum Distance to Time-Scaled ERPG-2

Maximum Distance to Time Scaled ERPG-3

Distance to Severe Toxic Impact (LC-50 Concentration)

Concentration within Occupied Building

Enclosed Process Area Concentration

Distance to Severe Flammable Impact (Multiple of LFL, BLEVE, or Dust Fireball)

Rupture Distance to Direct Blast Impact (Overpressure or Fragments)

Rupture Distance to 1 psi Overpressure

Rupture Overpressure at Distance to Occupied Bldg.

Basis for Probability of Ignition (Airborne Rate or LFL Distance)

Explosion Distance to 1 psi Overpressure

Explosion Overpressure at Distance to Occupied Bldg.

Time to Relief Set Pressure or Burst Pressure

The next series of Columns represent a *Summary of Unmitigated Risk* for each Scenario Cases. The summary is based on analysis within the RAST workbook unless the User selects an alternate analysis. Included are:

Outcome Description

Consequence Description – based on RAST analysis of the Scenario Case

LOPA Tolerable Frequency Factor

Alternate Tolerable Frequency Factor - may be entered if other than RAST analysis is used

Initiating Event Factor

Probability of Ignition

Alternate POI – may be entered if other than RAST analysis is used

Probability of Exposure

Alternate POE - may be entered if other than RAST analysis is used

Time at Risk or Other Condition

Layers of Protection Required

Gap in Layers of Protection

The next columns capture information specific to *Selection and Review of LOPA Scenario Cases*. Included are:

<u>Worst Case Scenario for Further Analysis</u> – provides guidance for selection of "worst" Scenario Cases. The Scenario Case (or cases) with the Highest *Tolerable Frequency* Factor (denoted **High TF**), Largest Number of Protective Layers Required (denoted **High IPL**), or both (denoted **High TF** & **IPL**) are noted for each Scenario group. Scenario Group are those scenarios with the same *Equipment Item*, *Chemical service*, *Scenario Type*, and *Loss Event* but with a different *Initiating Event* or *Incident Outcome*.

# Analysis of "worst case" scenarios represents the starting point.

- If only "Preventive" Protective Layers are used (stops the Event Sequence such as a shutting off
  the feed pump upon high level or shutting off the heating media supply upon high temperature),
  then <u>all other cases will be adequately managed</u> (no additional scenario cases will need analysis).
- If more than one "Mitigating" Protective Layer is used (reduces the magnitude of the consequence such as a sprinkler system for pool fire scenarios, than additional scenario cases representing other than the "worst case" Outcome may need to be analyzed.
- If more than one "Pre-Initiating" Protective Layer is used (reduces the likelihood of the Initiating Event such as a checklist to prevent leaving drain valves open), than additional scenario cases representing other than the "worst case" Initiating Event may need to be analyzed.

Analyze via LOPA? – "Yes" is entered to select the Scenario Case for LOPA analysis. Only Scenario Cases denoted "Yes" are transferred to the LOPA worksheet for further analysis.

Source Tool Version Used for Last Calculation – captures the Version Number of RAST used for the Results currently captured in the Scenario Results worksheet.

Source – indicates which Scenario Cases were entered from the RAST Library ("Tool" or "User" entered.

Comparison with Last Run – denotes each Scenario Case as:

- **Same** no difference in any of the captured results
- Revised differences were found in one or more stored values
- New a new case was added that did not previously exist
- **Eliminated** the case no longer meets screening criteria. (Note that if the LOPA Team wants to retain an Eliminated Scenario Case, the Source column may be changed from "Tool" to "User" and the Modify User Scenario command used to update scenario information. Results are not updated for "Eliminated" Cases upon execution of the Update Scenario command.)
- **Orphaned** the equipment item for which the scenario was created no longer exists in the Equipment Table.

Notes – may be used to capture scenario details not related to a specific LOPA factor.

"Comments /Issues to Resolve"- may be used to capture action items

Manufacturing Name, Manufacturing Date – used to capture the Manufacturing approval of the LOPA Scenario Cases analysis.

Process Safety Name, Process Safety Date - used to capture the Process Safety approval of the LOPA Scenario Cases analysis.

*Process Control Name, Process Control Date-* used to capture the Process Control approval of the LOPA Scenario Cases analysis.

The remaining columns of the Scenario Results worksheet are used to store all *Protective Layer* information from the LOPA analysis including descriptions, factors, Instrument Identification numbers, etc. As discussed under RAST – Getting Started, changes are tracked from the previous saved results as any cell that contains a value which has changed turns "green" and the prior values stored in the cell comments.

Existing Scenario Cases on the Scenario Results Worksheet may be modified by:

A scenario case may be *duplicated* by selecting any cell within the row representing the scenario may be copied and using the **Duplicate Scenario** command. A unique scenario number will be assigned by the tool.

A User Scenario may be *modified* by selecting any cell within the user scenario row and using the **Modify User Scenario** command which opens the User Scenario worksheet for editing.

#### The LOPA Worksheet

When activating the LOPA Worksheet from the Scenario Results worksheet, only Scenario Cases that are "filtered" on the Scenario Results worksheet will be viewed in the LOPA Workbook. For example, if the Equipment Tag (or Equipment Identification) is filtered to only one Equipment Item, only Scenario Cases for the specific Equipment Item where "Yes" has been entered under "Analyze by LOPA?" will be shown. This allows specific sections of the LOPA Worksheet to be active rather than the entire worksheet.

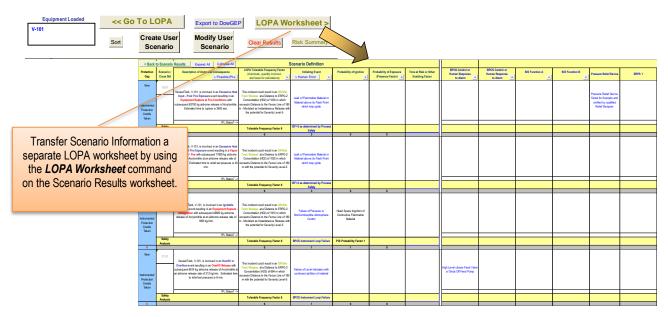


Figure 7.3: Accessing the LOPA Worksheet

# Unmitigated Risk – "Left" Side of LOPA Workbook

The "Left" Side of the LOPA Worksheet represents Unmitigated Risk and includes inputs for Tolerable Frequency Factor (or TFF), Initiating Event, Probability of Ignition (or Alternate POI), Probability of Exposure (or Alternate POE), Time at Risk or Other Enabling Factors.

Results from the RAST Consequence Analysis and Frequency Evaluation may be used or an Alternate method provided by the User. Additional Details for the Initiating Event (such as Sensor and Final Element Identification for Basic Process Control Failure, Procedure Reliability for Human Error, etc.) needs to be entered by the User.

## **Description of the Undesired Consequences**

The description provided in RAST includes the Scenario Type, Type of Equipment, Chemical involved, Loss Event, Release Quantity, Airborne Rate and (if available) an estimate of the Process Safety Time. For User Defined scenarios, the Quantities reported are those entered by the User. See Figure 7.4.

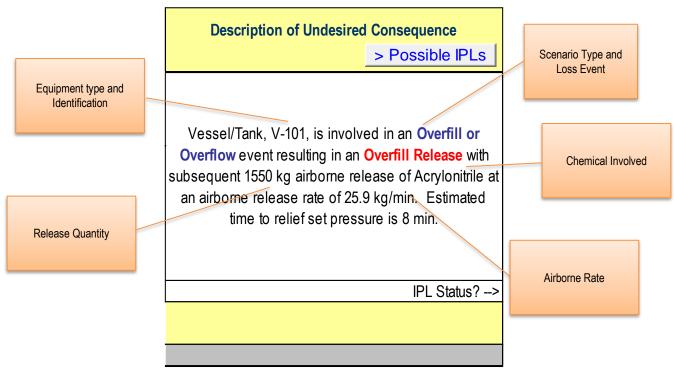


Figure 7.4: Description of Consequence

#### **Tolerable Frequency Factor Description**

The Tolerable Frequency Factor description explains how the Tolerable Frequency was determined by the RAST tool. Included in the description is the selected Incident Outcome, a Hazard Distance (such as Distance to ERPG-2 Concentration), personnel location reference (such as distance to the Fence Line), and specifics on the Consequence Analysis method. A User may select an *Alternate Tolerable Frequency Factor* method by using the "+" macro button within the Tolerable Frequency Factor Description and a blank column will be available to enter a User Description and Select the Tolerable Frequency Factor from a "pull down" list. Refer to Figure 7.5.

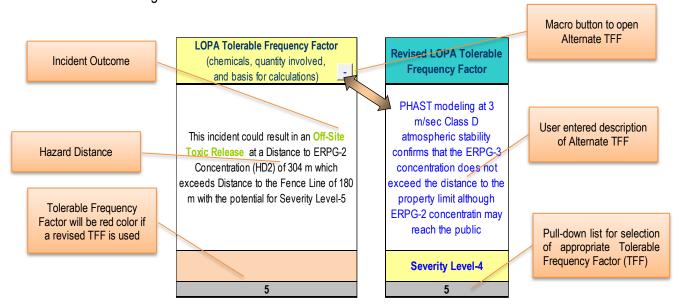


Figure 7.5: Tolerable Frequency Factor Description

#### Initiating Event Factor (IEF)

The IEF is determined initially within RAST based on a most common Initiating Event but may be changed by the User from the available "pull down" list. The initial description (in blue text) should also be updated by the User to better reflect "how this could happen in my plant". The Initial Description is only available until it is updated. RAST will not return to the initial description once it has been updated.

If the Initiating Event is Human Error, the reliability of the procedure and frequency of execution may be entered by selecting the "Human Error" macro button to cross check the Initiating Event Factor. If the Initiating Event is Basic Process Control System Failure, Sensor and Final Element Information may be entered by selecting the "+" macro button. Refer to Figure 7.6.

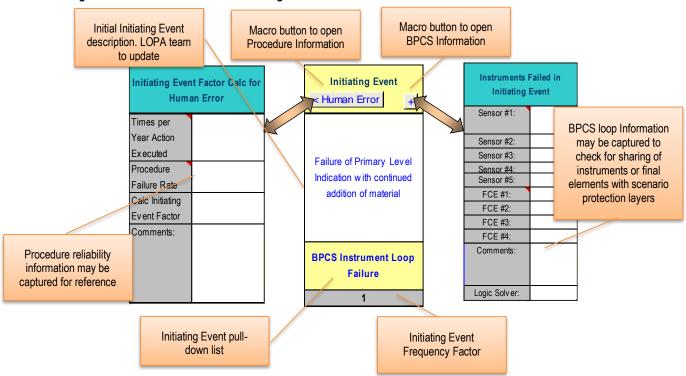


Figure 7.6 Initiating Event

#### **Probability of Ignition (POI)**

The POI for an outdoor release is determined in RAST using results of simple dispersion modeling. If needed, an *Alternate Probability of Ignition* method may be entered by selecting the "+" macro button. A blank column will be available to enter a User Description and Select the Probability of Ignition from a "pull-down" list. Refer to Figure 7.7.

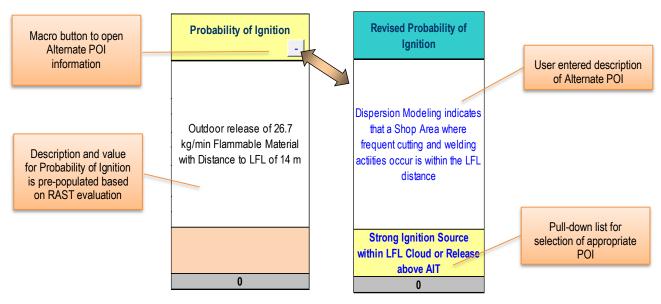


Figure 7.7: Probability of Ignition

# **Probability of Exposure** (Presence Factor)

The Presence factor is estimated in the RAST tool based on an Impact Area from simple dispersion or explosion models (similar to a release "footprint" from PHAST modeling) and Population Density of site personnel. An *Alternate Probability of Exposure* method may be entered by selecting the "+" macro button. A blank column will be available to enter a User Description and Select the Probability of Exposure from a "pull down" list. Refer to Figure 7.8.

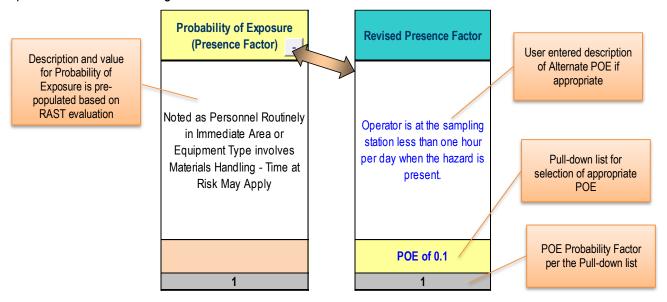


Figure 7.8 Probability of Exposure

An additional column is available within the RAST version of the LOPA workbook to capture **Time at Risk** or Other Enabling Factors. There is no evaluation for Time at Risk within RAST and values are entered from a "pull down" list.

# Protective Layers – "Right" Side of LOPA Workbook

The "Right" Side of the LOPA Worksheet (Figure 7.9) represents Protective Layers and includes inputs for Basic Process Control Action, Operator Response to Alarm, SIS (Safety Instrumented System) Functions, Pressure Relief Device, and Safety Related Protective Systems (SRPS). Suggested Protective Layers for Common Scenario Cases are provided the first time Update Scenario is executed. These suggestions may be revised or updated by the Analysis Team. A "pull-down" list for each Protective Layer is used to determine the appropriate LOPA Factor. The status for each Protective Layer may also be captured to aid in prioritization of work. Options include: *Fully Implemented, In Progress and Proposed*.

An IPL is considered Independent if it is not adversely affected by the initiating event or any other protection layer associated within the scenario. In some cases, however, the same IPLs may be used to manage related scenarios such that the PFD should be adjusted. If we have two scenarios with the same loss event and incident outcome but different initiating events, we may need to consider adjusting the PFD. For example: if we have two means for overfill of a tank, one a BPCS level control failure (at a frequency of 0.1 per year) and the other a human error, such as unloading into the wrong tank (at a frequency of 0.1 per year); then total demand on IPLs shared between these scenarios is 0.2 per year. At least one of the shared IPLs should be considered a PFD of 0.2 rather than 0.1 (or 0.02 rather than 0.01, etc.). This "correction" is typically ignored when using only order of magnitude assuming there is sufficient conservatism in the analysis. If, for example, the shared IPL is a SIS loop, then one could specify a PFD of 0.05 rather than 0.1 for a SIL-1 to accommodate.

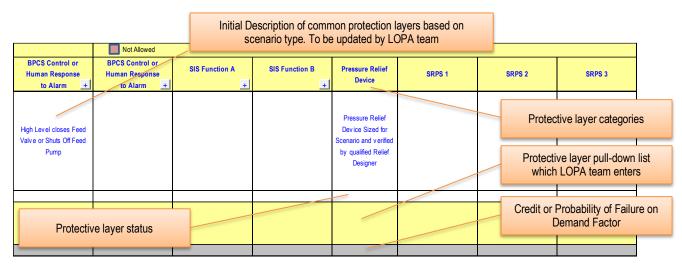


Figure 7.9: The 'Right Side' of the LOPA Worksheet-IPLs

A list of Possible IPLs may be displayed using the "> Possible IPLs" macro button. A partial listing which may be updated by the Plant or Analysis Team is displayed. Refer to Figure 7.10.

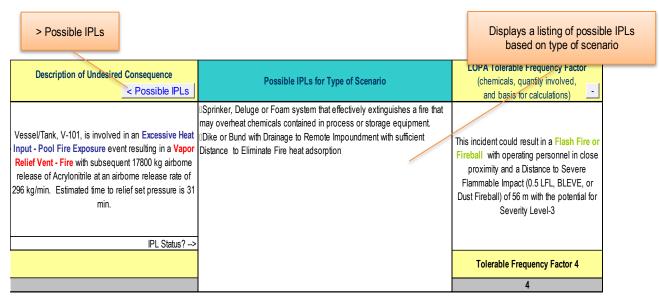


Figure 7.10 Possible IPLs Displayed

Additional Information for Automated Protective Layers may be entered by selecting the "+" macro button. An additional column will appear with fields for input of key Instrument Information. Refer to Figure 7.11.

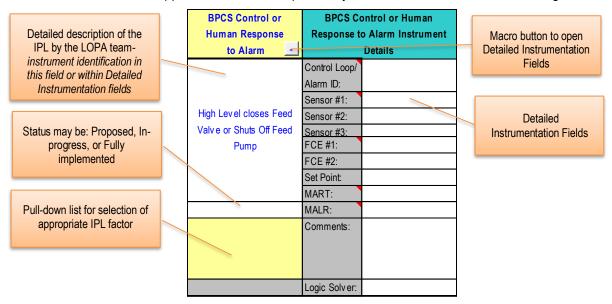


Figure 7.11: Additional IPL Details Displayed

A listing for Safety Related Protection System (SRPS) and associated credits are based on literature examples. Credits may also be "manually" entered representing values agreed upon by Process Safety Subject Matter Experts.

Use <u>Back to Scenario Results</u> (Figure 7.12) to Save Information that has been input on the LOPA worksheet. LOPA Information for Each Scenario Case is stored, along with the scenario information, in a single row identified by a unique Scenario number. Manually save the Entire Workbook in the appropriate location.

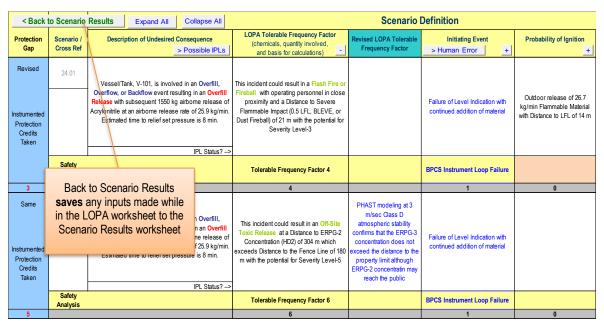


Figure 7.12 Back to Scenario Results

# Example Scenario Selection and LOPA Analysis within RAST

As an example, we will select scenarios associated with the Acrylonitrile Storage Tank, V-101.

#### STEP 1: Ensure V-101 Information is "Active" within RAST.

From the Main Menu or LOPA Menu, view Equipment Identification. Refer to Figure 7.13.



Figure 7.13: Equipment Identification on Main Menu

If the Equipment Identification is not is not V-101, use Load Selected from the Equipment Table.

- **STEP 2:** Ensure Analysis is Current. If the Equipment Item has not yet been analyzed or if inputs have changed since the last analysis, Select *Update Scenario for Equipment Loaded* which will go to the **Scenario Results** worksheet when completed.
- **STEP 3: Select Scenario Cases for LOPA Analysis** on the Scenario Results worksheet (Figure 7.14). There are many cases listed so focus on those identified as "worst cases" as the case within a broad scenario category having the Highest Tolerable Frequency Factor ("High TF"), Greater Number of IPLs Needed ("High IPL") or both ("High TF & IPL").

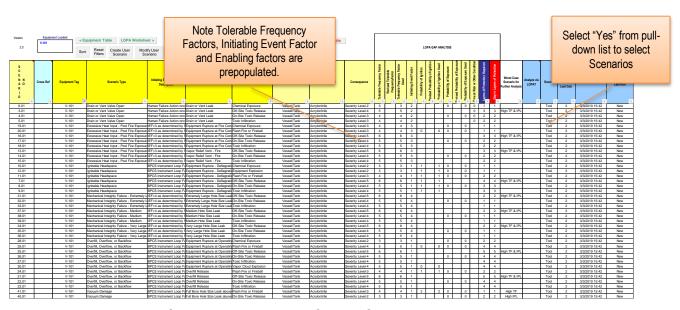


Figure 7.14 Selecting Analyze Via LOPA on Scenario Results Worksheet

Select "Yes" to Analyze via LOPA? for the following five cases to begin with:

- Drain Open
- Excessive Heat Pool Fire with Vapor Venting
- Excessive Heat Pool Fire with Equipment Rupture
- Ignitable Headspace
- Overfill

Note that the Tolerable Frequency Factor for many of these Scenarios is high. Return to **Plant Layout** (via the Main Menu) and enter a Dike Area of 200 m<sup>2</sup>. Save your change by selecting **Save Input to Equipment Table**. Return to the **LOPA Menu** and **Update Scenarios for Equipment Loaded** which again will go to the **Scenario Results** worksheet when completed. Note that several Scenario Cases have been updated (denoted by "green" cells). Entry of a Dike or Containment Area significantly reduces the area for pool evaporation and the total Airborne Quantity, hence lowering the Tolerable Frequency Factors for several scenario cases. Refer to Figure 7.16.

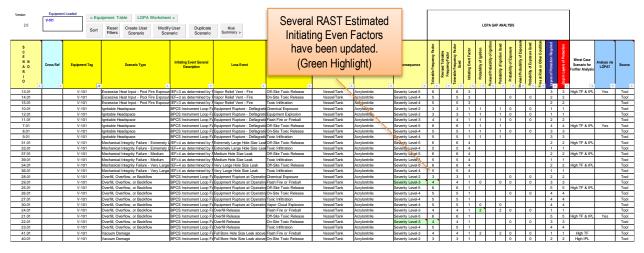


Figure 7.15: Modified Initiating Event Factors due to Modification of Inputs

Ensure LoPA team understands each scenario and its consequence. Use Notes Scenario and

**STEP 4: Select LOPA Worksheet.** Information from the RAST evaluation will be captured in the LOPA Worksheet for additional inputs and evaluation by the LOPA Team. Refer to Figure 7.16.

Figure 7.16: LOPA Worksheet

**STEP 5:** Review the Description of Undesired Consequences and LOPA Tolerable Frequency Factor provided by RAST. This Description and Tolerable Frequency Factor are based on a specific RAST analysis and may not be changed. Consider entering User Scenario Cases where these descriptions do not represent a Process Risk associated with the equipment being analyzed. If a more detailed analysis of the Consequence is available which results in a different LOPA Tolerable Frequency Factor, an alternate Tolerable Frequency Factor and Description may be entered and the RAST analysis will not be used.

STEP 6: Review the Initiating Event Description and Update as appropriate. The documentation should be clearly understood by LOPA Team members. Determine if the correct Initiating Event Factor has been used and Update if needed.

Starting with the Open Drain Valve, update the Initiating Description to better reflect how this might occur. Consider how frequently the drain valve might be operated – such as opened less than once per year to prepare the pump or piping for maintenance or opened with each transfer to drain the unloading hose. Change the Initiating Event Factor by using the "pull down" Menu to "Operator Failure Action more than once per quarter" if appropriate.

STEP 7: Review the Enabling Factors and Update as appropriate.

**STEP 8: Determine the Most Effective Protective Layers for managing the Process Risk.** Protective Layer information is entered on the "Right" Side of the LOPA Workbook. Some common Protective Layers are suggested by RAST which the LOPA Team updates the description and enters the appropriate "Credit" from "pull down" Menu selections for each.

In this Scenario Case, a flammable leak detector with alarm would be documented under "Operator Response to Alarm" if there were sufficient time for Operator Response to stop the leak and significantly reduce the Consequence. This would represent a "Mitigating" Protective Layers and it does not prevent the Loss Event (leak from an open drain valve) from occurring.

**STEP 9: Complete the LOPA Analysis** by entering any Notes to help explain the Scenario Case. Another column is provided in the RAST version of the LOPA Workbook for capturing Issues and Action Items. In addition, columns are provided for entry of Process Safety, Manufacturing, and Process Control reviewers and Review Date.

**STEP 10: Save the LOPA Inputs to the Scenario Results Worksheet.** Select **Back to Scenario Results** to save inputs made on the LOPA Worksheet. Once the LOPA Workbook has "closed", the entire RAST spreadsheet should be saved.

# **Mechanical Integrity Scenarios in RAST**

Mechanical Integrity (MI) failures represent nearly 50% of Process Safety Events. These are difficult to analyze within LOPA as a "cause" is not identified other than corrosion or fatigue. Without an identified "cause" only frequent inspection and Mitigating Protective Layers can be used. Fortunately, Mechanical Integrity failures of piping and equipment are not frequent and, in many cases, Protective Layers will not be required.

RAST screens for Mechanical Integrity failures based on "order of magnitude" industry frequency data. This feature is disabled on the LOPA Menu by entering "Yes" to the question "Exclude Mechanical Integrity Scenarios". MI scenarios appear in the Scenario Result workbook as "Piping or Equipment LOPC – xxx" where xxx represent a specific hole size. Screening is performed using four hole sizes: 5 mm, 25 mm, 100 mm, and 250 mm (or other hole sizes entered under the Administrative Parameters).

#### **Pool Fire Evaluation**

A "general" Initiating Event Factor representing a leak with ignition is used by RAST for initial screening of Pool Fire cases. Estimation of pool fire frequency, however, is complex and requires an evaluation of all potential leak sources of fuel. A Pool Fire Frequency section of this worksheet is available to perform a very simple Fault Tree based on LOPA Scenario information to obtain a more reasonable estimate of the Initiating Event Factor.

**STEP 1: Select Estimate Pool Fire Evaluation** from the either the Main Menu or LOPA Menu. Since the Pool Fire Evaluation Summary is commonly used to determine which equipment may require more detailed evaluation of Pool Fire Frequency, access is located on both the Main Menu and LOPA Menu.

**STEP 2:** Identify Scenario Cases (on Scenario Results worksheet) which contribute to a flammable leak near the physical location of the equipment being analyzed (cases with flammable outcome that are not pool fire related). Refer to Figure 7.17. A pool fire scenario impacting V-101 could be caused by spills from V-101, pump P-101, or other tanks within the same dike or containment area.

To determine scenario cases with flammable outcome not related to pool fire, one may *filter* scenario cases with Outcome of either "Flash, Jet or Pool Fire" or "Vapor Cloud Explosion" or "Building Explosion" and *filter* Scenario Type to exclude "Excessive Heat Input – Pool Fire".

To reduce the number of contributing cases (to less than 10), those with highest frequency should be selected (or those with the smallest sum of Initiating Event plus Probability of Ignition plus non-mitigating Protective Layer factors). The summation of frequencies for the contributing scenarios will not be significantly impacted by excluding the very low frequency cases.

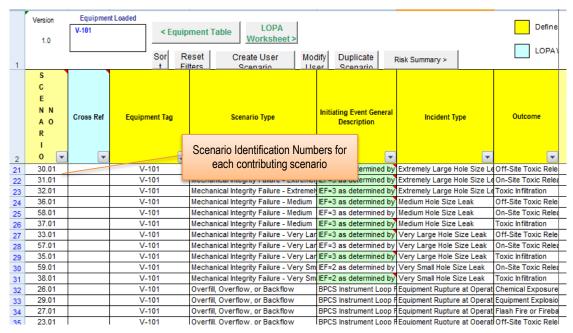


Figure 7.17: Identification of Scenario ID Numbers

**STEP 3: Record the Scenario Identification Numbers** for scenarios with flammable outcome associated with these Equipment Items.

Enter the Scenario Identification Numbers of the contributing cases in the column on the left side of the Pool Fire worksheet. RAST will retrieve information for each scenario including Protective Layers and summarize in additional columns of the same row. The overall frequency and frequency factor are shown at the bottom right of this worksheet. This frequency factor may then be used for updating the Initiating Event Factor for the Excessive Heat from Pool Fire to V-101 scenario from the LOPA Workbook "pull down" Menu. Refer to Figure 7.18.

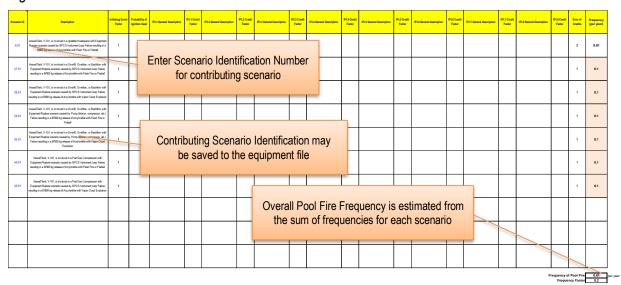


Figure 7.18: Pool Fire Worksheet

STEP 4: Save the List of Contributing Scenarios by using the Save Input to Equipment Table command.

#### Maximum Allowable Response Time

An estimate of the Maximum Allowable Response Time (MART) is required for each Safety Instrumented System identified within a LOPA analysis. The MART provides key information for specification of the

instrumentation. The RAST tool provides a worksheet to assist in MART estimation for common scenarios. The MART-MALT Estimation worksheet is accessed from the LOPA Menu. Refer to Figure 7.19.

**Overflow and Backflow** – this section of the MART worksheet estimates the MART from an entered High-Level Alarm Set Point to "hydraulically full" based on the entered Maximum Feed Rate or Maximum Backflow Rate inputs from the Process Conditions worksheet. A value for the Sensor Time Constant may also be entered which will incorporate a first-order time delay into the MART estimate.

**Pad Gas Overpressure** – this section of the MART worksheet estimates the MART from an entered High-Pressure Alarm Set Point to the lower of MAWP or Relief Device Set Pressure based on the entered Maximum Pad Gas Rate input from the Process Conditions worksheet. A value for the Sensor Time Constant may also be entered which will incorporate a first-order time delay into the MART estimate.

**Overheating** – this section of the MART worksheet estimates the MART from an entered High Temperature Alarm Set Point to the Saturation Temperature at the lower of MAWP or Relief Device Set Pressure based on heat inputs from Heat Transfer, Mechanical Energy, or Fire. A value for the Sensor Time Constant may also be entered which will incorporate a first-order time delay into the MART estimate.

**Reaction** – this section of the MART worksheet estimates the MART from an entered <u>desired</u> High Temperature Alarm Set Point to the Saturation Temperature at the lower of MAWP or Relief Device Set Pressure based on heat inputs from various Reaction cases. A value for the Sensor Time Constant may also be entered which will incorporate a first-order time delay into the MART estimate. As reaction heat rate may be very high, an actual High Temperature Alarm Set Point meeting the desired value is returned based on the entered Sensor Time Constant.

Note that the estimates from the MART-MALR worksheet are not saved. Results may be entered in the SIS Instrumentation details of the LOPA workbook.

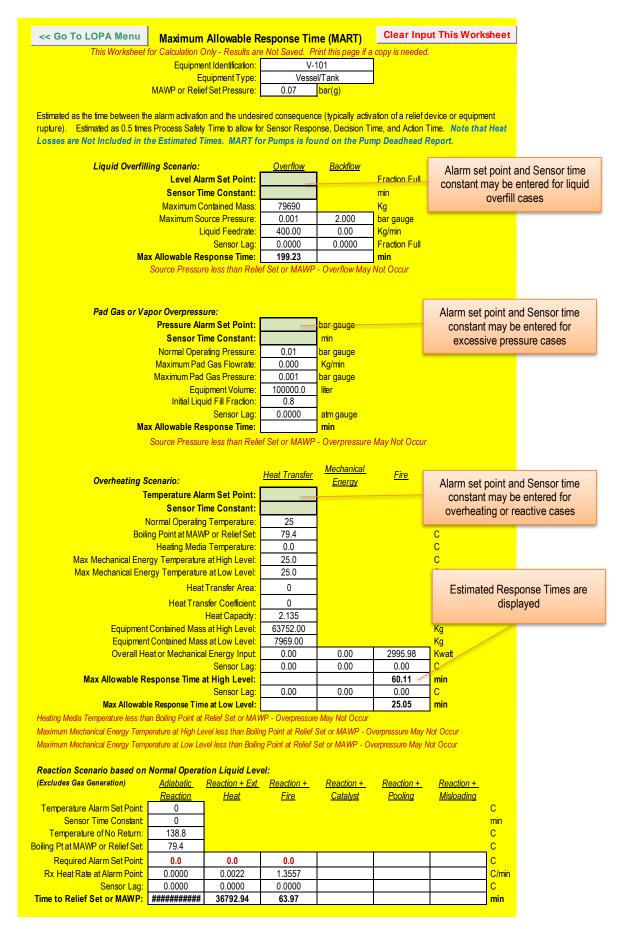


Figure 7.19 Maximum Allowable Response Time

#### Maximum Allowable Leak Rate

An estimate of the Maximum Allowable Leak Rate is also required for each Safety Instrumented System identified within a LOPA analysis. This provides key information in the specification of instrumentation. The RAST tool provides a worksheet to assist in this estimation. The MART-MALT Estimation worksheet is accessed from the LOPA Menu. Refer to Figure 7.20.

The Maximum Allowable Leak Rate is either based on *Limiting* the incident outcome to prevent a potentially serious human impact OR based on *Stopping* or *Delaying* the Event Sequence. For example:

**LIMIT the release rate to Prevent a Potentially Serious Human Impact** would be reducing the release rate such that a multiple of ERPG-3 or  $\frac{1}{2}$  LFL distance is less than 3 m (10 ft) or O<sub>2</sub> concentration within a confined work area is greater than 19.5 volume %.

**STOP the Event Sequence from reaching Process Conditions** that could lead to a Release. This may involve limiting the maximum pressure within the Equipment Item to below the MAWP or the Relief Set Pressure. For example, limit the flow rate of heat transfer fluid such that the maximum temperature is below that where the vapor pressure exceeds the MAWP or Relief Set Pressure resulting in no release.

**DELAY the Event Sequence from reaching potential Release conditions for a Sufficiently Long Period of Time** – which is commonly accepted as 24 hours. For example, limit the feed rate to a vessel such that the volume from alarm activation to overfill takes longer than 24 hours.

Allowable Release Rate of Hazardous Material - this section of the MALR worksheet estimates the MALR for a hazardous release such that a multiple of ERPG-3 or ½ LFL distance is less than 3 m (10 ft). The leak location ("Indoors" or "Outdoors") must be entered or the "default" of "Outdoors" is used. If the location is indoors, the Release Rate corresponding to O<sub>2</sub> concentration greater than 19.5 volume % is also reported.

Allowable Addition Rate for Preventing Loss Event - this section of the MALR worksheet estimates the MALR is based on an overall heat balance. The first estimate is the Maximum Allowable Heating Media Flow to limit the maximum temperature from increasing above the entered Temperature Alarm Set Point. The second estimate is the Maximum Allowable Reagent Flow Rate that limits the reaction temperature from increasing above the entered Temperature Alarm Set Point based on an entered Fraction of Limiting Reagent within the total equipment contents.

Addition Rate to Delay Loss Event for 24 Hours - this section of the MALR worksheet estimates the MALR based on an overall material and energy balance. The first estimate is the Maximum Allowable Heating Media Flow to limit the maximum temperature to less than the boiling point at the lower of MAWP or Relief Device Set Pressure over 24 hours. The second estimate is the Maximum Allowable Reagent Flow Rate that limits the reaction temperature to less than the boiling point at the lower of MAWP or Relief Device Set Pressure based on a Fraction of Limiting Reagent within the total equipment contents over 24 hours. The third estimate is the Feed Rate or Pad Gas Flow Rate that limits Maximum Pressure to the lower of MAWP or Relief Device Set Pressure from the High-Pressure Alarm Set Point over 24 hours. (Note that Maximum Pressure from Liquid Feed Rate is based on "compression" of the vapor head space in this estimate.)

Note that the estimates from the MART-MALR worksheet are not saved. Results may be entered in the SIS Instrumentation details of the LOPA workbook.

This Worksheet for Calculation Only - Results are Equipment Identification:	V-1		COPY IS THE	Bouou.	
Equipment identification:  Equipment Type:		l/Tank			
Maximum Allowable Leak Rate is the maximum flow that can leak exceeding a threshold consequence criteria.	by a valve used	l as the final eler	nentin a L	OPA scenario without	
There are generally three cases to consider:  a) LIMIT the release rate of hazardous material such that the obased on distance to multiple of ERPG-3 or 1/2 LFL is less than		s been essentia	lly eliminat	ed (this is typically	
b) STOP the scenario propagation by limiting the continued ad system to remove (such as the flow rate of heat transfer fluid that				tural ability of the	
c) DELAY the potential for catastrophic failure for a sufficiently	long period of ti	me (such as the	flow rate w	vhich delays hydraulic	
overpressure for at least 24 hours) by limiting the continued ad				Enter leak loc	ation
LIMIT - Allowable Release Rate of Hazardous Material:					
	Leak Location:			ed Outdoors if blank	
Indoor Pr ERPG-3 at Initial Vapo	rocess Volume:	0 75.0	m <sup>3</sup>		
ERPG-3 at Initial Vapo Lower Flammable Limit at Initial Vapo		75.0 3.0	ppm vol %		
Approximate Flash + Pool Evapora		1.000	- 10.70	MALR based on fla	amma
Maximum Allowable Leak Rate for multiple of E		0.13	Kg/min	or toxic personnel	expos
Maximum Allowable Leak Rate for 0	).5 LFL < 3 m:	2.59	Kg/min		
			,		
OTOD All Addition Date for December 1 and a second	<u>Temperature</u>				
STOP - Allowable Addition Rate for Preventing Incident	Alarm Set Point				
Equipment Surface Area:	100.64	m <sup>2</sup>			
Heat Loss Coefficient (with Insulation):	0.0005	Kwatt/m <sup>2</sup> C			
Alarm Temperature:	C				
Heat Loss Rate at Temperature Alarm: Heat Transfer:	-1.26	Kwatt			
Heat Transfer Fluid:			1 [	MALR to stop the e	vent
Heat Transfer Fluid Temperature:	0	С		sequence based on hea	
Heat Capacity:		Kjoule/Kg C		reaction	
Maximum Allowable Heating Media Leak Rate:		Kg/min			
Reaction:		_			
Fraction Limiting Reagent within Reaction Mixture:					
Heat of Reaction:	-1365.0	Kjoule/Kg Rea	ction Mixtu	ire	
Reaction Temperature of No Return: Heat Loss Rate at Temperature Alarm:	138.8	C			
Maximum Allowable Reagent Addition Rate:		Kwatt Kg/min			
Alarm Temperature	is less than Ar		ature		
	Dailin a Daint				
DELAY - Addition Rate to Delay Incident for 24 Hours	Boiling Point at MAWP or				
·	Relief Set				
Contained Mass:	63752.0	Kg		B: 1 041	
Process Heat Capacity: Total 24 hour Heat Input	2.19 10978159	Kjoule/Kg C - I Kjoule	Liquid	Displays 24-hour based on heat	
Maximum Allowable Heating Media Leak Rate:	10310133	Kg/min		based on neat	iale
Total 24 hour Reaction Heat Input	19286614.8	Kjoule			
Maximum Allowable Reagent Addition Rate:	0.00	Kg/min			
	<u>Pressure &lt; </u>	Level <			
	MAWP or	<u>Overfill</u>			
Faritary IV	Relief Set 100	]	m <sup>3</sup>	Displays 24-hour	MAL
Equipment Volume:		111			
Initial Liquid Fill Fraction:	0.8			based on liquid o	i vapo
Inital Liquid Fill Fraction:  Maximum Allowable Pad Gas Leak Rate:	0.8		Kg/min	based on liquid o feed rate	ναρι

Figure 7.20: Maximum Allowable Leak Time

#### **Protection Layer Summary**

A listing of each unique Protective Layer associated with a specific Equipment Item is displayed on the IPL Summary worksheet. This worksheet is accessed from the LOPA Menu. The Refresh macro (top center of the worksheet) should be used to update the information shown. Refer to Figure 7.21.

<< Go To LOPA Menu	Write IPL List E	Back to Scer	nario Results					U	pdate IPL List	Clear IPL List		
trol Loop ID or Alarm ID or SIF	IPL Type 💌	IPL Colu ▼	Scenario No 🔻	Equipment Tag	redit Fa	Ger	neral Description	~		Detail Description	¥	IPL Status 🔻
Alarm 101D	2 - OPR	1	3.01	V-101	1	Human Respon	nse to Abnormal Co	ndition / F	lammable leak	detection with alarm and	d Operator Respo	Proposed
Alarm 101D	2 - OPR	1	13.01	V-101	1	Human Respon	se to Abnormal Co	ndition / F	lammable leak	detection with alarm and	d Operator Respo	Proposed
Alarm 101D	2 - OPR	1	16.01	V-101	1	Human Respon	nse to Abnormal Co.	ndition / F	lammable leak	detection with alarm and	d Operator Respo	Proposed
Alarm 101D	2 - OPR	1	21.01	V-101	1	Human Respon	nse to Abnormal Co.	ndition /F	lammable leak	detection with alarm and	d Operator Respo	Proposed
SIF-101A	3 - SIS	3	21.01	V-101	2	SIS - SIL 2		H	ligh Level interle	ock with feed pump to w	ery quickly stop th	Fully Implemented
SIF-101B	3 - SIS	3	7.01	V-101	2	SIS - SIL 2		L	ow pressure in	terlock with discharge pr	ump to prevent tra	Fully Implemented
n/a	4 - Relief	5	7.01	V-101	2					f which would relief defla		In Progress
n/a	4 - Relief	5	16.01	V-101	2	Fully Meets Re	lief Design Criteria	(No evide	ressure Relief	Device Sized for Scenar	io and verified by	Fully Implemented
n/a	5 - SRPS	6	3.01	V-101	1	1 - Other Safet	ty related protection	n systen F	rocedure to pre	essure check all equipme	ent and piping follo	Fully Implemented
n/a	5 - SRPS	6	13.01	V-101	1					ng to a flare system		Proposed
n/a	5 - SRPS	7	13.01	V-101	1	Mitigation Syst	em (Deluge, Foam,	etc.) th	he tank farm a	rea is equipped with a s	orinkler-deluge sy	Fully Implemented
n/a	5 - SRPS	6	16.01	V-101	1	Mitigation Syst	em (Deluge, Foam,	etc.) th	he tank farm a	rea is equipped with a sp	orinkler-deluge sy	Fully Implemented
n/a	5 - SRPS	6	21.01	V-101	1	1 - Other Safet	ty related protection	n systen F	rocedure to chi	eck tank level to ensure	instruments and v	Fully Implemented
Alarm 101D	FG-101					25 ppm				able leak detection with		
Alarm 101D	FG-101				- 2	25 ppm				able leak detection with		
Alarm 101D	FG-101				- 2	25 ppm				able leak detection with		
Alarm 101D	FG-101					25 ppm			Flamma	able leak detection with	response per pro	cedure P-101 A
SIF-101A	LT-101A	LT-101B		Pump P-101		0.95						
SIF-101B	PT-101			Pump P-101		10 mB						
n/a									,			
n/a						ID.				1 196		
n/a						IPL,	type, status	s, sce	nario nur	mber, credit		
n/a												
n/a							tactor	and o	ther deta	IIIS		
n/a												
n/a												

Figure 7.21: Independent Protection Layer Summary

# **Risk Summary**

The status for Protective Layers may be entered for each Scenario in the LOPA Workbook. A table of LOPA Consequence (as Tolerable Frequency Factor) versus LOPA Frequency is developed for all "Analyzed" Scenarios with and without fully implemented Protective Layers. This Risk Graph Summary is provided to assist in prioritization of Risk Reduction capital spending. The Risk Summary may be viewed for ALL Equipment Items within the Equipment Table or "filtered" to only the specific Equipment Items selected on the left side of this worksheet. The *Risk Summary* is accessed through the LOPA Menu. Refer to Figure 7.22.

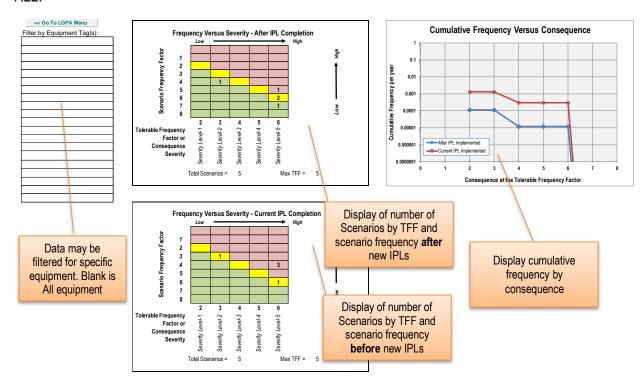


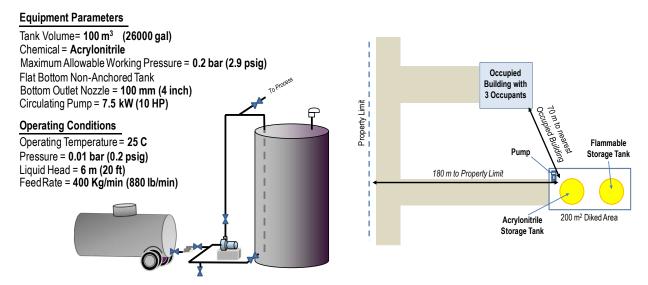
Figure 7.22: Risk Summary Worksheet

#### 8. CASE STUDY

To gain familiarity with the RAST spreadsheet tool, we will continue with the Example Problem described in the Getting Started section.

# A Case Study - Input Information

# Under Getting Started, the following example was shared:



In addition to the Storage Tank, perform analysis for the Tank Truck and Pump with 200 m transfer piping.

Additional input information includes:

#### Storage Tank, V-101

- Flat Bottom Non-Anchored Tank within a 200 m<sup>2</sup> diked or bunded area and 70000 Kg of other flammables in the area.
- Relief Device PVRV-101 is a 250 mm (10 inch) diameter PVRV set at 0.07 barg (1 psig). The Relief Discharge Elevation is 6 m (20 ft) with Horizontal discharge.
- V-101 is maintained with a non-ignitable atmosphere. The maximum pad gas source pressure is regulated to 1 barg (14.5 psig) with a maximum flow of 100 standard m³/hour (3500 ft³/hr.)
- V-101 is "vapor balanced" with the Tank Truck during unloading.
- The maximum liquid level is 6 meters and the tank is not rated for full vacuum.

# **Acrylonitrile Tank Truck**

- The truck volume is 21 m³ (5500 gal) with a maximum allowable working pressure of 1 barg (14.5 psig) and not rated for full vacuum.
- The maximum liquid level is 2 meters.
- A 75 mm (3 inch) diameter hose is used for unloading at a flow rate of 400 Kg/min (880 lb./min).
- An operator is present during the unloading operation.

# Pump, P-101 with Associated Piping

- The Pump is a 75 mm (3 inch) suction Centrifugal with a Double Mechanical Seal located within the 200 m<sup>2</sup> diked area.
- The maximum pump discharge pressure is 3 barg (43.5 psig) and maximum allowable working pressure is 10 barg (145 psig).
- The associated process piping is roughly 200 m length with 20 flanges.

# **Acrylonitrile Reaction Data**

· Heat of Reaction: - 326 cal/g

Activation Energy: 32 Kcal/g mole
 Detected Onset Temperature: 190 C
 Detected Onset Rate: 0.08 C/min

Test Method: ARC with Phi Factor of 2.1

A Case Study –	For each Equipment Item:
Screening Evaluations	☐ Complete the necessary Inputs
Lvaldations	☐ Determine the F&El and CEl
	□ Review the Hazards and Potential Loss Event Consequences and note which hazards will likely need to be analyzed
	□ Review the Scenario List (on the Scenario Identification worksheet) and note any scenarios or Tolerable Frequency Factors that may not seem reasonable
	☐ Review the Relief Effluent Screening for PVRV-101
A Case Study –	For each Equipment Item:
Preliminary Risk Analysis	□ Review the List of Scenarios and add additional scenarios you feel should be considered.
	Update Scenarios and Select those appropriate for LOPA Analysis.
	Complete LOPA Analysis for at least 2 Scenarios for each Equipment Item.
	☐ Estimate the Maximum Allowable Response Time and Maximum Allowable Leak Rate for at least one Scenario

#### 9. REFERENCES

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# 10. GLOSSARY

**Acute Hazard:** The potential for injury or damage to occur as a result of an instantaneous or short duration exposure to the effects of an incident.

**Administrative Controls:** Procedural mechanism for controlling, monitoring, or auditing human performance, such as lock out/tagout procedures, bypass approval processes, car seals, and permit systems.

**Asset Integrity Management:** A process safety management system for ensuring the integrity of assets throughout their life cycle.

**Atmospheric Dispersion:** The low momentum mixing of a gas or vapor with air. The mixing is the result of turbulent energy exchange, which is a function of wind (mechanical eddy formation) and atmospheric temperature profile (thermal eddy formation).

**Audit:** A systematic, independent review to verify conformance with prescribed standards of care using a well-defined review process to ensure consistency and to allow the auditor to reach defensible conclusions.

**Barrier:** Anything used to control, prevent, or impede energy flows. Includes engineering (physical, equipment design) and administrative (procedures and work processes). See also Layer of Protection.

**Basic Process Control System:** A system that responds to input signals from the process and its associated equipment, other programmable systems, and/or from an operator, and generates output signals causing the process and its associated equipment to operate in the desired manner and within normal production limits.

**Blast Wave:** The overpressure wave traveling outward from an explosion point.

**Boiling Liquid Expanding Vapor Explosion (BLEVE)**: A type of rapid phase transition in which a liquid contained above its atmospheric boiling point is rapidly depressurized, causing a nearly instantaneous transition from liquid to vapor with a corresponding energy release. A BLEVE of flammable material is often accompanied by a large aerosol fireball, since an external fire impinging on the vapor space of a pressure vessel is a common cause. However, it is not necessary for the liquid to be flammable to have a BLEVE occur.

**Bow-Tie Diagram**: A diagram for visualizing the types of preventive and mitigative barriers which can be used to manage risk. These barriers are drawn with the threats on the left, the unwanted event at the center, and the consequences on the right, representing the flow of the hazardous materials or energies through its barriers to its destination. The hazards or threats can be proactively addressed on the left with specific barriers (safeguards, layers of protection) to help prevent a hazardous event from occurring; barriers reacting to the event to help reduce the event's consequences are shown on the right.

**Catastrophic Release**: An uncontrolled loss of containment of toxic, reactive, or flammable materials from a process that has the potential for causing onsite or offsite acute health effects, significant environmental effects (e.g., compromise of a public drinking water supply), or significant on-site or off-site property damage.

Center for Chemical Process Safety (CCPS): is a not-for-profit, corporate membership organization within the American Institute for Chemical Engineers (AIChE) that identifies and addresses process safety needs for a variety of facilities involved with handling, storing, using or processing, and transporting hazardous materials

**Checklist Analysis**: A hazard evaluation procedure using one or more pre-prepared lists of process safety considerations to prompt team discussions of whether the existing safeguards are adequate.

Chemical Process Quantitative Risk Assessment (CPQRA or QRA): The quantitative evaluation of expected risk from potential incident scenarios. It examines both consequences and frequencies, and how they combine into an overall measure of risk. The CPQRA process is always preceded by a qualitative systematic identification of process hazards. The CPQRA results may be used to make decisions, particularly when mitigation of risk is considered.

Combustible Dust: A finely divided combustible particulate solid that presents a flash fire hazard or explosion hazard when suspended in air or the process specific oxidizing medium over a range of concentrations.

**Common Cause Failure**: The failure of more than one component, item, or system due to the same cause.

**Condensed Phase Explosion**: An explosion that occurs when the material is present in the form of a liquid or solid.

**Conditional Modifier**: One of several possible probabilities included in scenario risk calculations, generally when risk criteria endpoints are expressed in impact terms (e.g., fatalities) instead of in primary loss event terms (e.g., release, vessel rupture). Conditional modifiers include, but are not limited to: probability of a hazardous atmosphere, probability of ignition, probability of explosion, probability of personnel presence, probability of injury or fatality, and probability of equipment damage or other financial impact.

**Confined Explosion (or Building Explosion)**: An explosion of fuel-oxidant mixture inside a closed system (e.g. vessel or building).

**Confinement**: Obstacles such as walls and ceilings of a building, vessel, pipe, etc. that serve to limit the expansion of a dispersing or exploding vapor cloud.

**Congestion**: Obstacles in the path of the flame that generate turbulence.

**Consequence**: The undesirable result of a loss event, usually measured in health and safety effects, environmental impacts, loss of property, and business interruption costs.

**Consequence Analysis**: The analysis of the expected effects of incident outcome cases, independent of frequency or probability.

**Consequence Screening**: The evaluation of consequence severity to determine the extent and detail to which risk analysis is warranted.

**De Minimis Risk**: A level of risk that would be perceived by most to be broadly acceptable, and not requiring further reduction.

**Deflagration**: A combustion that propagates by heat and mass transfer through the un-reacted medium at a velocity less than the speed of sound.

**Demand**: A plant condition or event which requires a protective system or device to take appropriate action in order to prevent a hazard. (1) A signal or action that should change the state of a device, or (2) an opportunity to act, and thus, to fail.

**Detection System**: A mechanical, electrical, or chemical device that automatically identifies the presence of a material or a change in environmental conditions such as pressure, temperature, or composition.

**Detonation**: A release of energy caused by the propagation of a chemical reaction in which the reaction front advances into the unreacted substance at greater than sonic velocity in the unreacted material.

**Deviation**: A process condition outside of established design limits, safe operating limits, or standard operating procedures.

**Dike (or berm)**: An embankment or wall built to act as a barrier blocking passage of liquids to surrounding areas.

**Domino Effect:** The triggering of secondary events, such as toxic releases, by a primary event, such as an explosion, such that the result is an increase in consequences or area of an effect zone. Generally considered only when a significant escalation of the original incident results.

**Dose**: Time-integrated concentration

**Effect Zone**: For an incident that produces an incident outcome of toxic release, the area over which the airborne concentration equals or exceeds some level of concern. For a flammable release, the area over which a particular incident outcome case produces an effect based on a specified criterion.

**Equipment**: A piece of hardware which can be defined in terms of mechanical, electrical or instrumentation components contained within its boundaries.

**Enabling Condition**: A condition that is not a failure, error or a protection layer but makes it possible for an incident sequence to proceed to a consequence of concern. It consists of a condition or operating phase that does not directly cause the scenario, but that must be present or active in order for the scenario to proceed to a loss event; expressed as a dimensionless probability.

**Event**: An occurrence involving a process that is caused by equipment performance or human action or by an occurrence external to the process.

**Event Sequence**: A specific unplanned sequence of events composed of initiating events and intermediate events that may lead to an incident

**Explosion:** A release of energy that causes a pressure discontinuity or blast wave.

**F-N Curve**: A plot of cumulative frequency versus consequences (often expressed as number of fatalities).

**Facility:** The physical location where a management system activity is performed. In early life-cycle stages, a facility may be the company's central research laboratory, pilot plant, or the engineering offices of a technology vendor. In later stages, the facility may be a typical chemical plant, storage terminal, distribution center, or corporate office. In the context of this document, a facility is a portion of or a complete plant, unit, site, complex or offshore platform or any combination thereof.

**Failure:** an unacceptable difference between expected and observed performance.

**Fault Tree Analysis (FTA):** A method used to analyze graphically the failure logic of a given event, to identify various failure scenarios (called cut-sets), and to support the probabilistic estimation of the frequency of the event.

**Final Element:** Process control or safety device that implements the physical action necessary to achieve or maintain a safe state; e.g., valves, switch gear, and motors, including their auxiliary elements (such as the solenoid valve used to operate a valve).

**Fireball:** The atmospheric burning of a fuel-air cloud in which the energy is mostly emitted in the form of radiant heat. The inner core of the fuel release consists of almost pure fuel whereas the outer layer in which

ignition first occurs is a flammable fuel-air mixture. As buoyancy forces of the hot gases begin to dominate, the burning cloud rises and becomes more spherical in shape.

**Flammable:** A gas that can burn with a flame if mixed with a gaseous oxidizer such as air or chlorine and then ignited. The term flammable gas includes vapors from flammable or combustible liquids above their flash points.

**Flash Fire**: A fire that spreads by means of a flame front rapidly through a diffuse fuel, such as a dust, gas, or the vapors of an ignitable liquid, without the production of damaging pressure.

**Frequency**: Number of occurrences of an event per unit time (e.g., 1 event in 1000 yr. = 1 x 10-3 events/yr.).

**Globally Harmonized System (GHS):** system for Classification and Labeling of Chemicals adopted by the United Nations (<a href="https://www.unece.org/trans/danger/publi/ghs/ghs\_welcome\_e.html">https://www.unece.org/trans/danger/publi/ghs/ghs\_welcome\_e.html</a>) Its official title: "Globally Harmonized System of Classification and Labelling of Chemicals (GHS)"

**Hazard**: An inherent chemical or physical characteristic that has the potential for causing damage to people, property, or the environment.

Hazard and Operability Study (HAZOP): A systematic qualitative technique to identify process hazards and potential operating problems using a series of guide words to study process deviations. A HAZOP is used to question every part of a process to discover what deviations from the intention of the design can occur and what their causes and consequences may be. This is done systematically by applying suitable guidewords. This is a systematic detailed review technique, for both batch and continuous plants, which can be applied to new or existing processes to identify hazards.

**Hazard Distance:** the distance from a release location to a concentration or blast overpressure of concern. For example, the distance to a fraction of the lower flammable limit may represent the distance below which severe human harm from a flash fire might occur.

**Hazard Evaluation**: Identification of individual hazards of a system, determination of the mechanisms by which they could give rise to undesired events, and evaluation of the consequences of these events on health (including public health), environment and property. Uses qualitative techniques to pinpoint weaknesses in the design and operation of facilities that could lead to incidents.

**Hazard Identification**: The inventorying of material, system, process and plant characteristics that can produce undesirable consequences through the occurrence of an incident.

**Hazard Identification and Risk Analysis Study (HIRA):** A collective term that encompasses all activities involved in identifying hazards and evaluating risk at facilities, throughout their life cycle, to make certain that risks to employees, the public, or the environment are consistently controlled within the organization's risk tolerance.

**Hazard Screening**: The evaluation of hazard severity to determine the extent to which hazard assessment and subsequent risk analysis is warranted.

**Hazardous Chemical**: A material that is toxic, reactive, or flammable and is capable of causing a process safety incident if released. Also, Hazardous material.

**Human Error**: Intended or unintended human action or inaction that produces an inappropriate result. Includes actions by designers, operators, engineers, or managers that may contribute to or result in accidents.

**Human Reliability Analysis**: A method used to evaluate whether system-required human-actions, tasks, or jobs will be completed successfully within a required time period. Also used to determine the probability that no extraneous human actions detrimental to the system will be performed.

**Hybrid Mixture**: A mixture of a flammable gas with either a combustible dust or combustible mist.

**Impact**: A measure of the ultimate loss and harm of a loss event. Impact may be expressed in terms of numbers of injuries and/or fatalities, extent of environmental damage and/or magnitude of losses such as property damage, material loss, lost production, market share loss, and recovery costs.

**Incident**: An event, or series of events, resulting in one or more undesirable consequences, such as harm to people, damage to the environment, or asset/business losses. Such events include fires, explosions, releases of toxic or otherwise harmful substances.

**Incident Investigation**: A systematic approach for determining the causes of an incident and developing recommendations that address the causes to help prevent or mitigate future incidents. See also Root cause analysis and Apparent cause analysis.

**Incident Outcome**: The physical manifestation of the incident: for toxic materials, the incident outcome is a toxic release, while for flammable materials; the incident outcome could be a boiling liquid expanding vapor explosion (BLEVE), flash fire, vapor cloud explosion (VCE), etc.

**Independent Protection Layer (IPL)**: A device, system, or action that is capable of preventing a scenario from proceeding to the undesired consequence without being adversely affected by the initiating event or the action of any other protection layer associated with the scenario.

**Individual Risk**: The risk to a person in the vicinity of a hazard. This includes the nature of the injury to the individual, the likelihood of the injury occurring, and the time period over which the injury might occur.

**Inherently Safer Design**: A way of thinking about the design of chemical processes and plants that focuses on the elimination or reduction of hazards, rather than on their management and control.

**Initiating Cause (or Initiating Event)**: The operational error, mechanical failure, or external event or agency that is the first event in an incident sequence and marks the transition from a normal situation to an abnormal situation.

**Interlock**: A protective response which is initiated by an out-of-limit process condition. Instrument which will not allow one part of a process to function unless another part is functioning. A device such as a switch that prevents a piece of equipment from operating when a hazard exists. To join two parts together in such a way that they remain rigidly attached to each other solely by physical interference. A device to prove the physical state of a required condition and to furnish that proof to the primary safety control circuit.

**Jet Fire**: A fire type resulting from the discharge of liquid, vapor, or gas into free space from an orifice, the momentum of which induces the surrounding atmosphere to mix with the discharged material.

Layer of Protection Analysis (LOPA): An approach that analyzes one incident scenario (cause-consequence pair) at a time, using predefined values for the initiating event frequency, independent protection layer failure probabilities, and consequence severity, in order to compare a scenario risk, estimate

to risk criteria for determining where additional risk reduction or more detailed analysis is needed. Scenarios are identified elsewhere, typically using a scenario-based hazard evaluation procedure such as a HAZOP Study.

**Level of Concern**: The concentration of an airborne chemical above which there may be adverse human health effects experience as a result of a short-term exposure during an episodic release.

**Likelihood**: A measure of the expected probability or frequency of occurrence of an event. This may be expressed as an event frequency (e.g., events per year), a probability of occurrence during a time interval (e.g., annual probability) or a conditional probability (e.g., probability of occurrence, given that a precursor event has occurred).

**Loss Event**: Point in time in an abnormal situation when an irreversible physical event occurs that has the potential for loss and harm impacts. Examples include release of a hazardous material, ignition of flammable vapors or ignitable dust cloud, and over-pressurization rupture of a tank or vessel. An incident might involve more than one loss event, such as a flammable liquid spill (first loss event) followed by ignition of a flash fire and pool fire (second loss event) that heats up an adjacent vessel and its contents to the point of rupture (third loss event). Generally synonymous with hazardous event.

Loss of Primary Containment (LOPC): An unplanned or uncontrolled release of material from primary containment, including non-toxic and non-flammable materials (e.g., steam, hot condensate, nitrogen, compressed CO2 or compressed air).

**Management of Change**: A management system to identify, review, and approve all modifications to equipment, procedures, raw materials, and processing conditions, other than replacement in kind, prior to implementation to help ensure that changes to processes are properly analyzed (for example, for potential adverse impacts), documented, and communicated to employees affected.

**Mechanical Integrity (or Equipment Integrity, Asset Integrity)**: A management system focused on ensuring that equipment is designed, installed, and maintained to perform the desired function.

**Mean Time Between Failure (MTBF)**: For a stated period in the life of a functional unit, the mean value of the length of time between consecutive failures under stated conditions.

**Mitigate**: Reduce the impact of a loss event.

**Mitigative Safeguard**: A safeguard that is designed to reduce loss event impact.

**National Fire Protection Agency** (**NFPA**): A United States trade association, that creates and maintains standards and codes for usage and adoption by local governments.

**Near-Miss**: An unplanned sequence of events that could have caused harm or loss if conditions were different or were allowed to progress, but actually did not.

**Normal Operations**: Any process operations intended to be performed between startup and shutdown to support continued operation within safe upper and lower operating limits.

**Occupant Vulnerability**: Proportion of building occupants that could potentially suffer an injury or fatality if a postulated event were to occur. The level of injury is defined according to the technical basis of the occupant vulnerability model being used.

**Off-Site Population**: Persons located outside of the site property line that may be impacted by an on-site incident.

**On-Site Personnel**: Employees, contractors, visitors, service providers, and others present at the facility.

**Operating Procedures**: Written, step by step instructions and information necessary to operate equipment, compiled in one document including operating instructions, process descriptions, operating limits, chemical hazards, and safety equipment requirements.

**Operator**: An individual responsible for monitoring, controlling, and performing tasks as necessary to accomplish the productive activities of a system. Operator is also used in a generic sense to include people who perform a wide range of tasks (e.g., readings, calibration, incidental maintenance, manage loading/unloading, and storage of hazardous materials).

**Parameter**: A quantity describing the relation of variables within a given system. Note: A parameter may be constant or depend on the time or the magnitude of some system variables.

Passive System: A system in which failures are only revealed by testing or when a demand has occurred.

**Personal Protective Equipment (PPE)**: Equipment designed to protect employees from serious workplace injuries or illnesses resulting from contact with chemical, radiological, physical, electrical, mechanical, or other workplace hazards. Besides face shields, safety glasses, hard hats, and safety shoes, PPE includes a variety of devices and garments, such as goggles, coveralls, gloves, vests, earplugs, and respirators.

**Physical Explosion**: The catastrophic rupture of a pressurized gas/vapor-filled vessel by means other than reaction, or the sudden phase-change from liquid to vapor of a superheated liquid.

**Piping and Instrument Diagram (P&ID)**: A diagram that shows the details about the piping, vessels, and instrumentation.

**Pool Fire**: The combustion of material evaporating from a layer of liquid at the base of the fire.

**Potential Explosion Site (PES)**: A volume within a plant with sufficient congestion and/or confinement that a flammable vapor cloud ignited there could likely develop into an explosion.

**Pre-Initiating Safeguard**: A safeguard that acts to prevent an initiating event or cause from occurring.

**Preventive Safeguard**: A safeguard that forestalls the occurrence of a particular loss event, given that an initiating cause has occurred; i.e., a safeguard that intervenes between an initiating cause and a loss event in an incident sequence.

**Prevention**: The process of eliminating or preventing the hazards or risks associated with a particular activity. Prevention is sometimes used to describe actions taken in advance to reduce the likelihood of an undesired event.

**Probability**: The expression for the likelihood of occurrence of an event or an event sequence during an interval of time, or the likelihood of success or failure of an event on test or on demand. Probability is expressed as a dimensionless number ranging from 0 to 1.

**Probit**: A random variable with a mean of 5 and a variance of 1, which is used in various effect models. Probit-based models derived from experimental dose-response data, are often used to estimate the health effect that might result based upon the intensity and duration of an exposure to a harmful substance or condition (e.g., exposure to a toxic atmosphere, or a thermal radiation exposure).

**Process Hazard Analysis (PHA)**: An organized effort to identify and evaluate hazards associated with processes and operations to enable their control. This review normally involves the use of qualitative techniques to identify and assess the significance of hazards. Conclusions and appropriate recommendations are developed. Occasionally, quantitative methods are used to help prioritized risk reduction.

**Process Safety Management Systems**: Comprehensive sets of policies, procedures, and practices designed to ensure that barriers to episodic incidents are in place, in use, and effective.

**Process Safety**: A disciplined framework for managing the integrity of operating systems and processes handling hazardous substances by applying good design principles, engineering, and operating practices. It deals with the prevention and control of incidents that have the potential to release hazardous materials or energy. Such incidents can cause toxic effects, fire, or explosion and could ultimately result in serious injuries, property damage, lost production, and environmental impact.

**Process Safety Review**: An inspection of a plant/process unit, drawings, procedures, emergency plans and/or management systems, etc., usually by an on-site team and usually problem-solving in nature. (See "Audit" for contrast).

**Qualitative**: Based primarily on description and comparison using historical experience and engineering judgment, with little quantification of the hazards, consequences, likelihood, or level of risk.

**Quantitative Risk Analysis**: The systematic development of numerical estimates of the expected frequency and severity of potential incidents associated with a facility or operation based on engineering evaluation and mathematical techniques.

**Reliability**: Core attribute of a protection layer related to the probability that the equipment operates according to its specification for a stated period of time under all relevant conditions.

**Risk**: A measure of human injury, environmental damage, or economic loss in terms of both the incident likelihood and the magnitude of the loss or injury.

**Risk Analysis**: The estimation of scenario, process, facility and/or organizational risk by identifying potential incident scenarios, then evaluating and combining the expected frequency and impact of each scenario having a consequence of concern, then summing the scenario risks, if necessary, to obtain the total risk estimate for the level at which the risk analysis is being performed.

**RiskBased Process Safety (RBPS)**: The Center for Chemical Process Safety's process safety management system approach that uses risk-based strategies and implementation tactics that are commensurate with the risk-based need for process safety activities, availability of resources, and existing process safety culture to design, correct, and improve process safety management activities.

**Risk Matrix**: A tabular approach for presenting risk tolerance criteria, typically involving graduated scales of incident likelihood on the Y-axis and incident consequences on the X-Axis.

**Risk Management**: The systematic application of management policies, procedures, and practices to the tasks of analyzing, assessing, and controlling risk in order to protect employees, the general public, the environment, and company assets, while avoiding business interruptions. Includes decisions to use suitable engineering and administrative controls for reducing risk.

**Risk Tolerance Criteria**: A predetermined measure of risk used to aid decisions about whether further efforts to reduce the risk are warranted.

**Safeguard**: Any device, system, or action that either interrupts the chain of events following an initiating event or that mitigates the consequences. A safeguard can be an engineered system or an administrative control. Not all safeguards meet the requirements of an IPL.

**Safety Instrumented System (SIS)**: A separate and independent combination of sensors, logic solvers, final elements, and support systems that are designed and managed to achieve a specified safety integrity level. A SIS may implement one or more Safety Instrumented Functions (SIFs).

**Scenario**: A detailed description of an unplanned event or incident sequence that results in a loss event and its associated impacts, including the success or failure of safeguards involved in the incident sequence.

**Screening Criteria**: A predetermined measure, standard, or rule (typically based upon company or regulatory requirements), on which a judgment or decision can be based.

**Severity**: The maximum credible consequences or effects, assuming no safeguards are in place.

**Screening Tool**: A simplified model with limited capabilities, suitable for screening-level studies.

**Spray Distance**: The maximum distance a fluid travels before the velocity slows and/or the fluid falls to the ground.

**Societal Risk**: A measure of risk to a group of people. It is most often expressed in terms of the frequency distribution of multiple casualty events.

**Source Term**: The release parameters (e.g. magnitude, rate, duration, orientation, temperature) that are the initial conditions for determining the consequences of the loss event for a hazardous material and/or energy release to the surroundings. For vapor dispersion modeling, it is the estimation, based on the release specification, of the actual cloud conditions of temperature, aerosol content, density, size, velocity and mass to be input into the dispersion model.

**Tolerable Frequency**: The maximum frequency for a specific consequence that is regarded as tolerable/ **Tolerable Frequency Factor (TFF):** - log<sub>10</sub> of the tolerable frequency

**Tolerable Risk Level**: The maximum level of risk of a particular technical process or condition that is regarded as tolerable in the context of the circumstances in questions.

**Vapor Cloud Explosion (VCE)**: The explosion resulting from the ignition of a cloud of flammable vapor, gas, or mist in which flame speeds accelerate to sufficiently high velocities to produce significant overpressure.

**Virtual Source**: The offset in distance to the specified source of a gas or vapor release that results in a maximum concentration of 100% at the source using a Gaussian dispersion model.

**What-If Analysis**: A scenario-based hazard evaluation procedure using a brainstorming approach in which typically a team that includes one or more persons familiar with the subject process asks questions or voices concerns about what could go wrong, what consequences could ensue, and whether the existing safeguards are adequate.

**Worst Case**: A conservative (high) estimate of the consequences of the most severe incident identified.

# 11. Revision History

Revision	Date	Description
1.0	08-Apr-2018	Initial issue. Originally donated documentation with minor modifications.
1.1	13-Sep-2018	Minor corrections reflecting update of RAST Software. Addressed minor formatting issues.
1.2	11-Feb-2018	Updated Introduction. Updated terms to align with CCPS Process Safety Glossary. Addition of Glossary to Manual.
2.0	25-Mar-2019	Major revisions to RAST Software reflected in the CHEF Manual, the CHEF Workbook, this RAST User's Manual. Includes some technical clarification, updates and improved descriptions reflecting feedback on earlier editions.
2.1	22-Apr-2019	Addressed minor formatting issues.